

Protocol

Acute Kidney Injury – Epidemiology in ICU patients 2

Acronym: AKI-EPI 2 – North America

Version: 1.0 – Date 29 January 2026

Trial identifiers:

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Study Synopsis

Title	Acute Kidney Injury – Epidemiology in ICU patients 2
Acronym	AKI-EPI 2 – North America
Sponsor (North America)	University of Alberta
Sponsor PI (North America)	Sean M Bagshaw
Global PIs	Eric Hoste & Antoine Schneider
ClinicalTrials.Gov	NCT07207031
Medical condition under investigation	Acute Kidney Injury (AKI)
Purpose of clinical trial	To investigate the epidemiology and outcomes of acute kidney injury in critically ill patients.
Primary objective	To provide a contemporary update on the epidemiology (rates, severity, duration, and etiology) of AKI and associated outcomes in critically ill patients.
Secondary objectives	• To assess temporal aspects of AKI (timing relative to ICU admission and duration). • To describe utilization and practice of RRT (indications, timing, modality, anticoagulation, duration, discontinuation) • To explore differences in rates and outcomes between regions and health care systems according to geographical location and resources
Trial design	International, multi-center international cohort study
Endpoints	Co-primary outcomes: • Occurrence rate of AKI within 7 days of ICU admission • Maximum severity of AKI within 7 days of ICU admission Secondary outcomes: • Proportion of AKI in patients with and without known baseline serum creatinine • Duration of AKI episodes (within 7 days of ICU admission) • Proportion of episodes with rapid reversal (duration <48h) vs persistent AKI (duration ≥48h) • Incidence of acute kidney disease (AKD) at ICU discharge and hospital discharge, truncated at 90-days • Use of RRT within 7 days of ICU admission • Type and specifics of applied RRT (indications, timing, modality, method duration, anticoagulation, discontinuation) • Length of stay in the ICU, post ICU in the hospital, and total length of stay in the hospital • ICU readmission up to day 90 • Hospital readmission up to day 90 • Serum creatinine level at ICU and hospital discharge (truncated at day 90)

	• RRT dependence at hospital discharge (any RRT applied within 72 hours of discharge) • Mortality at hospital discharge (truncated at 90-d) • Magnitude of AKI: area under the curve of AKI of severity over time • Incidence of AKI and maximum AKI severity stage defined by serum creatinine and/or urine output criteria only, within 7 days of ICU admission. Additional endpoints for patients included in the "long term outcomes substudy" (see below): • Serum creatinine level at day 90, and 1 y • RRT dependence at day 90, and 1y • Mortality at hospital discharge at day 90, and 1y • Survival analysis up to day 90 and 1 y • Quality of life at day 90 and 1y (EQ5D) • Major Adverse Kidney Events (MAKE): a composite endpoint of death, use of RRT and decreased kidney function at day 90 (+/- 14 days), and 1 year (+/-30 days).
Sample Size	• We aim to include 10,642 critically ill patients worldwide
Summary of eligibility criteria	<u>Inclusion:</u> • Age ≥ 18 y • Admitted to a participating ICU. • Informed Consent according to local ethical committee <u>Exclusion:</u> • Kidney failure or End Stage Kidney Disease treated with maintenance RRT • Readmission to the ICU during same hospitalization episode • Missing AKI defining data (no measured serum creatinine and < 6 hours of documented urinary output.
Version and date of final protocol (North America) (Global)	Version 10 29 January 2026 Version 1.0, 17 June 2024

