

# University Regulations and Information for Students

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## 20 General University Policies

### 20.1 Mission Statement

The mission of the University of Alberta is to serve our community by the dissemination of knowledge through teaching and the discovery of knowledge through research. The mission will be carried out in a select number of fields and professions, to be determined within the context of a province-wide educational system and based upon the highest national and international standards.

### 20.2 Statement on Equity in Student Affairs

The University of Alberta strives to provide a fair, open and supportive environment for students.

Acknowledging the diversity of the Canadian population, and the University's obligation to remain open to all sectors of society, the University of Alberta encourages applications for admission from all qualified persons including Aboriginal peoples, persons with disabilities, visible minorities, and women. In this manner the University demonstrates its commitment to improving the representativeness of its communities.

The Alberta Human Rights, Citizenship and Multiculturalism Act Sections 3 and 11.1 requires that no individual be discriminated against on the basis of race, religious beliefs, color, gender, physical disability, mental disability, marital status, age, ancestry, or place of origin, family status, or source of income except where the discrimination can be shown to be reasonable and justifiable. The University of Alberta recognizes and accepts its responsibility to comply with the requirements of this Act in its consideration of students for admission, promotion, and graduation. Of its own volition the University of Alberta does not discriminate on the basis of sexual orientation or political belief.

Subject to the limits set out in the Alberta Human Rights, Citizenship and Multiculturalism Act, the University of Alberta affirms its right to determine the criteria by which applicants are accepted into the University community. Individuals seeking admission to or continuance in academic programs must meet the qualifications and performance standards set out by the University's governing bodies.

### 20.3 Freedom of Information and Protection of Privacy

On September 1, 1999, the Alberta Freedom of Information and Protection of Privacy Act will apply to the University of Alberta. The purpose of this Act is to allow any person to a right of access to the records of the University, to control the manner in which the University may collect information from individuals, to control the use that the University may make of that information, to control its disclosure of that information, to allow individuals the right of access to information about themselves, to allow individuals a right to request corrections to their personal information and to provide for an independent review of decisions of the University made under this Act, and the resolution of complaints under this Act.

The University of Alberta creates and collects information for the purposes of admission, registration and other activities directly related to its education programs. All applicants for admission are advised that the information they provide, and any other information placed into the student record, will be protected and used in compliance with Alberta's Freedom of Information and Protection of Privacy Act.

### 20.4 University Bloodborne Pathogens Policy

The University of Alberta's policy on bloodborne pathogens is designed to limit the possibility of transmission of bloodborne pathogens within the educational setting. The University recognizes, however, that it is not possible to completely eliminate the risk of infection. Concern about limiting the transmission of bloodborne pathogens must be balanced against the University's duty to provide a work, study and living environment which is free from discrimination except where that discrimination can be shown to be reasonable and justifiable in the circumstances.

Students, Academic staff, Non-academic staff and other individuals at the University of Alberta shall observe *Universal Precautions* at all times within the educational setting to lessen their risk of acquiring or transmitting bloodborne pathogens from/to another person. These precautions entail the avoidance of direct contact with the blood, blood products, and other body fluids of another person.

All staff and students who have any exposure of blood and/or body fluids to non-intact skin, a mucous membrane or a needlestick injury during the course of their work or study are required to report that exposure to their supervisors and the Office of Environmental Health and Safety. These individuals are also required to seek medical attention as soon as possible at a medical facility or the University Health Centre.

Further information pertaining to the Health Canada, *Infection Control Guidelines: Preventing the Transmission of Bloodborne Pathogens in Health Care and Public Services Settings* or *Universal Precautions* may be obtained from the Office of Environmental Health and Safety.

For applicants to or students in health care programs where there is a greater potential for transmission of bloodborne pathogens to patients/clients as a result of clinical activities in practice settings, there may be requirements for testing for Hepatitis B and C. Testing may be either a condition of admission or a requirement during the course of a program. All testing will be done through the University Health Centre. Information on any requirements for and timing of testing for particular Faculties, appears in either the Undergraduate Admission section or Program Requirements outlines in the Faculties sections of this Calendar.

An unabridged copy of the Bloodborne Pathogens Policy may be found in §108 of the *General Faculties Policy Manual* and may be obtained from the University Secretariat.

### 20.5 University Patent Policy

By accepting admission, students agree to abide by the provisions of the University of Alberta Patent Policy, as the same may be amended from time to time, with regard to any patentable discoveries or inventions in which students may participate. Acceptance of this policy is a condition of registration in any University program. Copies of the University of Alberta Patent Policy are available from the Industry Liaison Office.

### 20.6 University Standards for the Protection of Human Research Participants

After extensive consultation with each Faculty, General Faculties Council, and the Board of Governors of the University of Alberta have adopted a uniform policy for the ethical treatment of human research participants. This policy applies to all research that involves human participants. The goal of the *University Standards for the Protection of Human Research Participants* is to ensure that the welfare of human participants is protected and that basic rights are observed. The guidelines included in the *University Standards* highlight the obligation of researchers: (1) to minimize risk of harm to participants, (2) to obtain informed consent and cooperation from participants, (3) to respect rights of confidentiality and anonymity, and (4) to conduct research competently. The University's view is that the ethical conduct of research is not inherently in conflict with the free pursuit of research goals.

Each Faculty is charged with the responsibility of reviewing proposed research projects. Ethics Review Committees are not intended to have an adversarial relationship with researchers, but rather to provide consultation and support. The underlying assumption is that people who conduct research with human participants subscribe to ethical research values, but that oversights sometimes occur that can put participants at risk. The purpose of ethics review is to assist in the identification of unintended risk factors and to achieve a resolution that will permit the research to proceed. Experience demonstrates that this approach will result in research that is both productive and ethically acceptable. Questions about research ethics should be directed to the appropriate Faculty Ethics Review Committee.

#### 20.6.1 Precis

All research using human participants comes under the purview of the *University Standards for the Protection of Human Research Participants*

- (1) whether participants are drawn from University sources or from any other sources;
- (2) whether participants are paid or unpaid;
- (3) whether it is conducted on University property or at any other location;
- (4) whether it is conducted in a laboratory or in the field;

- (5) whether it is conducted in person or by some other means (e.g. mail, telephone, computer link);
- (6) whether information is collected via direct observation, apparatus, questionnaire, interview, or review of records not normally available to the public;
- (7) when it is conducted for teaching and demonstration purposes where students are either the subjects or the researchers.

The term researcher(s) in these *Standards* includes all members of the University, all other persons who advance research as being connected with the University in any way, and all other persons who intend to use University resources in their research (e.g. research space, materials, equipment, student/staff participants, personnel). For the purpose of these *Standards*, members of the University are all faculty, staff, sessional instructors, administrators, students, visiting or adjunct scholars, fellows and chairs, paid and unpaid research associates and assistants, and any other person in a like position. These *Standards* apply to all persons who meet the above-stated definition, whether they are principal investigators or junior collaborators.

Human participation in research includes the direct or indirect involvement of persons who are the focus of a researcher's inquiry, the use of extant documents and other records and materials (e.g. blood and tissue samples) containing information about persons when the collection and the use of such information could deprive them of their dignity or jeopardize their physical or mental wellbeing. Persons studied on the basis of information contained in newspaper and journal articles, or other public materials, are not deemed to be research participants for the purposes of this policy.

## 20.6.2 Ethical Guidelines

In those situations where it is difficult to judge the obligation of good scientific enquiry and that of participant protection, formalized rules and regulations cannot be used to resolve the dilemma; instead it is necessary to weigh carefully the values and alternatives. In many cases, decisions reflect a judgement between the expected benefits of the research and the possible effects of the procedures on participants. The purpose of this section is to offer guidelines, rather than definitive rules, to assist in resolving dilemmas.

- (1) If research procedures, material or equipment, or the dissemination of results could potentially produce physical or mental harm for the participant, the investigator must assess the magnitude and present justification for it to an appropriate Ethics Review Committee (ERC). Before approving the research, the committee must be satisfied that there is a reasonable expectation that the results will significantly increase understanding or will benefit human health/welfare. As the magnitude of the potential risk increases, it becomes increasingly important that benefits outweigh those risks.

Research may be viewed as being ethical when the benefits outweigh the risks and the participants' welfare is safeguarded. In balancing the issues raised by these interrelated considerations, recognition should be given to variations in perceptions regarding ethics from community to community and over time.

An assessment must be made of the degree of risk, minimal or significant, to a participant. A minimal risk is defined as one that is no greater than the risks of everyday life or of routine medical or psychological examinations. A significant risk involves potential physical or psychological harm to the participants or, in some cases, to the groups of which they are members. If the risk is considered greater than minimal, the investigator must decide whether a participant should be exposed to the procedure. If the procedure is to be undertaken, the investigator must inform the participant of the risk before initiating the study. Should adverse effects result from research procedures, the researcher has an obligation to assist the participant in appreciably reducing or reversing those effects.

The magnitude of the potential benefit of the proposed research must be appraised by an ERC. The evaluation should be based on a global assessment of the degree to which the research might further our understanding of a phenomenon. Researchers are encouraged to make sure that subjects benefit from participation in the research by such means as educational post-briefing.

- (2) Where possible, participants or their surrogates must give fully informed and voluntary consent to participation.
- (3) Where possible, **participants must be guaranteed anonymity and their responses treated with confidentiality**. Where exceptions must be made, participants must be informed about the degree of anonymity and confidentiality prior to being asked for consent, and such guarantees must be respected.

The investigator must establish a fair agreement with the participant which clearly expresses the participant's respective obligations and responsibilities before the participant decides whether or not to participate. Participants should be informed of the opportunity to withdraw at any time without penalty. The researcher must inform the participant of all aspects of the research that could reasonably be expected to influence the participant's willingness to participate. Any incentive offered to participants must not be so large as to become an undue inducement that would undermine the voluntariness of participation. Captive populations, such as prisoners or patients, must not be offered inducements that would unduly improve their situation or influence their relation to others. If the participants are students and are involved in research as part of their education, they must be given an opportunity to obtain equivalent experiences through alternative procedures. In all cases, the participant should be informed of a person who may be contacted in case of concerns, complaints, or consequences. Research with children or participants who have impairments that would limit understanding requires special safeguarding procedures. Normally, consent from these persons must be obtained and these persons must be informed that they are free at any time to withdraw from participation. In addition to assent from a child (if the child has the capacity to understand) consent must be obtained from parents or guardians of the child (under age of 18 years). However, a child's dissent will always override a parent's or guardian's consent, while a child's assent will never override a parent's or guardian's refusal to grant consent. Consent may not be required from participants when the research involves the use of documents, records, pathological or diagnostic specimens, or data already collected (i.e., secondary analysis) or public behavior. "Public" refers to behavior that occurs in a context in which an individual may expect to be observed, recorded or to provide information that may be released. If anonymity and confidentiality cannot be assured, participants must be made aware of this limitation and of the possible consequences before becoming involved. Any use of secondary data not in the public domain must be in compliance with these guidelines.

