

## Guidelines for Submitting Research Applications in the Faculty of Medicine & Dentistry

Please review the <u>UAPPOL Research Administration Roles and Responsibilities Procedure</u> to understand your role in the administration of research proposals and awards at the University of Alberta

The University of Alberta processes electronic approvals for the following forms:

- New Research Application/Proposal/Project Request
- Project Amendment Request
- Over Expenditure Authorization Request
- Subgrant Request
- Agreement Acknowledgement Report

Approvals are gathered sequentially through the online approval chain (PI-Department-Faculty-Research Administration Services) in PeopleSoft. For more information on online approvals, please visit the <u>RAS</u> website.

Some agencies require signatures on the grant application. In this case, please use the following procedure:

- 1. Submit your request through the Researcher Home Page (Step-by-Step).
- 2. In the submission comments indicate whether signatures are required and that there is an application in circulation.
- 3. Obtain signatures in the following order, as required: (1) Principal Investigator, (2) Department Chair, (3) Faculty Dean
- 4. To obtain the Faculty Dean signature, email your documents to Amber Armstrong at vdradmin@ualberta.ca. **Note the RES number in your email.**
- 5. Parts of the application requiring signatures should be clearly flagged to prevent delays due to missing signatures.
- 6. Do not fill in the name of the individual who will be signing for the FoMD. The Office of Research will add the correct name and title after sign-off.
- 7. For electronic signatures through web portals, please note that Dr. Hemmelgarn has delegated the signing authority to the vice-deans basic and clinical, Drs. Lehner and Richer, respectively. To avoid issues and delays related to access to the signature site, please indicate the appropriate vice-dean name as signatory for the FoMD. It is strongly recommended that an email is sent to Amber Armstrong at <a href="mailto:vdradmin@ualberta.ca">mailto:vdradmin@ualberta.ca</a> indicating that an e-signature is required and a link to the portal has been sent to one of the vice-deans research.
- 8. Ensure that the signature for the Department Chair also has the name/title clearly written.
- 9. Only the individual whose signature is indicated can sign for themselves, in case there is an issue with the grant (e.g. scientific misconduct, missing information).
- 10. The FoMD Office of Research will only provide the FoMD faculty signature if all FoMD applicant and Chair signatures are complete.
- 11. The Office of Research will return the document via email once signed, typically within 2-3 business days of receipt of the application.

For any Faculty related questions please contact the FoMD Office of Research (780. 492.9723 or e-mail: <a href="mailto:vdradmin@ualberta.ca">vdradmin@ualberta.ca</a>).

For any institutional related questions, please your Research Partner.

**Deadlines:** Institutional procedures require that Researchers submit their funding proposals (applications) to RAS for review and institutional approval **five business days before the sponsor deadline.** RAS staff have a lot of knowledge and experience with U of A policies and procedures and sponsor requirements. Submitting your application to RAS prior to the five business day deadline ensures that:

- RAS has adequate time to review of your proposal
- Proposal conforms to relevant U of A policies and procedures
- Proposal is complete and meets sponsor requirements.
- Researchers have time to revise their proposal based on review comments

Proposals received after the internal RAS deadline will be reviewed for compliance with U of A policy and sponsor compliance (e.g. FCOI, certifications and declarations) as it relates to the institutional approval only.

In addition to the RAS deadline, please allow up to **three business days** for faculty review and approval in addition to the RAS deadline. Departments may have their own internal deadlines for application review and signatures.

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