1. Background and rationale

Acute Kidney Injury (AKI) is a frequent complication in critically ill patients admitted to the intensive care unit (ICU). In large epidemiological studies [1–3], the occurrence rate of AKI during the first 5 or 7 days of ICU admission ranged between 40 and 60%. In patients who underwent major surgery, a lower but relevant incidence of AKI was observed (20%)[4]. Of note, these studies also included patients who were less ill (not critically ill) and supported in a high-dependency unit setting, which may underestimate the overall burden of AKI. In all cohorts, moderate-severe ($\geq$ stage 2) AKI was associated with less favorable outcomes after adjustment for other major risk factors. These reported incidences and association with outcomes were highly heterogeneous across centers. In particular, geographical location and country socio-economic status appeared to play a major role. The FINNAKI, SEA-AKI and AKI-EPI studies, although of major importance in the field, present limitations. First, the data was acquired more than a decade ago. In this period, numerous changes in practice have occurred regarding AKI management. For instance, the importance of the application of the KDIGO care bundle was demonstrated in some populations [5,6]. Similarly, the lack of benefit for early initiation of renal replacement therapy (RRT) has been shown in large multicenter trials [7–9]. The number of elderly patients admitted to the hospital and for whom invasive procedures are proposed is increasing over time [10]. Altogether, these elements are likely to have modified the incidence and outcomes of AKI in critically ill patients [11]. Second, it has recently been proposed to integrate biomarkers of kidney damage in AKI definition [12]. The utilization of such biomarkers is also likely to have modified our ability to assess renal injury and apply protective measures. Third, none of the FINNAKI, SEA-AKI or the AKI-EPI studies evaluated the impact of AKI duration (or magnitude) on outcomes at day 90 post ICU admission (the current gold standard to define chronic kidney disease). Fourth, these studies also did not aim to describe the utilization and practice of acute RRT in ICU settings associated with AKI. Finally, in these studies, there was poor representation of low- and middle-income countries. Hence, there is strong rationale for an updated global snapshot of the epidemiology of AKI in critically ill patients.

Accordingly, we have designed the AKI-EPI 2 study, an international multicenter observational study.

2. Trial objectives and design

2.1 Trial objectives

The AKI-EPI 2 will aim to

1. To provide a contemporary update on the epidemiology (rates, severity, duration and etiology) of AKI and associated outcomes in critically ill patients
2. Assess temporal aspects of AKI (timing relative to ICU admission and duration),
3. Describe RRT practices (indications, timing, modality, anticoagulation, dose, duration, and discontinuation.)

4. Explore the differences in rates and outcomes between regions and health care systems according to geographical location and resources.

2.2 Primary outcomes

Our co-primary outcomes will be the occurrence rate of AKI and the maximum severity of AKI within 7 days of ICU admission.

2.3 Secondary outcomes

The following secondary outcomes will be considered.

- Incidence of AKI among patients without known baseline serum creatinine
- Duration of AKI episodes (within first week of ICU admission)
- Proportion of AKI with rapid reversal (duration <48h) vs persistent AKI (duration ≥48h)
- Incidence of acute kidney disease (AKD) at ICU discharge and hospital discharge, truncated at 90-d
- Use of RRT within 7 days of ICU admission
- RRT free days within 7 days of ICU admission
- Type and specifics of applied RRT (indications, timing, modality, dose anticoagulation, duration, discontinuation)
- Length of stay in the ICU, post ICU in the hospital, and total length of stay in the hospital
- ICU readmission up to day 90
- Hospital readmission up to day 90
- Serum creatinine level at ICU and hospital discharge (truncated at day 90)
- RRT dependence at hospital discharge (any RRT applied within 72 hours of discharge)
- Mortality at hospital discharge (truncated at 90-d)
- Magnitude of AKI: area under the curve of AKI of severity over time
- Incidence of AKI and maximum AKI severity stage defined by serum creatinine and/or urine output criteria only.