- (4) Researchers must be competent in their area of inquiry, and they must be familiar with appropriate ethical guidelines and with participant risks and the possible uses to which the results may be put in order to make responsible decisions. The responsibility includes the awareness of and efforts to avoid discrimination and biases in research practices and in the interpretation of findings (for example, biases related to race and gender). When in doubt about the application of these guidelines, the investigator is encouraged to consult with informed colleagues and supervisors.
- (5) The investigator must ensure that all individuals under the investigator's supervision have the training and competence needed to carry out their responsibilities. Principal investigators must ensure that all research personnel are familiar with the *University Standards for the Protection of Human Research Participants* and with applicable professional guidelines.
 

Adequate supervision of student research must be ensured, especially where risk or sensitive areas are present. Because the investigator is ultimately responsible, such supervision is imperative. Researchers will ensure that all research assistants and student investigators are familiar with the *University Standards for the Protection of Human Research Participants* and with applicable professional guidelines.
- (6) Participants have the following rights: to consent to participate without coercion; to be fully informed about the project, except in special circumstances noted earlier under concealment; to be provided with opportunity to assess risk, including individual risks and individual and societal benefits; to withdraw from the research without penalty or risk of any kind (including loss of agreed-upon monetary reimbursement or other incentives); to be fully informed of the degree of anonymity; to be fully informed during post-briefing when concealment has been used.

## 21 Classification of Students

### 21.1 Categories

#### 21.1.1 Undergraduate and Graduate Students

- (1) Undergraduate students are those who are registered in a program leading to a bachelor's degree or first professional degree (or equivalent). A student's registration status can fall into one of two categories:

- a. Full-time students: those who are registered for credit in ★9 or more in one term.
  - b. Part-time students: those who are registered for credit in fewer than ★9 in one term.
- (2) Graduate students are those who hold the bachelor's degree or first professional degree (or equivalent) and are enrolled in a program leading to a graduate degree such as a Master's or PhD. These students are designated as "Full-time" or "Part-time" by the Faculty of Graduate Studies and Research according to their workload of courses and/or research. A more detailed classification of graduate students will be found in §182.4 of the Graduate Studies and Research section of this Calendar.

### 21.1.2 Classification of Undergraduate Students

- (1) Degree, Diploma, or Certificate Program Students are those who are registered in a program leading to a degree, diploma, or certificate granted by the University of Alberta.
- (2) Probationary Students are students who have been admitted (or permitted to continue) in a Faculty on a probationary basis, because their previous academic record is either deficient in some respect, below the standard ordinarily required, or difficult to assess. Normally, if such students fail to meet the conditions stipulated within the time limit imposed, they will not be allowed to proceed further in their program.
- (3) Special Students are those who have been permitted to register in one or more courses which are not being taken for credit towards a degree or diploma at this or any other institution.
- (4) Open Studies Students are students registered in selected credit courses but who are not committed or admitted to a degree or diploma program.
- (5) Visiting Students are bona fide students of other universities or colleges who have been permitted to take one or more courses for transfer of credit to their own institutions which have agreed in advance to such an arrangement.
- (6) Exchange Students: this term is used to describe students who are participating in a formal exchange program between the University of Alberta and another postsecondary institution. Incoming exchange students from other institutions are normally classified as Visiting Students for the period of the exchange. Outgoing University of Alberta exchange students remain classified in their ongoing program and register in an Exchange course (EXCH) for the period of the exchange.

### 21.1.3 Auditors

Auditors are not necessarily admitted students and are not registered for credit, but are attending one or more courses under the following conditions:

- (1) Since final permission to audit a course cannot be given until it is known that all students registered for credit have been accommodated, registrations as auditors will not be accepted until the sixth class day of the term and must be submitted prior to the ninth class day of the term.
- (2) The auditor registration form can be obtained from the Registrar's Office or Faculty office.
- (3) The permission of the course section instructor must be obtained and the form submitted to the Registrar's Office.
- (4) The Registrar's Office will determine if space exists in the course section and, if space is available, process the registration.
 

**Note:** Obtaining the instructor's permission does not guarantee registration in the course section if it is determined that the course section is full.
- (5) Courses audited in this manner will not be considered as meeting prerequisite, admission, or course requirements for any University degree program.
- (6) Individuals auditing courses shall limit their participation in the class to that deemed appropriate by the instructor.
- (7) Any person who is registered as a full-time or part-time student may also seek permission to audit a course by securing the approval of the instructor and the dean of the student's Faculty.
- (8) The imposition of attendance requirements for auditors is a matter of Faculty self-determination. Auditors should seek clarification of this information from the Faculty offering the course when they register.
- (9) Withdrawal from an audited course will result in a grade of 'AW,' as will failure to attend where attendance is a course requirement.

## 21.1.4 Intramural and Extramural Students

- (1) Intramural Students are those who are attending the University of Alberta at Edmonton or any of its off-campus centres.
- (2) Extramural Students are those who, although not attending the University of Alberta at Edmonton or any of its off-campus centres, are permitted to take one or more courses by correspondence, distance delivery, or directed study. This privilege may be granted by a Faculty in special circumstances.

## 21.1.5 Students in the Faculty of Extension

Faculty of Extension students are those registered in any of that Faculty's courses which may or may not lead to certificate credit.

## 21.2 Off-Campus Credit Program

For students living outside the Edmonton area, there are courses offered in the September to April period through the Off-Campus Credit Program at a number of centres throughout Central and Northern Alberta. Included in these are full-time, general first- and second-year programs at Slave Lake, Grouard, St Paul, and Hobbema. These programs are offered in cooperation with Athabasca University.

Further information can be obtained by contacting the Special Sessions Office at 492-3752.

## 21.3 Spring/Summer Courses

The University of Alberta offers a wide selection of courses during the Spring Term and Summer Term for the convenience of students who are unable to attend Fall/Winter courses, and for continuing students who wish to supplement their regular programs. Information about Spring/Summer courses is available in mid-December.

Further information can be obtained by contacting the Special Sessions Office at 492-3752.

# 22 Registration and Fees

## 22.1 Registration

### 22.1.1 Responsibility for Registration

Students are responsible for familiarizing themselves with the requirements and limitations of their programs as specified in each Faculty section of the Calendar, for ensuring that their programs are properly planned and in accordance with degree specifications, and for the completeness and accuracy of their registration. They are also responsible for adjustments in registration made necessary by changes to their academic status.

### 22.1.2 Registration Procedure

The registration period for the 1999/2000 Fall/Winter terms begins in mid-March for continuing students and in the beginning of May for conditionally admitted students and confirmed admissions. Please refer to the Registration Procedures publication for details.

The program in which a student seeks to register is in every case subject to the approval of the Faculty concerned. Students should note that they are required to register in courses in which they are deficient before registering in any other courses. The Faculty councils reserve the right to adjust courses to meet the needs of timetables of lecture and examinations. Registration in courses which directly conflict on the timetable will only be allowed where the express permission of the Faculty council concerned has been secured.

### 22.1.3 Reregistration in Courses

- (1) Students may not repeat any University course passed or courses for which they have received transfer credit except for reasons deemed sufficient, and verified in writing, by the dean (or designate) of the Faculty in which they are enrolled.

- (2) Students may not reregister for credit or audit more than once in any failed University course, except for reasons deemed sufficient by the dean (or designate) of the Faculty in which they are enrolled.
- (3) Students may not reregister for credit or audit more than once in any University course in which they have received a final grade of W, except for reasons deemed sufficient by the dean (or designate) of the Faculty in which they are enrolled.
- (4) In cases where a student contravenes regulations 1, 2, or 3 above, the dean (or designate) may withhold credit or indicate the course as extra to the degree, on the course registration that contravenes the regulation.
- (5) Students may repeat a Fall Term course in the Winter Term if it is offered in the Winter Term as long as the student complies with regulations 1, 2, and 3 above.
- (6) An undergraduate student who, because of unsatisfactory academic performance, is either required to withdraw, and/or required to repeat a year, and/or put on probation, will retain credit for courses in which grades of 4.0 or higher have been attained during the period for which the student's performance was evaluated as unsatisfactory.  
Notwithstanding this credit, Faculties may require substitution of other courses in programs in which full course loads are required.
- (7) The Faculties of Law, Medicine and Dentistry, and Pharmacy and Pharmaceutical Sciences were granted exemption from (6) above.

### 22.1.4 Prerequisite Course Requirements

Students registering in courses for which a prerequisite is listed must meet the prerequisite requirements. A grade of 4.0 is the minimum grade acceptable in a course which is to be used as a prerequisite. Departments may cancel the registrations of students in courses offered by the departments who do not meet the prerequisite requirements as stated in the course descriptions in this Calendar.

Degree credit may be withheld for courses with prerequisite requirements if the prerequisite requirements have not been met or waived in writing.

Where a prerequisite is stated, it is understood that equivalent courses may be used to satisfy the requirement. In addition, the prerequisite requirements may be waived with the written approval of the department that offers the course.

Students who are unsure that they meet the prerequisite requirements in a course, or who wish to obtain permission to have a prerequisite waived, should consult the department offering the course.

### 22.1.5 Corequisite Course Requirements

Students registering in courses for which a corequisite is listed must also register in the corequisite course or have previously passed the corequisite course with a minimum grade of 4.0. Departments may cancel the registrations of students in courses offered by the departments who do not meet the corequisite requirements as stated in the course description of this Calendar. Degree credit may be withheld for courses with corequisite requirements if the corequisite requirements have not been met or waived in writing.

Where a corequisite is stated, it is understood that equivalent courses may be used to satisfy the requirements. In addition, the corequisite requirements may be waived with the written approval of the department that offers the course.