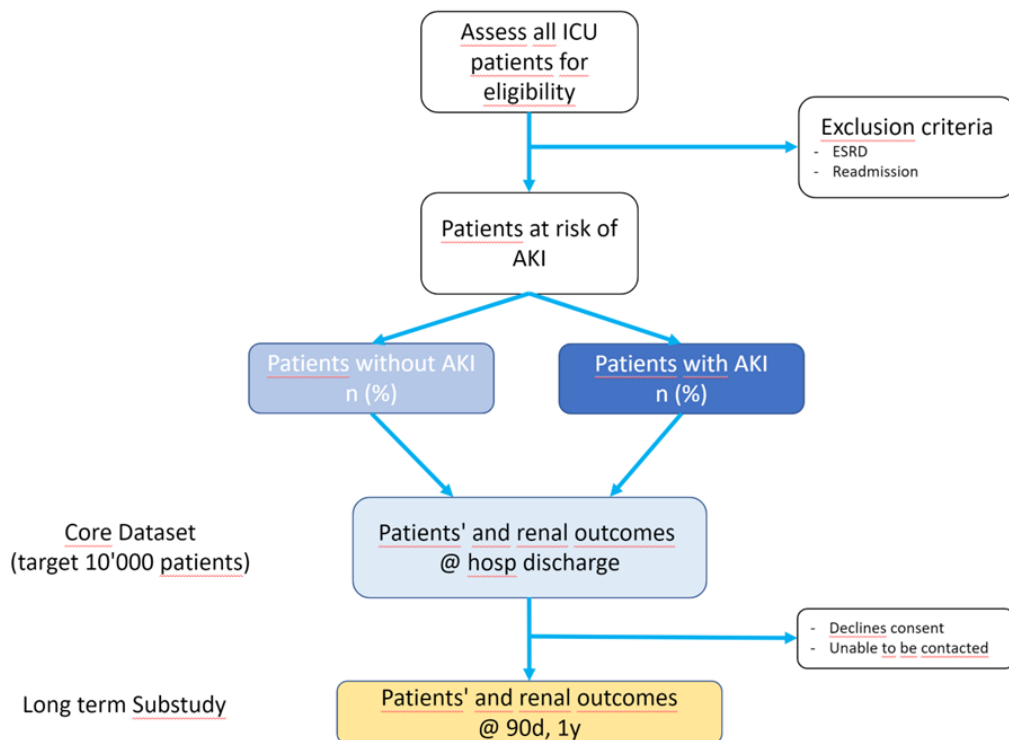
In addition, for patients included in the "long term outcomes sub-study" (see below), the following additional secondary outcomes will be considered:

- Serum creatinine level at day 90, and 1 y
- RRT dependence at day 90, and 1y
- Mortality at hospital discharge at day 90, and 1y
- Survival analysis up to day 90 and 1 y
- Quality of life at day 90 and 1y (EQ5D)
- Major Adverse Kidney Events (MAKE): a composite endpoint of death, use of RRT and decreased kidney function at day 90, and 1 year.

2.4 Study design

This is a multinational observational cohort study. Data will be collected at baseline (ICU admission) as well as daily for ICU Day 1 to 7 (or ICU discharge, whichever comes first). Outcome data will be collected at hospital discharge and, in a subgroup of patients at day 90 and 1y.

2.5 Study diagram



3. Project Population and Study Procedures

3.1 Project population

We will include all consecutive patients fulfilling eligibility criteria (below). Recruitment will continue until the predetermined number of patients for the center has been reached (see below power calculation). The duration of the study period may vary from center to center.

Inclusion Criteria:

- Age $\geq$ 18 years
- Admitted to one of the participating ICUs
- Informed consent, according to local ethical committee

Exclusion Criteria

- Readmission to the ICU during the same hospitalization episode
- Kidney failure and End Stage Kidney Disease treated with maintenance RRT.
- Missing AKI defining data (no measured serum creatinine and <6 hours of documented urinary output).

3.2 Recruitment screening and informed consent procedure

Minimal (core) dataset

The recruitment screening process will be adapted to each center. In general, all eligible patients will be included in the study for a minimal (core) dataset. According to local ethic committee and local

regulations, this might or might not require formal written consent. Before inclusion in the study, the presence of documented opposition to research and data reutilization will be checked.

In general, waiver for written consent will be requested for the following reasons:

- The data collection tool will be developed with the view of complying with GDPR requirements and enable data anonymization. The study utilizes health related data routinely collected in clinical practice
- Informed consent may be difficult to obtain from the patient or the next of kin which could likely lead to uncomfortable discussion with a potential for harm.
- From a scientific point of view, to be able to report on incidence, we need to be able to include all consecutive patients.

Extended dataset and outcomes

In a subset of patients, we will attempt to obtain an extended dataset and longer follow-up. This includes attempts to obtain data 90 days and 1 y after ICU admission (see below). For these patients, according to local ethic committee and regulation, we will, in most instances be required to obtain formal consent.

3.3 Study Procedures

The study is purely observational, no intervention will be conducted.

Minimal dataset

The minimal dataset includes the following data (Table 1)

- Site characteristics: Geographic area (United Nations geographical subregions), country, area (rural/regional, urban), level (primary, tertiary), teaching/non-teaching, academic, community, regional.
- Patient baseline characteristics (age, sex, comorbidities, baseline creatinine)
- ICU admission characteristics (admission type, primary diagnosis, SOFA score)
- Daily creatinine and total urinary output measurements (for AKI diagnosis, staging, duration)
- RRT initiation data: ICU Day of initiation, severity of AKI, non-renal organ support, indications, modality, dose/ultrafiltration, anticoagulation)
- Daily use and practice parameters for RRT administration (modality, dose/ultrafiltration, anticoagulation, treatment interruption)
- RRT modality transition: ICU Day of transition, type of transition
- RRT termination: ICU date of termination, total duration
- At hospital discharge: ICU and hospital length of stay, vital status, use of RRT and serum creatinine, truncated at 90-days.

Extended datasets

Extended Follow-up

This dataset will be completed only for patients enrolled in centers participating in the “long term outcomes sub-study”. Patients included in the main study and who survived to hospital discharge will be invited (and consented) for a follow-up visit or contacted over the phone by a study collaborator, whichever is most applicable. During this follow-up, the following outcomes will be ascertained:

- Day 90 (+/-14 days): vital status, use of RRT, serum creatinine (if available) and administration of the EQ-5D-5L questionnaire. We will also ascertain patient-reported outcome measures following critical illness complicated by AKI (+/- treatment with RRT).
- 1 year (+/-30 days): vital status, use of RRT, serum creatinine and administration of the EQ-5D-5L questionnaire. We will also ascertain patient-reported outcome measures following critical illness complicated by AKI (+/- treatment with RRT).

If we are unable to contact a patient after reasonable efforts, we will attempt to contact his/her next of kin or general practitioner. For patients unable to respond to the survey, the next of kin will be contacted and the EQ-5D-5L will be administered to them by proxy.

4. Statistics and Methodology

4.1 Power Calculation

The primary aim of the study is to estimate the incidence of AKI and to derive the corresponding exact two-sided 95% confidence interval (CI) according to Clopper-Pearson. Depending on the type of admission, AKI rates between 40 and 70% were reported in the literature [13]. Therefore, a rate of 50% was assumed for the sample size calculation as a conservative approach. Using this assumption, 10,000 patients are needed to limit the width of the 95% CI to 1.9%. Thus, with $n = 10,642$ patients, the incidence of AKI can be estimated with at least this precision.

4.2 Statistical Analyses plan

Prior to completion of recruitment and final database locking, a detailed statistical analysis plan (SAP) will be developed.

The analysis of the primary endpoint, defined as the occurrence of AKI within 7 days of ICU admission, will use the Clopper-Pearson exact method with a 95% confidence level. For multinomial proportion estimates (e.g., KDIGO stages (1/2/3) in AKI patients), simultaneous 95% CIs we will calculate using Goodman's methods [17].