Students who are unsure that they meet the corequisite requirements in a course, or who wish to obtain permission to have a corequisite waived, should consult the department offering the course.

Courses with corequisite requirements may only be used for degree credit if the corequisite requirements have been met or waived in writing. A grade of 4.0 is the minimum grade acceptable in a course used as a corequisite.

### 22.1.6 Confirmation of Registration

Undergraduate students are required to confirm their registration by paying a nonrefundable Confirmation Deposit of \$175 in accordance with instructions published in the *Registration Procedures* publication. Registrations of undergraduate students who fail to confirm their intent to attend by paying a nonrefundable Confirmation Deposit will be cancelled.

### 22.1.7 Late Registration

Students are normally required to complete their registration by the date specified in the academic schedule. After the end of the registration period, registration will be permitted only in exceptional circumstances and students may find that the course offerings are limited.

### 22.1.8 Changes in Registration

Changes of registration may be made by students until the end of the registration period. After the end of registration students must first obtain permission from their dean. This permission, in the form of a "Courses to be Added/Deleted Form" signed by the department(s) offering the course(s) and the student's dean, must be presented to the Registrar before the change becomes official. Changes in registration will not normally be approved after the deadline appearing in the Academic Schedule. Students may not abandon a course. In some Faculties, students will be assigned a failing grade if they abandon a course after the dates specified for withdrawal in the Academic Schedule or the Faculty Regulations section of the Calendar.

### 22.1.9 Cancellation of Registration or Withdrawals

Until the Registration Deadline (see §11) students may delete courses using the telephone-based registration system.

After the Registration Deadline and until the Withdrawal Deadline (see §11), students may withdraw from courses by using the telephone-based registration system, or in person at the Faculty or Department office. (Note: Certain courses and programs require in-person withdrawal.) See §22.2.8 for details.

Students contemplating withdrawal are invited to discuss with their Faculty Advisor and/or Student Counselling Services questions relating to their withdrawal decision, readmission, or future vocational plans.

### 22.1.10 Registration to Audit a Course

Students who wish to audit a course will follow the same procedures as above whether or not they are taking other courses for credit. See §21.1.3 for details.

## 22.2 Fees Payment Guide

Fees are approved by the University's Board of Governors and may change without notice. University policy regarding fee regulations, fee rates, and fee deadlines is established by the Board of Governors and is final. Students pay their fees at the rates which are in effect at the time of payment. Fees included in your fee assessment are exempt from the Federal Goods and Services Tax (GST). Current fees information is available on the Internet at [www.registrar.ualberta.ca/fees.html](http://www.registrar.ualberta.ca/fees.html)

Please contact the following offices, if you have any questions regarding fees:

- (1) **Undergraduate fee assessment:** Registrar's Office (492-3113)
- (2) **Graduate fee assessment:** Faculty of Graduate Studies and Research (492-3499)
- (3) **Fee payment:** Financial Services (492-3389)

Definitions of the terms used in this section can be found in the Glossary.

### 22.2.1 1998/99 Undergraduate Instructional and Noninstructional Fees

- (1) **How Instructional Fees are Assessed:** With few exceptions, tuition fees for undergraduate students are assessed by calculating the cost of each course in which the students are registered for credit, according to the following formula: **fee index value x units of fee index**. In §201, the units of fee index are shown following the title of each course, and are indicated by *fi*. The fee index value is a dollar amount that is approved annually by the Board of Governors. The undergraduate fee index for 1998/99 is \$55.48.

**Audited courses** are assessed using the formula "**fee index value x units of fee index x 1/2.**"

Fees are assessed by term (see Glossary). A two-term course counts for fee purposes as two single-term courses, one in each term.

**There is no maximum fee per term** unless otherwise indicated in the undergraduate instructional fee schedule (see below).

**Foreign Student Differential Fee:** If you are a student who is not a Canadian Citizen or Permanent Resident, you are required to pay an additional 100% on instructional fees. If you become a Permanent Resident before the end of term, the Differential Fee will not be assessed in that and subsequent terms. Please submit proof of your change of immigration status to the Registrar's Office (main floor, Administration Building).

- (2) **1998/99 Undergraduate Instructional Fee Schedule:** Students registered in the Faculty of Graduate Studies and Research should consult §22.2.2; students who are not Canadian Citizens or Permanent Residents must add a Differential Fee to all values in this section. Please note: The fee rates listed below are for 1998/99; fees are subject to change without notice.

1998/99 fee index value: \$55.48		
Single-term, ★3, <i>fi</i> = 6, for credit:	\$55.48 x 6	= \$332.88
Two-term, ★6, <i>fi</i> = 12, for credit:	\$55.48 x 12	= \$665.76
Single-term, ★3, <i>fi</i> = 6, audited:	\$55.48 x 6 x 1/2	= \$166.44
Two-term, ★6, <i>fi</i> = 12, audited:	\$55.48 x 12 x 1/2	= \$332.88
Program maximums are in place for the following programs only:		
MD program, all years:	\$2340.90/term	
DDS program, Years 1 to 3:	\$3212.26/term	
Dental Hygiene program, Year 1:	\$2487.64/term	
Dental Hygiene program, Year 2:	\$1616.28/term	

Individual courses taken by students enrolled in the MD and DDS programs, and by some Special Students in the Faculty of Medicine and Dentistry, are assessed using a fee index value of \$92.24.

- (3) **1998/99 Undergraduate Noninstructional Fee Schedule:** Students are assessed noninstructional fees per term as shown below; the corresponding privileges conferred are explained in §22.2.9. All of these fees are mandatory for most students; exceptions to noninstructional fee payment rules are listed at the end of this section.

*For fee purposes, a full-time student is registered for credit in courses with a combined weight of nine or more units of course weight in a term.*

Fee	Full-time Fall/Winter	Part-time Fall/Winter	Spring/Summer 1998
Registration and Transcript	\$52.62/term	\$26.31/term	\$26.31/term
Student Services	\$36.00/term	\$18.00/term	\$18.00/term
Students' Union	\$48.41/term <sup>1</sup>	\$31.39/term <sup>2</sup>	\$22.26/term <sup>3</sup>
Athletics and Recreation	\$44.00/term	\$22.00/term	\$22.00/term
Health Services	\$21.54/term	\$10.77/term	\$10.77/term

<sup>1</sup> Confers full membership. Full-time students in the DDS, BEd/AD, Diploma in Education or LLB programs, or Years 1 and 2 of the MD program pay \$43.09/term. Students in Years 3 and 4 of the MD program pay \$26.48/term for associate membership, or may elect to pay \$43.09/term for full membership. Students in the Postgraduate Medical Education Program who wish Students' Union membership pay \$29.93/term. Students in the Social Work program pay \$37.44/term, see §22.2.3(3).

<sup>2</sup> Confers associate membership. Part-time students may elect at registration to pay the full-time rate for full membership, see §22.2.3(3). Off-campus students pay \$23.86/term in the Fall/Winter.

<sup>3</sup> Off-campus students pay \$15.05/term in the Spring/Summer.

**Exceptions to Noninstructional fee payment regulations:** Optional privileges must be selected prior to the Registration Deadline.

- Auditing students who are not taking courses for credit do not pay noninstructional fees and are not granted the corresponding privileges.
- Noninstructional fees and privileges, with the exception of the Registration and Transcript Fee and the Student Services Fee, are optional for Co-op and Internship students registered in work experience courses only.
- Open Studies students (except Transition Year Program students) do not pay the Students' Union Fee, and are not granted the corresponding privileges.
- For students taking all their courses off campus, Athletics and Recreation and Health Services Fees and privileges are optional; other fees are mandatory.

- (4) **Fees for Special Programs:**

- a. **Postgraduate Medical Education Program:** Students in the Postgraduate Medical Education Program pay a Program Fee of \$605.60 per year.
- b. **Work Experience Courses (Co-op and Internships):** Students registered in cooperative work experience programs or work internships will register in courses entitled "Work Experience" (WKEXP). The fees for the work experience program are assessed as instructional fees for the WKEXP courses. For the terms of registration in WKEXP alone, students are also assessed the Registration and Transcript Fee and Student Services Fee. Athletics and Recreation and Health Services Fees are optional. If a student registers in other courses additional to WKEXP in a term, normal tuition fee calculations will apply for the total registration.

- c. **Faculty of Education and Faculté Saint-Jean Students in Field Experience Courses:** Students registered in certain Practicum courses will pay a Placement Fee of one unit of fee index (\$55.48) per course which is assessed and collected with the course fee.
- d. **Coordinated Dietetics Program:** The Registration Fee for each work term is \$150.
- e. **Faculty Student Funds:** Students in certain faculties have voted to be assessed an additional Faculty Student Fund in accordance with the Student's Union bylaws. All students registered in the following faculties for Fall or Winter Term will be assessed a Faculty Student Fund as indicated:
- Engineering: \$25/term
  - Faculté Saint-Jean: \$3/term
  - Law: \$50/term

- (5) **Sample Fee Assessment 1998/99 Rates:**

(For a full-time, Canadian student taking five credit courses, each with a weight of three units of course weight and a fee index of six, per term.)

	1st Term	2nd Term	Total
Course Fees	\$1664.40	\$1664.40	\$3328.80
Registration and Transcript	52.62	52.62	105.24
Student Services	36.00	36.00	72.00
Students' Union	48.41	48.41	96.82
Athletics and Recreation	44.00	44.00	88.00
Health Services	21.54	21.54	43.08
<b>Total</b>	<b>\$1866.97</b>	<b>\$1866.97</b>	<b>\$3733.94</b>

## 22.2.2 1998/99 Graduate Instructional and Noninstructional Fees

- (1) **General Information:** All graduate students are assessed two types of fees: instructional fees and noninstructional fees.