We will describe the frequencies (percentages) and mean (SD) or median (IQR) for all baseline variables and secondary endpoints, as applicable. Survival curves will be constructed according to the Kaplan-Meier method. We will compare groups (e.g., AKI vs. no AKI; RRT vs. no RRT) using Fisher's exact test or Pearson's Chi-squared test for categorical variables, and for continuous variables, Student t-test or Wilcoxon signed-rank test, depending on data distribution. To identify and assess the association of factors associated with AKI, multivariable logistic regression analysis will be performed. Variables considered will include demographic, baseline and clinical characteristics, and further include those previously described in the literature. To describe the association with AKI (by varying definitions and RRT use) with outcomes, multivariable logistic and linear regression analyses will be performed.

We will perform subgroup analyses according to the definition of AKI (based on serum creatinine or urine output only), severity of AKI, age, sex, case mix and admission diagnosis, illness severity, country GDP, hospital type, and other potential factors to be defined in the SAP.

A sensitivity analysis will be performed on patients with known baseline serum creatinine only.

5. Regulatory Aspects

5.1 Local regulations / Declaration of Helsinki

This research project will be conducted in accordance with the protocol, the Declaration of Helsinki, the principles of Good Clinical Practice, the Human Research Act (HRA) and the Human Research Ordinance (HRO) as well as other locally relevant regulations. The Project Leader acknowledges his responsibilities as both the Project Leader and the Sponsor.

5.2 Obligation to announce

Substantial changes to the project set-up, the protocol and any relevant project documents will be submitted to relevant Ethics Committees for approval before implementation.

Upon project termination, the Ethics Committee will be notified within 90 days.

5.3 Duration of conservation

Anonymized health related data and all study documents will be stored for at least 10 years after the completion of the study in the secured servers from the Ghent University.

6. Further Aspects

6.1 Overall ethical consideration

This study will be conducted in compliance with the protocol, the current version of the Declaration of Helsinki, the ICH-GCP as well as all national legal and regulatory requirements.

The Project Leader affirms and upholds the principle of the participants' right to dignity, privacy and health and that the project team shall comply with applicable privacy laws. Especially, anonymity of the participants shall be guaranteed when presenting the data at scientific meetings or publishing them in scientific journals. Individual participant's medical information obtained as a result of this research project is considered confidential and disclosure to third parties is prohibited. Participant confidentiality will be further ensured by storing only anonymized patient data in computer files.

6.2 Risk-Benefit Assessment

Due to its observational design, the study is not associated with a specific risk for project participants.

7. Quality Control and Data Protection

7.1 Quality measures

For quality assurance relevant Ethics Committees may visit the research sites. Direct access to the source data and all project related files and documents must be granted on such occasions.

7.2 Data recording and source data

Data will be entered in coded form in an electronic excel or paper CRF. This data will only be kept locally (within the center) and serve for verification purposes. A local version of RedCap will be used for the purpose of data collection.

Data will then be locally anonymized before being transferred to the study database. The central database will be hosted within RedCap. The Redcap data will be hosted in Ghent University secured servers.

Access to this server requires institutional login and password. Access to the study folder will be electronically restricted to the dedicated study collaborators listed in study delegation logs. In addition, the database will be password protected and this password will only be given to dedicated study collaborators listed in the study delegation log. Traceability of changes is guaranteed since Redcap has an audit trail.

Health related personal data captured during this project are strictly confidential and disclosure to third parties is prohibited; anonymization will safeguard participant confidentiality.

Access to protocol, dataset, statistical code, and ID key code during the study will be restricted. All involved parties must keep the participant data strictly confidential.

Study data, in coded form can, upon request, be accessed by regulating authorities or scientific journals for review.

8. Funding / Publication / Declaration of Interest

8.1 Funding:

This study will be initially funded by the research funds accessible to the PIs and Steering Committee. Grant support will be requested from the ESICM to cover the costs associated with administration, statistical analyses and database. Further external funding support will be sought to support site-specific research infrastructure.

8.2 Publication

After completion of the study, several scientific articles will be written and submitted to peer-reviewed scientific journals. Members of the steering committee will be listed as co-authors and all other collaborators under the group name “AKI - EPI 2 Study Investigators”.

8.3 Declaration of conflicts interest

There is no intellectual, financial or proprietary conflict of interest to declare.

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