- (2) **How Fees are Assessed:**

- a. **Course Instruction Fees:** All graduate students are assessed individual course fees for all courses, projects, and thesis research. Fees for a course taken for credit are normally calculated according to the following formula: **fee index value x units of fee index**. The fee index value is a dollar amount that is approved annually by the Board of Governors. **The graduate fee index value for Spring/Summer 1998 and Fall/Winter 1998/99 is \$65.06.** The units of fee index are shown following the title of each course in §201 (Course Listings), and are indicated by *fi*. Fees for an audited course are calculated according to the following formula: **fee index value x units of fee index x 1/2**. Therefore, the assessment for a course with a fee index of six taken for credit is \$390.36. The assessment for a course with a fee index of six taken for audit is \$195.18. (Special Graduate Students may not audit courses.)
- b. **Extra Course Fees:** Students who are registered in courses which are designated as extra to their degree program are assessed Extra Course Fees in addition to any other Course Instruction Fees they have been assessed. Extra Course Fees are calculated in the same manner as Course Instruction Fees, and are also instructional fees.
- c. **1998/99 Graduate Student Noninstructional Fee Schedule:** In addition to instructional fees, all graduate students are assessed noninstructional fees. The noninstructional fees assessed are based upon whether a student is full-time or part-time, and upon whether a student is an on-campus or extramural student. Noninstructional fees differ between Spring/Summer and Fall/Winter.

Students are assessed noninstructional fees for each term in which they register. The assessment rates are shown below. The corresponding privileges conferred are explained in §22.2.12.

Fee	Full-time Fall/Winter 1998/99	Part-time Fall/Winter 1998/99	Spring/Summer 1998
Registration and Transcript	\$ 52.62/term	\$ 26.31/term	\$ 26.31/term
Student Services	\$ 36.00/term	\$ 18.00/term	\$ 18.00/term
Athletics and Recreation <sup>1</sup>	\$ 44.00/term	\$ 22.00/term	\$ 22.00/term
Health Services <sup>1</sup>	\$ 21.54/term	\$ 10.77/term	\$ 10.77/term
Graduate Students' Association <sup>1</sup>	\$161.80/Term 1	\$ 15.15/term	N/A
	\$ 30.30/Term 2	\$390.36/term	\$390.36/term
Maintaining Registration <sup>2</sup>	N/A	\$858.79	\$858.79
Readmission <sup>3</sup>	\$858.79	\$858.79	\$858.79

<sup>1</sup> Extramural students are not assessed this fee.

**<sup>2</sup> Maintaining Registration Fee:** Students who wish to keep their program active, but who are not working on courses, projects, or thesis research register in "Maintaining Registration" by registering in M REG 800. Such students are assessed a Maintaining Registration Fee for each term in which they are so registered and other applicable noninstructional fees.

**<sup>3</sup> Readmission Fee:** Students who do not keep their programs active as described in §173.3 must reapply and be readmitted before they can resume their program of studies. All readmitted students are assessed a Readmission Fee in addition to other applicable fees.

Full-time graduate students are eligible to become members of the Students' Union by paying the applicable fee (\$43.09 per term in 1998/99). Consult the Faculty of Graduate Studies and Research.

Graduate students who change their registration from full-time to part-time may be eligible for a reassessment of noninstructional fees; see §22.2.7.

Graduate students who change their registration from part-time to full-time are assessed full noninstructional fees.

d. **Foreign Student Differential Fees:** If you are a student who is not a Canadian Citizen or Permanent Resident, you will be assessed a Differential Fee calculated as an additional 100% of all instructional fees assessed. If you become a Permanent Resident (landed immigrant) before the end of a term, the Differential Fee will not be assessed in that and subsequent terms. Please submit proof of your change of immigration status to the Fees Coordinator, Faculty of Graduate Studies and Research (105 Administration Building).

e. **Sample Fee Assessments 1998/99 Rates:**

18 fee index units per term (full-time)

	1st Term	2nd Term	Total
Instruction	\$1171.08	\$1171.08	\$2342.16
Registration and Transcript	52.62	52.62	105.24
Student Services	36.00	36.00	72.00
Athletics and Recreation	44.00	44.00	88.00
Health Services	21.54	21.54	43.08
Grad Students' Assn	161.80	30.30	192.10
<b>Total</b>	<b>\$1487.04</b>	<b>\$1355.54</b>	<b>\$2842.58</b>
(Differential <sup>1</sup> 100%)	1171.08	1171.08	2342.16
<b>Total</b>	<b>\$2658.12</b>	<b>\$2526.62</b>	<b>\$5184.74</b>

<sup>1</sup> See §22.2.2(2)d.

6 fee index units per term (part-time)

	1st Term	2nd Term	Total
Instruction	\$390.36	\$390.36	\$780.72
Registration and Transcript	26.31	26.31	52.62
Student Services	18.00	18.00	36.00
Athletics and Recreation	22.00	22.00	44.00
Health Services	10.77	10.77	21.54
Grad Students' Assn	15.15	15.15	30.30
<b>Total</b>	<b>\$482.59</b>	<b>\$482.59</b>	<b>\$965.18</b>
(Differential <sup>1</sup> 100%)	390.36	390.36	780.72
<b>Total</b>	<b>\$872.95</b>	<b>\$872.95</b>	<b>\$1745.90</b>

<sup>1</sup> See §22.2.2(2)d.

(3) **Fees Inquiries:** The Faculty of Graduate Studies and Research is responsible for the assessment of graduate student fees. Inquiries concerning graduate student fee assessments, changes in fees related to program or registration changes, and so on, should be directed to the Faculty of Graduate Studies and Research.

The Fees Section, Financial Services, is responsible for fees collection. Inquiries concerning your Statement of Account, method of payment, late payment penalties, and so on, should be addressed to the Fees Section, Financial Services. Inquiries about payroll deductions of fees from assistantships should also be directed to the Fees Section, Financial Services.

Inquiries about payment of monthly stipends to holders of graduate assistantships should be addressed to the Payroll Office, Human Resource Group.

### 22.2.3 Miscellaneous Fees

In addition to normal instructional and noninstructional fees discussed previously, certain courses (or programs) also contain the requirement for the payment of additional miscellaneous fees to recover costs for required elements of the course or program which are not directly related to the delivery of instruction. Some examples of mandatory miscellaneous fees are: costs of food, lodging, and transportation for required field trips; supply of

certain specialized professional tools which the student will retain; and fees for arranging professional placements such as practicum, internships, and work experience. All mandatory miscellaneous fees are approved by the Board of Governors and are listed below. Miscellaneous fees are normally assessed and collected by the department or program office which sponsors the course or program.

In some courses, certain laboratory or lecture services (e.g. lecture handouts) are made available to students on an elective basis for a cost-recovery charge. These are not miscellaneous fees because purchase is voluntary and not a requirement of registration.

Course/Program	Fee for	Amount
BOT 332	Field trip	\$ 30
BOT 304	Field trip	\$ 5
CAPS 431	Field trip	\$ 15
DRAMA 101	Field trip	\$ 10
Education/Field Services	Practicum placement	One unit of fee index/placement
ENGG 404	Field trip	\$ 60
ENGG 406	Field trip	\$ 60
ENCS 201	Field trip/Audio tape	\$ 40
ENCS 460	Field trip	\$2500 (variable)
ENCS 462	Field trip	\$ 10
ENCS 463	Field trip	\$ 10
ENCS 464	Field trip	\$ 10
Executive MBA	International Study Tour	\$1500
Faculté St Jean	Practicum placement	One unit of fee index/placement
FOR 101	Field school	\$ 150
FOR 302	Field camp	\$ 260
FOR 303	Field camp	\$ 260
FOR 304	Field camp	\$ 260
FOR 322	Field trip	\$ 20
FOR 323	Field trip	\$ 20
FOR 372	Field trip	\$ 55
HECOL 481	Practicum placement	One unit of fee index
HECOL 482	Practicum placement	One unit of fee index
LIS 536	On-line computer search	\$ 50
MATE 448	Field trip	\$ 50
PAC 145	Green/range fees	\$ 35
PEDS 205	Equipment Use	\$ 30
PEDS 305	Equipment Use	\$ 30
PERLS 452	Field trip	\$ 20
REN R 120	Field trip	\$ 30
RLS 452	Field trip	\$ 20
RLS 462	Field trip	\$ 20
RLS 463	Field trip	\$ 20
Transition Year Program	Program Fee	\$ 800
ZOOL 434	Field trip	\$ 450
ZOOL 464	Field trip	\$ 17 per day

### 22.2.4 Alternate Delivery Courses

Sections of certain approved courses may be offered in an Alternative Delivery format at an increased rate of fee assessment.

### 22.2.5 Deadlines for Instructional and Noninstructional Fee Payment

For details on the payment of the Confirmation Deposit, see the Registration Procedures publication.

To avoid installment charges, all Fall/Winter fees must be paid by the last weekday in September.

If students choose to pay by term:

- Fall Term fees must be paid by the last weekday in September; and
- Winter Term fees, plus a \$40 installment charge, must be paid by the last weekday in January.

Students registered in only one term must pay by the term deadline given above.

### 22.2.6 Penalties for Late Payment of Fees

Important note: If you do not pay your fees in full by the appropriate deadline given in §22.2.5, your registration will be cancelled.

Reinstatement of cancelled registrations (subject to course availability and Faculty consent) will be conducted only upon payment in full of all outstanding fees owing plus a \$100 reinstatement charge.

In those rare instances where a student is permitted to retain a registration after the payment deadline, a late payment penalty of 18% per annum will be applied to the outstanding balance at the end of each month.

For a discussion of the implications of not paying amounts owed to the University, see §23.9.6.

Delinquent accounts will be reported to the Credit Bureau and referred to a collection agency for recovery.

## 22.2.7 Obtaining and Paying Your Fee Assessment

- (1) **Obtaining a Fee Assessment:** Students enrolled in Fall/Winter receive their fee assessment with their timetable notice at the beginning of each term; the assessment is printed on the class timetable notice, and is based on the course registrations shown on the timetable.

Timetable notices/fee assessments are mailed to the most recent mailing address provided.

- (2) **Obtaining a Revised Fee Assessment**

a. **Undergraduate students:** If, after receiving your Timetable notice, you change the courses in which you are enrolled, you must obtain a revised fee assessment. Visit the Registrar's Office desk in the Universiade Pavilion for changes made before the term registration deadline, and Financial Services (3rd floor Administration Building) about changes made after the registration deadline. Please take your Student OneCard with you. If you delay getting a new assessment, you may incur financial penalties (§22.2.6); information on refunds is given in §22.2.8. Registration deadlines are listed in §11. In the event that a revised assessment, following a withdrawal, results in unpaid fees, students are held responsible for payment of the fees in full.

b. **Graduate students:** If you make any fee-affecting changes to your Fall/Winter or Spring/Summer registrations on or before the first-term registration deadline, the Faculty of Graduate Studies and Research will mail you a Reassessment of Fees. No further reassessments of fees are mailed by the Faculty for the applicable period. If you make any Department and Faculty approved fee-affecting changes to your registration after the first-term registration deadline, you must obtain a revised fees assessment in order to ensure that you make the appropriate payment prior to the deadline. Please consult with the Faculty of Graduate Studies and Research, 105 Administration Building.

- (3) **Tuition Payment Deadlines**

Fees must be received in Financial Services by the payment deadline to avoid cancellation of registration (see §22.2.5). Mail delays will not be accepted as a reason for late payment.

The last date for payment of fees for two-term and first-term-only students is as noted in §22.2.5. However, students registered for both Fall and Winter Terms may choose to pay fees in two installments, one for each term. First term fees must be paid by the first-term deadline and second-term fees by the second-term deadline. A \$40 installment charge is payable with the second installment.

- (4) **Tuition Payment Options**

- a. **Bank of Montreal Payment**

Tuition may be paid at any Bank of Montreal branch, whether or not the student holds an account there. Forms for Bank of Montreal Fee Payment are located at the back of the Registration Procedures publication. Students complete the required information and return it to any Bank of Montreal branch. Students who do not hold a Bank of Montreal account can use either the teller or an automated banking machine.

- b. **Telephone Banking**

Students do not need to have previous telephone banking arrangements. Students who deal with one of the following banks may use this option. Dial the 1-800 phone number provided and a series of questions will be asked. The system will collect bank information, amount paid, and the students ID number.

Royal Bank: 1-800-ROYAL-111

CIBC: 1-800-465-2422

ScotiaBank: 1-800-267-1234

Toronto Dominion: 1-800-465-BANK (Agent will assist)

Bank of Montreal: 1-800-363-9992 (Optional agent assistance)

- c. **Payment In-person**

Tuition may be paid at either the cashiers or the Fees counter located on the third floor of the University of Alberta Administration Building. The cashiers accept the following methods of payment: cash, cheque, debit card, Visa, or MasterCard. Fees accept payment by debit card, Visa or MasterCard only. A dropbox/mailslot is located on the third floor of the Administration Building. Envelopes and credit card forms are provided. Cheques or money orders must be made payable to the University of Alberta (students are requested to provide their student ID numbers on their cheques). Fee assessment notices must be included with payment.

- d. **Mail**

Tuition may be paid by campus mail by depositing cheque and fee assessment, or credit card form and fee assessment in the special

deposit box, available one week before the payment deadline date, on the main floor of the Administration Building. After hours, students can use the campus mailbox located at 114 Street and 89 Avenue.

Tuition payment may be mailed to Fees Section, Financial Services, University of Alberta, Edmonton, Alberta T6G 2M7.

Note: Mail delays will not be accepted as a reason for late payment.

- e. **Student Loans**

Fees will be deducted from student loans at the time of institutional approval. See §22.2.7(7).

- (5) **Dishonored Cheques:** Payment by dishonored cheque is treated as nonpayment of fees. Please refer to §22.2.6 for consequences. In addition to the penalties outlined there, a \$15 charge is assessed for the dishonored cheque itself.

Students will be required to pay by certified cheque or guaranteed funds for any cheque returned due to lack of sufficient funds (NSF) in the account against which the cheque was written.

- (6) **Deposits:** Students who have paid a deposit to confirm their admission to, or continuation in, a program should deduct this from their fee assessment total.

- (7) **Student Loans:** Alberta students who need financial assistance are advised to apply to the Students' Finance Board as early as possible, preferably from May onward. Application forms are available from the Registrar's Office. Application forms for loan assistance from other provincial governments for students from those provinces are available from the Student Financial Aid and Information Centre.

Students must be registered full-time for the period of their loan. If your loan covers both terms of Fall/Winter, you must be registered for credit in courses with a combined weight of 9 or more in each term in order to have your loan approved.

**How to Process an Alberta Loan:** Students who receive loan assistance through the Students' Finance Board of the Province of Alberta should submit all copies of their Certificate of Eligibility with their Student OneCard to the Financial Services fees wicket on the third floor of the Administration Building or, during early September or January, to the loans area of the Universiade Pavilion.

Students wishing to pay only Fall tuition and fees must present a Student Finance Board Notice of Assessment indicating further loan disbursements. **Without this document, the tuition and fees for the full year will be deducted.**

The fees due to the University are the first charge against the loan. If the amount of assistance awarded is less than the full amount of fees, the balance of fees owing is subject to normal fee payment regulations and deadlines.

Once the certificate is signed, the loan can be processed at either the Canadian Imperial Bank of Commerce or the Royal Bank.

It is the responsibility of students to provide written evidence of their loans to Financial Services as early as possible and prior to the Payment Deadline. Failure to do this may result in cancellation of registration and/or financial penalty.

- (8) **How to Process a Loan from Another Provincial Government:** Disbursal documents are sent by some governments directly to their students and in other instances to the Fees Section of Financial Services. When you receive notification, please report with the documents you have and your Student OneCard to the Financial Service fees wicket before the Registration Deadline as described in the preceding paragraph.

- (9) **Scholarships, Bursaries and Other Financial Assistance:** Students whose fees are to be paid by scholarships, bursaries, or other assistance processed through the University, or by Alberta Heritage, Rutherford, or McKinney scholarship funds, should advise Financial Services well before the fee payment deadline of the amount and source of the award. No late payment penalties will be exacted if the funds do not arrive by the fee payment deadline; however, if the award will not cover the full installment of fees due, the balance must be paid by the normal deadline.

Students who receive direct assistance that is not processed through the University (e.g. a grant from an employer) must follow normal fee payment regulations and meet normal deadlines. Confirmation of enrolment will be provided by the Office of the Registrar and Student Awards on request.

- (10) **Receipts:** The Tuition and Education Amounts Certificate (Form T2202A) is the students' official receipt for income tax purposes. This form is mailed annually in February. Students who make payments after the production of the certificate will be mailed a revised certificate.

Students requiring proof of payment prior to February are encouraged to use their cancelled cheque if possible. Alternatively, a cashier's receipt

will be provided at time of payment when paying **in person** at the cashier's wicket of Financial Services. At any other time, the Fees Section of Financial Services will provide proof of payment at the student's request. Please allow up to three weeks for delivery.

## 22.2.8 Refunds and Withdrawals

### Undergraduate and Graduate Students:

- (1) Within each term fees (other than the nonrefundable deposit) are 100% refundable until the Registration Deadline (see §11). From then until the Refund Deadline (see §11), students who formally withdraw from one or more Fall/Winter or Spring/Summer courses will receive a 50% refund of instructional fees. There are no further refunds after the Refund Deadline.
- (2) If the change to the registration occurs by the Refund Deadline and results in a change from full- to part-time status (see Glossary), noninstructional fees will also be reassessed, and the difference refunded.
- (3) Students withdrawing from all of their courses after the Registration Deadline and before the Refund Deadline will receive a refund of 50% of noninstructional fees.
- (4) The effective date of your withdrawal is the day you successfully withdraw using the telephone-based registration system, or, if you withdraw manually, it is the day the Faculty or Department receives your withdrawal form. Students who register and then cease to attend, or never attend, without properly withdrawing will not be eligible for any refund of fees nor will they be exempt from paying assessed fees that are unpaid.
- (5) **Fees deadlines are firm and are strictly enforced.** There is no appeal process within the University in cases where a student has missed a deadline. Students should ensure that they process and confirm any intended withdrawals well in advance of the required deadline since ignorance of the deadline or similar extenuating circumstances will not be accepted as an excuse. Students should be aware that when they withdraw from a course at any time after the Registration Deadline, they have effectively occupied a place in the class for the entire term. The policy of the University is to treat all students in an equitable fashion by adhering strictly to the deadlines published. Students are responsible for the completeness and accuracy of their registrations.

**Changes from credit to audit:** If the change is made during the audit registration period (see §11), the assessment for the course will be at the audit rate both for undergraduate students and graduate students.

**Unpaid Accounts:** If you have not paid your full fee assessment for the session when you withdraw or change from credit to audit, you may still owe money to the University. Contact Financial Services (492-3389) for an up-to-date Statement of Account.

**Refund Cheques:** Please allow six weeks after the Refund Deadline for your cheque to be processed and mailed to you.

## 22.2.9 Staff Remissions

Students who are University of Alberta Non-academic staff members are eligible to have their University of Alberta Athletics and Recreation Fees and University Health Services Fees waived. Undergraduate students should present their staff card to the Access Centre, Main Floor, Administration Building. Graduate students should present their staff card to the Fees Coordinator, Faculty of Graduate Studies and Research, 105 Administration Building. The deadline to apply for this waiver is the end of the term for which the fees have been assessed. Eligible students will need to initiate this process for each Fall/Winter or Spring/Summer in which they register.

## 22.2.10 Third Party Billing

In accordance with §§22.2.5 and 22.2.6 of the University Calendar, Third Party Sponsors will be expected to adhere to University policies with respect to payment deadlines, late payment penalties, installment charges, withdrawals and so forth. Individual listing or group listings should be forwarded to the Fees Section, Financial Services, well in advance of the Registration Deadline. Students are responsible for advising the third party of any changes to the financial record after the initial billing.

If payment is not received by the Payment Deadline, academic and financial penalties will be imposed.

## 22.2.11 1998/99 Schedule of Fees for Special Services

The following are fees for special services; the rates shown are correct at the time of printing, but are subject to change without notice.

### Examination and examination-related fees (see §23.5):

Deferred examination (§23.5.6)	\$ 27.74/paper
Reexamination (§23.5.5)	\$ 83.22/paper
Examination conducted at an established centre other than Edmonton	
In Canada	\$131.00 (additional)/paper
Outside Canada	\$156.00 (additional)/paper
Final examination reappraisal (§23.5.4)	\$ 55.48/paper
Credit by special assessment (§14.2.5)	\$166.44/course

### Other fees (payable in advance):

Replacement parchment	\$ 60.00
Application for undergraduate admission (§12)	\$ 60.00/form
Application for undergraduate Readmission or transfer (§12)	\$ 60.00/form
Graduate readmission (§22.2.2)	\$858.79
Graduate thesis microfilming	\$ 30.75
Replacement Student OneCard	\$ 12.00
Health Care Coverage for Foreign Students	\$57.78/month

## 22.2.12 Privileges Conferred by Payment of Noninstructional Fees

Additional information and current (1998/99) rates for these fees are given in §§22.2.1 and 22.2.2.

- (1) **Registration and Transcript Fee:** Confers to all students the privilege of receiving ongoing services for admission, student records management, academic certification including official transcripts (see §23.9.2), convocation, and the like from the Office of the Registrar and Student Awards, Financial Services, and Faculty offices. This fee is assessed to all students, graduate and undergraduate, full-time and part-time, on-campus and off-campus.
- (2) **Student Services Fee:** Confers to all students the privilege of receiving ongoing services from the Office of the Dean of Students and associated offices such as Academic Support Centre, Career and Placement Services, Native Student Services, Services for Students with Disabilities, Sexual Assault Centre, Student Counselling Services, Student Financial Aid and Information Centre, and Student OmbudService. This fee is assessed to all students, undergraduate and graduate, full- and part-time, on-campus and off-campus. The fee also entitles students to services from the International Centre.
- (3) **Students' Union Fee:** Full membership entitles a student to use all Students' Union facilities and services, and grants a student the right to vote and stand for office in Students' Union elections. Associate members may not stand for office, but are entitled to all other privileges. For further information see §22.2.1, or contact the Students' Union Vice-President (Finance & Administration) in Room 259 SUB (492-4236).
- (4) **Graduate Students' Association Fee:** Confers the privilege of using services provided to graduate students by the Graduate Student's Association. These include use of the Power Plant Graduate Students' Lounge (Back Room Bar), Dental Plan (only applies to students who are full-time on-campus in Fall Term), Insurance Plan, access to lecture grants professional development grants, help with academic appeals, and access to inexpensive photocopying and faxing. The Graduate Students' Association represents graduate students on university committees, and negotiates assistantships regulations on behalf of graduate assistantship holders.
- (5) **Athletics and Recreation Fee:** Confers the privileges of using the facilities of the Physical Education and Recreation Centre and of participating in all Athletic Services Programs. This fee is assessed to all on-campus students. See also §25.9.
- (6) **Health Services Fee:** Confers the privilege of using the University Health Centre, which offers a wide range of convenient medical services, including drop-in medical consultation, immunizations, an on-site pharmacy, and reduced costs on prescriptions. This fee is assessed to all on-campus students. See also §25.1.10.

## 22.2.13 Estimates of Future Fees

Instructional fees are reviewed and adjusted as required on an annual basis by the Board of Governors. The Government of Alberta's *Tuition Fee Policy* governs the rate at which instructional fees can be increased.

At the time of printing, the Board was able to provide the following evaluates of instructional fees for the next three years. These are estimates of the maximum permissible increase in instructional fees under current government policy. They employ conservative estimates for factors which could influence future fees (for example, future changes to the Alberta consumer price index). Fees are subject to change without notice.

	1998/99 actual	1999/2000 estimate	2000/01 estimate
Increase in instructional fee	8.92%	8.24%	7.86%
Undergraduate fee index value	\$ 55.48	\$ 60.08	\$ 64.80
Cost of normal ★3 course (fi 6)	\$332.88	\$360.48	\$388.81

Noninstructional fees are reviewed and adjusted as required on an annual basis by the Board of Governors taking into account changes to the costs of delivering the services associated with the fees (see §22.2.12).

Students' Union and Graduate Students' Association fees are adjusted as determined by each of the two student associations in accordance with their bylaws.

## 23 Academic Regulations

### 23.1 Changes in Regulations

The University reserves the right to make changes in regulations governing degree programs from time to time.

#### 23.1.1 Academic Standing Regulations

Changes regarding "academic standing" regulations such as the eligibility to continue in a program, promotion, and graduation requirements, apply to both new and continuing students. All students should annually refer to the current Calendar for appropriate Faculty academic standing regulations.

General Faculties Council (GFC) is the University's senior academic governing body and it is GFC which has approved all the academic regulations which appear in this and other sections of the Calendar. No changes to these regulations may be made without GFC's approval.

Any and all grade averages used in making decisions regarding academic standing should be clearly described in the Faculty section of the Calendar; this description is to include the computational rules and the way in which the average will be used in the decision process.

#### 23.1.2 Degree Program Regulations

Unless stated otherwise, changes in degree program requirements (i.e., the required courses specified for a particular program of studies, or the units of course weight required in specified subject areas, or the total units of course weight required in the degree program) apply only to new students and those readmitted to a Faculty. Continuing students should refer to the Calendar in effect at the time they were admitted or readmitted for the regulations governing their degree program requirements.

Where a required course for a degree program is no longer offered, the Faculty may specify an alternate. **Students who interrupt their program and who must apply for readmission to the program will be required to comply with any new regulations upon resumption of their studies.**

### 23.2 Residence Requirements

A student proceeding towards a first (bachelor's) degree is expected to complete at least half of the credits required through courses offered by the University of Alberta (either "on" or "off" campus in Fall/Winter or Spring/Summer). Normally, at least half of these "University of Alberta" courses will be taken as the final courses in the program. Credits obtained by special assessment at the University of Alberta may be included in the count of courses used to satisfy the residency requirements. (See §14.2.5 Credit by Special Assessment.)

#### 23.2.1 Transfer of Credit

Transfer of credit for students being considered for admission to the University of Alberta is discussed in §14.2.3. Under certain circumstances,

students registered in a degree program at the University of Alberta may be permitted to take courses at another recognized postsecondary institution for application to their program at the University of Alberta. Normally, this is accomplished by participating in a formal exchange program or by registering as a Visiting Student at the other institution. To be considered for such transfer credit, students must

- (1) Receive permission in advance from their home Faculty;
- (2) Be in good standing in their ongoing degree program;
- (3) Not have exceeded the maximum amount of transfer credit allowed by the Faculty.

Transfer credit is normally awarded only for approved courses in which a grade of 4.0 (or its equivalent) is achieved. Credit for such courses will be considered on a credit-fail basis only and will not normally be included in any grade point average calculation; Faculties may have other requirements. Students should consult their Faculty section of this Calendar.

### 23.3 Attendance

Since presence at lectures, participation in classroom discussions and projects, and the completion of assignments are important components of most courses, students will serve their interests best by regular attendance. Those who choose not to attend must assume whatever risks are involved. In connection to this students should refer to §§23.5.1(1) and 23.5.3(3).

### 23.4 Evaluation Procedures and Grading System

- (1) **Weighting of Term Work and Final Examinations:** In each course in which a final examination is held, a weight of not less than 30 percent and not more than 70 percent will be assigned to the final examination, except where a departure from this arrangement has been authorized by the council of the Faculty in which the department offering the course is situated. The remaining weight for the course will be assigned to term work.
- (2) **Course Requirements, Evaluation Procedures and Grading:** The following guidelines should be followed.
  - a. At the beginning of each course, instructors are required by GFC to provide a course outline including a statement of the objectives and content to be covered in the course, a list of the required textbooks and other major course materials, and an indication of how and when students have access to the instructor.
  - b. The course outline must include the distribution of weight between term work and final examination. In addition, all course activities worth 10% or more must be identified.
  - c. The dates of any examination and course assignments with a weight of 10% or more should be indicated on the course outline.
  - d. Instructors may indicate in the course outline the date, time and place on which the deferred examination for the course will occur, should one be required. See §23.5.6.
  - e. Instructors are required to announce at the beginning of a course the manner in which the official University grading system is to be implemented in that particular course or section, i.e., whether a particular distribution is to be used to determine grades, or whether there are absolute measures or marks which will determine them, or whether a combination of the two will be used. Instructors should refer to the University of Alberta Marking and Grading Guidelines.
  - f. Instructors should allow students a reasonable time in which to complete an assignment, bearing in mind its weight.
  - g. Instructors should mark and return to students with reasonable dispatch all term examinations and, provided the students submit them by the due date, all course projects, papers, essays, etc.
  - h. All projects, papers, essays, etc. should be returned on or by the last day of classes in the course, with the exception of a final major project or paper (which may be due on the last day of classes), which should be returned by the date of the scheduled final examination or, in non-examination courses, by the last day of the examination period.
  - i. Upon request, instructors are required to provide the method which was used to translate final and, where appropriate, term marks into grades.

**(3) Procedures for Registering Complaints about Marking, Grading, and Related Issues:**

- a. Where the above guidelines have not been followed or where students have concerns about the instructor's teaching, the student should make the concern known to the appropriate individual in the following sequence:
  - 1) Instructor
  - 2) Chair of the Department in which the course is taught
  - 3) Dean of the Faculty in which the course is taught (some Faculties have delegated this authority to departments)
- b. A student needing advice on these matters should see the student advisors in the Office of the Dean of Students.
- c. These procedures do not constitute a mechanism for appeals and grievances regarding the academic standing or individual grades of a student. Appeals and grievances of that nature are dealt with in §23.8 of the Calendar.

**(4) The Nine Point Grading System:** An important feature of the nine point grading system is that the marking and grading are done separately. For a large class, examiners should mark the papers and record the raw scores, sort the papers in order of merit, and decide whether the class as a whole is average, above average or below average. They should then determine what percentage of the class should fall into each of the nine possible grades and assign grades accordingly. In small classes, the honors or senior courses, instructors should mark in terms of raw score, rank the papers in order of merit, and with due attention to the verbal descriptions of the various grades, assign an appropriate grade to each paper.

The process of ranking and grading students may be carried out as each assignment and examination is marked, or it may be deferred until all assignments, including the final examination, have been marked. Instructors should announce at the beginning of a course, the manner in which the official University nine point grading system is to be implemented in their particular course.

In the first case, at the end of the term instructors will have a record of each student's grades for all assignments and examinations with the percentage weight assigned to each. They should then assign a term results summary remark and a final grade in the course, rounding off any fractional values that may occur to the nearest full grade.

In the second case, at the end of the term instructors will have a record of every student's marks (raw scores) for all assignments and examinations with the percentage weight assigned to each. They should then compute a term results summary mark based on the student's term mark and final examination. Having computed this for each student, they should then rank the students in order of merit and assign final grades by the process described in the first paragraph of this section.

Since the distribution of grades is not standard, the system should not be referred to as a stanine system, nor should the various grades be called "stanines."

**(5) Assigning Grade Points:** Grade points reflect judgements of student achievement made by instructors. These judgements are based on a combination of absolute achievement and relative performance in a class. The instructor should mark in terms of raw scores, rank the papers in order of merit, and, with due attention to the verbal descriptions of the various grade points, assign an appropriate grade to each paper.

**Course Grades Obtained by Undergraduate Students:**

9	}	Excellent
8		
7	}	Good
6		
5	}	Satisfactory
Pass 4		
Fail 3	}	Unsatisfactory
2		
1		

**Course Grades Obtained by Graduate Students:**

9	}	Excellent
8		
7	}	Good
Pass 6		Satisfactory
Fail 5	}	Unsatisfactory
4		
3		(see §173.8.2)
2		
1		

**(6) In addition to the numeric grades described above, the University of Alberta currently records the following non-numeric grades and remarks:**

**Final Grades**

- AE aegrotat standing
- AU registered as an auditor
- AW registered as an auditor and withdrew
- CR completed requirements, no numeric grade assigned
- DB debarred from final examination
- EX exempt
- F failure, no numeric grade assigned
- IN incomplete
- IP course in progress; completed in another program
- W withdrew with permission

**Remarks**

- A grade includes a mark of '0' for final examination missed, or for term work missed, or both
- D granted deferred final examination
- F failure
- N credit withheld
- R reexamination granted
- + extra to degree requirements
- \* course used by Faculty of Law to satisfy written work requirement.

**(7) Grade Point Average (GPA):** All courses have been assigned an appropriate weighting factor, which along with a student's grades, enables the Registrar's Office or the Dean's Office to compute the Grade Point Average.

The Grade Point Average (GPA) is a measure of a student's weighted average, obtained by dividing the total number of grade points earned by the total units of course weight attempted.

**Rules for Computing the GPA**

- a. The GPA for any period is based on the final grades in all courses taken during a specified period.
- b. A reexamination mark or a deferred examination mark replaces the original final examination mark. The revised final grade is included in the computation of the GPA.
- c. When a student has a deferred, incomplete or a missing grade, the GPA is not computed until a final grade is reported.
- d. Alphabetical grades of INF, and DB are counted as numeric grades of 1.0 in the computation of any GPA.
- e. Alphabetic grades of W (withdrew) are excluded from the computation of the GPA on the transcript.
- f. Grades of Credit-No Credit and Pass-Fail are not included in the computation of any GPA.
- g. Any GPA is rounded to the nearest decimal place using standard rounding rules – that is it is rounded up with a value of 5.0 or greater in the first nonsignificant place.

**Types of Grade Point Averages:** Faculties may use different averages for various decisions regarding academic standing, promotions, and graduation, as long as these have been approved by General Faculties Council. Such averages are descriptively labelled.

- a. **Admission Grade Point Average (AGPA)** see §14.2.1(4).
- b. **Fall/Winter Grade Point Average** is reported on transcripts and is used by most faculties to determine academic standing.
- c. **Faculty Grade Point Average (FGPA)** see below.
- d. **Term Grade Point Average** may be calculated at the end of Fall, Winter, Spring or Summer, and used to determine eligibility for reexamination (see §23.5.5).
- e. **Promotion and Graduation Grade Point Averages** are faculty specific and are defined in the Faculty Sections of the Calendar.

**(8) Faculty Grade Point Average (FGPA):** The Faculty Grade Point Average (FGPA) is a cumulative measure of a student's grade points obtained while registered in a Faculty in all years and terms, including Spring/Summer. FGPA is a weighted average obtained by dividing the total grade points earned by the total units of course weight attempted. The FGPA is currently used by the Faculty of Arts.

**Rules for Computing the FGPA**

- a. The rules related to calculation of GPA (see §23.4(7)) also apply to the calculation of FGPA.
- b. The initial assessment and any subsequent reassessment of academic standing using FGPA is based on a student's performance in a minimum of nine units of course weight (★9) taken since the last assessment. If, at the time of assessment, the student has attempted less than ★9 since the last assessment while registered in the Faculty, the assessment will be deferred until the next assessment period.
- c. Assessments are performed at the end of a student's registration in Fall/Winter (or at the end of a student's program) and are based upon

the final grades in all courses taken in that and prior periods while registered in the Faculty. At the discretion of the Faculty an assessment may also be performed at the end of a student's registration in Spring/Summer.

- d. If a student is required to withdraw and subsequently allowed to continue (after a successful appeal or after successfully completing required work at another institution) the FGPA will be calculated from the term in which readmission or continuation is granted, not from the time of first admission into the Faculty. Such a re-start of FGPA will be allowed only once for any student in a Faculty.
  - e. Students continuing in a Faculty following a re-started FGPA as described above must thereafter maintain a minimum FGPA of 5.0.
- (9) **Aegrotat Standing:** Aegrotat standing may be granted, in special circumstances, on the grounds of illness to deserving students who have completed at least one year at the University of Alberta in the Faculty in which they are currently registered. Any student wishing to take advantage of this privilege should apply without delay to the dean of the Faculty concerned. Any other student absent from a final examination because of illness may apply for permission to write the regular deferred examination.

- (10) **Competence in Written Work:** General Faculties Council urges all instructors of University undergraduate courses to provide that suitable portions of course testing or other course work should be in the form of written essay responses and to emphasize to students that competence in written work is integral to competence in a subject and will constitute part of the basis on which the student's course grade is determined.

All instructors should make clear at the outset of each course their expectations in regard to the standard and importance of writing in assignments and examinations. Refer to §25.1.6(1) for information on Effective Writing Resources.

- (11) **Official Grades:** Student grades are unofficial until they have been approved by the appropriate Faculty Council at the end of Fall/Winter or Spring/Summer. Prior to approval by Faculty Council, unofficial grades are reported on transcripts and so noted. Students can generally expect that official grades will be available in early June for Fall/Winter, and early September for Spring/Summer. A Statement of Results is mailed to students following the approval of grades.

## 23.5 Examinations (Exams)

### 23.5.1 Conduct of Examinations

**Note:** Conduct which violates the rules of conduct for exams may also violate the Code of Student Behavior (§26).

In this section, "term exam" is used to refer to any exam, test, quiz, project or equivalent testing process (other than a final exam) which is scheduled as part of the term work of a course.

- (1) **Absence from Exam(s):**

When a student is absent from a term or final exam without acceptable excuse, a final grade will be computed using a raw score of zero for the exam missed.

Any student who is ill or suffering from severe domestic affliction is advised not to sit for an exam. A student who has missed an exam because of illness or domestic affliction or who is obliged to be absent from an exam for some other compelling reason (including religious convictions) or extreme circumstance may apply for a waiver, make-up, or deferred exam as follows.

- a. **Term Exam(s):** Students must apply to their course instructor for a waiver or make-up exam. When approving a student's absence, the instructor may either waive the exam or require the student to write a make-up exam. For a waiver, the percentage weight allotted to the term exam missed is added to the percentage weight allotted to the final exam. For a make-up, if the student does not write the assigned make-up exam at the prescribed time, a raw score of zero will be assigned for the missed term exam.

To apply for a make-up or waiver where the cause is illness, students must present to their instructor within two working days following the missed term exam a University of Alberta Medical Statement form signed by University Health Centre. In other cases, including domestic affliction or religious convictions, adequate documentation must be provided within two working days following the term exam missed.

- b. **Final Exam(s):** Students must present documentation and apply to their Faculty for a deferred exam within two working days following the missed final exam. See §23.5.6 for details.

- (2) **Student identification:** Students writing exams are required to confirm their identity by providing their student ID number and signature and by presenting their student ID card (OneCard) or other acceptable photo identification. Students who are unable to present satisfactory identification at the time of the exam will be permitted to write the exam, but will be required to present themselves with acceptable photo identification to the instructor of the course within two working days of the exam, and may be required to provide a sample of their handwriting. Failure to provide proper identification will result in a mark of zero for the exam. Instructors are advised to circulate an attendance sheet at all exams.
- (3) **Permitted references and aids:** Only those items specifically authorized by the instructor may be brought into the exam facility. The use of unauthorized personal listening, recording, photographic and/or computational devices is strictly prohibited.
- (4) **Attendance:** Students may not attend or write an exam in a course section in which they are not registered.
- (5) **Arriving and leaving:** Students may not enter the exam area after the first half-hour has elapsed and they may not leave the exam area until one half-hour has elapsed.
- (6) **Communications:** During the exam, all communications should be addressed to a supervisor. Students should not, under any circumstances, speak to or communicate with other candidates or leave their answer papers exposed to view.
- (7) **Brief absence from an exam:** Students who need to visit the washroom during an exam must leave their paper in the custody of a supervisor and retrieve it upon return.
- (8) **Cancellation of Paper During Examination:** If a student becomes ill or receives word of domestic affliction during the course of an exam, he or she should report at once to the Supervisor, hand in the unfinished paper, and request that it be cancelled. Thereafter, if illness is the cause, the student must go directly to the University Health Centre so that any subsequent application for a deferred exam may be supported by a medical certificate. In cases other than illness adequate documentation must be provided. For final exams, the student must provide documentation and apply to their Faculty for a deferred exam within two working days following the cancelled final exam. See §23.5.6 for details. For other exams the student must provide documentation to the course instructor within two working days of the exam. Should a student write an exam, hand in the paper for marking, and later report extenuating circumstances to support a request for cancellation of the paper and for another exam, such request will not be entertained.
- (9) **End of Exam:** When the signal is given to end the exam, students shall promptly hand in their exam papers and answer sheets to the exam supervisor.

### 23.5.2 Term Examinations

- (1) **Term Examinations Optional:** The holding of term examinations is optional within each department.
- (2) **Test Week:** Although instructors may give tests during any regular lecture or laboratory period at their discretion, one week in the middle of each of the Fall Term and the Winter Term has been set aside as test week. During these weeks, off-campus, extracurricular activities will not normally be organized by the University.

### 23.5.3 Final Examinations

There is no requirement by General Faculties Council that the final examination must be written in order to obtain credit: Faculties are permitted to make their own regulations in this regard.

- (1) **Final Examinations:** A final examination is held in each course except where departure from this arrangement has been authorized by the Faculty council governing the department offering the course. Final examinations for Fall courses shall be held in December. Final examinations for Winter or two-term Fall/Winter courses shall be held in April. Final examinations for Spring courses shall be held in June. Final examinations for Summer or two-term Spring/Summer courses shall be held in August. Examinations for Evening Credit Program and Late

Afternoon and Evening Courses are to be held during the last regular class period.

- (2) **Examination Schedule:** The schedule of Fall and Winter Terms final examinations (and mid-term examinations in two-term courses) shall be related directly to the Academic Schedule (see §11).

In the Faculty of Education, for those Faculty courses which form part of a professional term and hence do not conform to the standard timetable, the scheduling of the final examinations shall become the responsibility of the Faculty.

In the MBA program final examinations in all evening courses shall be scheduled into the week immediately following the last week of classes in each term in order to allow for equal instructional hours in the full- and part-time programs.

Final examinations or mid-term examinations in two-term courses shall not exceed three hours in length.

The Examination Schedule shall be adhered to and no attempt made to adjust examination schedules to accommodate students who have failed a course and are repeating without attendance.

Normally there shall be no departure from the official Final Examination Schedule. Instructors and students may, however, petition the Faculty Council concerned for permission to depart from the Schedule if justified reasons can be established. No departures shall be considered for approval unless the instructor of the class concerned and every student registered for credit in the class have given their written consent. Such consent shall take the form of either written letters or signed and dated forms made available from the Dean's office. These notices of consent shall be conveyed to the Dean of the Faculty **offering the course** on an individual basis at least one month prior to the new dates being requested. If and when unanimous consent is received from the class, this fact shall be communicated to both the instructor involved and the Faculty Council. All such notices of consent shall be received by the Dean, in confidence, and it will not be the Dean's responsibility to insure that all members of the class have been informed of the need to submit such written consents. This will be the responsibility of the Instructor or of those members of the class who have initiated the request for a change in the examination date.

The requirement that notices of consent for departures from the official Final Examination Schedule shall be conveyed to the Dean at least **one month** prior to the new date being requested, shall be rigidly enforced.

**The rescheduling of examinations or tests in the final week of classes is not permitted.**

- (3) **Debarment from Final Examination:** A student may be refused permission to write the final examination in a course on the recommendation of the department concerned and with the concurrence of the dean of his or her Faculty, in the following circumstances:
- The student has neglected to do a substantial portion of his or her written assignments in a course of which these are an essential feature, or has attended insufficiently in a course in which class participation is a necessity.
  - The student has neglected to do a sufficient amount of the practical or laboratory work in a course in which these are considered a basic requirement.

- (4) **Marking of Papers:** Departments and instructors shall be informed that the marking of examinations be given first priority in order to expedite the submission of Grade Report Forms to the Registrar.

No member of a Department shall be permitted to go away taking the original examinations papers with him or her for marking without permission of the unit head. In a case where a member of a Department applies for leave before the end of term, this will only be granted on condition that the head of the Department arranges for the papers to be read here in the usual way.

All examinations and assignments shall be marked only by the instructor(s) or by persons expressly authorized by the Department Chair (or by the Dean in non-departmentalized Faculties) to mark them.

- (5) The following discretionary policy on student access to final examination papers was affirmed by General Faculties Council:

Departments subscribing to the belief that there is educational value in permitting students to see their final examination papers after they have been marked are not only at liberty, but are encouraged, to make the papers available on request, and to allow for discussion where possible; that the objective here is an educational end: i.e., it is **not** a substitute for existing reappraisal procedures for the reconciliation of grades; that where the department is prepared to grant outright release of the paper it must not do so until the deadline for applications for

reappraisal has passed, since an appeal cannot be entertained after the custody of the paper has been relinquished to the student.

- (6) **Grades of Incomplete:** The grade of "incomplete" (IN) is normally awarded when an undergraduate student is prevented by illness, domestic affliction, or other extreme circumstance from submitting an assignment by the end of term. When a grade of IN is awarded, the student is required to submit the assignment within 10 days after the end of the final examination period of the term for the course. At the request of the Department Chair concerned, the student's Dean may grant an extension of time to a maximum of four months after the end of the term. If the assignment is not submitted by the prescribed deadline, the Registrar will record a grade of "F" or "1AF," as appropriate. Before finalizing the student's grade the Office of the Registrar and Student Awards will give 30 days written notice to the student's Faculty and to the Department in which the course is offered.

## 23.5.4 Notification of Results

- (1) **Release of Grades:** Only the Registrar's Office is authorized to issue **official** statements of results or transcripts. An official statement of final grades in courses for each Fall/Winter and Spring/Summer period is provided to each student by the Office of the Registrar and Student Awards. For students who did not register in the Winter Term of Fall/Winter, official statements are mailed in early February.

Department Chairs ensure that **unofficial** grades are posted immediately after the approved Grade Report Form is sent to the Office of the Registrar and Student Awards, using the posting copy of the Grade Report Form, or in any other manner that protects the confidentiality of individual student grades. In courses that are completed before the regular end of term, Departments release unofficial grades to students within six calendar days of the last exam or assignment deadline in the course. (For the Faculties of Law, and Medicine and Dentistry, grades should be posted no later than the third day of classes in the Winter Term for Fall Term courses, or May 31 for Winter Term or Fall/Winter courses.)

- (2) **Reappraisals:** Since great care is taken in marking final examination papers, a student should apply for reappraisal of a paper only if he or she has good reason to believe that a mistake has been made. Reappraisals are dealt with by the Chair of the department in consultation with members of the staff. A request for reappraisal must be made in writing to the Faculty or Department Office responsible for the course by February 1 in the case of Fall courses, by June 26 for other Fall/Winter courses, and within thirty days of the publication of results for courses offered in Spring/Summer. The request must include a statement of whether the intent of the appeal is to raise or lower the grade. If a student fails to include such a statement it will be assumed that the intent is to have the grade raised. Refer to §22.2.7 for details on where to pay fees and to §22.2.12 for fees for special services. Payment of the required fee will normally be made at the time of the request but must be made no later than two weeks after submission of the request; the fee will be refunded if the appeal is successful.

The privilege of having papers reappraised applies only to final examinations. A student may apply for reappraisal of no more than two final examination papers in each term of the Fall/Winter. A student enrolled in the Spring/Summer will be limited to two reappraisals.

It should be understood that if the grade is changed, the new grade, whether higher or lower than the original, replaces it as the student's official grade in the course.

## 23.5.5 Reexaminations

- (1) Undergraduate students who have written and submitted a final examination may be considered for a reexamination provided the following conditions are met. Students in Medicine and Dentistry should consult §93.2 and the Faculty Office for these regulations. Students in Business should consult §53.4(7). Reexaminations are not permitted for graduate students.

- The course was failed.
- The final examination is 40 percent or greater, as originally scheduled for the class as a whole.
- For a Fall-Term course, the student achieved a Term Grade Point Average of 5.0 inclusive of the failed course. For Winter-Term and Fall/Winter courses, a Fall/Winter GPA of 5.0 inclusive of the failed course.

- d. Students are advised that it may not be possible to make a ruling until all grades for a term or two-term period are recorded. Students for whom a term GPA cannot be computed at the end of the Fall-Term will have to wait for the computation of a following the Winter-Term GPA. In this case, the Application for Reexamination form should be left with the Faculty office for a later ruling. Faculty of Law students in the first and second year will be considered for a reexamination on the basis of the computation of their Fall/Winter GPA.
- (2) **Reexaminations are not permitted:**
- For students who were granted a deferred final examination in accordance with §23.5.6 but did not write.
  - Dentistry and Dental Hygiene students: In clinical and laboratory courses and for students repeating a year.
  - Faculty of Nursing: For students who have failed the clinical/laboratory component of a Nursing course and for students repeating a year.
  - Faculty of Rehabilitation Medicine: In clinical courses and for students repeating a year.
  - Faculty of Graduate Studies and Research.
  - Medical Students: For students repeating a year.
- (3) **Reexamination Mark:** The mark received for the reexamination replaces the original final examination mark and is used in computing the final grade in the course.
- (4) **Weight of Reexamination:** The percentage of the final grade allotted to the reexamination shall be the same as the percentage of the final grade allotted to the student's final examination in the course.
- (5) **Number of Reexaminations that may be granted:** Reexamination may be granted in one course only, regardless of the units of course weight, in a Fall/Winter or Spring/Summer period. Reexaminations may be granted in courses to a total of ★12 while the student is enrolled in a faculty (Dentistry and Dental Hygiene students see Note 2).
- (6) **Reexamination Deadlines:**
- Fall Term Courses:**  
Apply: Within 10 days of the posting of the results.  
Exam to be held by: End of Reading Week (see §11)
  - Winter Term and Fall/Winter Courses:**  
Apply: Within 10 days of the posting of the results.  
Exam to be held by: June 30 (see §11)
  - Spring/Summer Courses:**  
Apply: Within 10 days of the posting of the results.  
Exam to be held by: August 31
  - Special Faculty Provisions:**  
Faculty of Law: See Note (3) below  
Dentistry and Dental Hygiene: See Note (2) below and consult the Department.  
Medicine: Consult the Faculty Office.
- (7) **Procedures to Apply for a Reexamination:** Students who wish to apply for a reexamination should:
- ensure that they meet the eligibility criteria for application noted above.
  - complete an application form (available at the Faculty or Department responsible for the course).
  - have the application for reexamination approved by the Faculty or Department offering the course.
  - the Dean or delegate of the student's Faculty must also give final approval of the application.
- Refer §22.2.7 for details on where to pay fees and to §22.2.12 for fees for special services. Payment of the required fee will normally be made at the time of approval of the application but must be made no later than two weeks after approval. Once approval has been granted reexaminations are to be written at a time and place agreed upon by the instructor and the students concerned. Dentistry students write in July. Normally, students in the Faculty of Law write in June. Refer to the Academic Schedule (§11) for the applicable dates.

**Notes:**

- (1) **Science Students:** Registrants in the BSc degree programs or Special Certificate programs in the Faculty of Science who fail to meet the graduation GPA may be granted a reexamination in a passed or failed Science course taken in the final Fall/Winter or Spring/Summer (last ★30 or less) provided the maximum number of reexaminations (★12) has not been previously taken.

- (2) **Dentistry Students:** Students in the Dentistry program should consult §93.2.1(6) or the Office of the Associate Dean, 3036 Dentistry Pharmacy Centre, for regulations concerning reexaminations.

**Dental Hygiene Students:** In any one year reexamination privileges for students carrying a full course load will not exceed three reexaminations to a combined maximum of ★9. Over the program, reexamination privileges will not exceed four reexaminations to a combined maximum of ★12.

In any one year reexaminations privileges for students carrying a reduced academic load will not exceed two reexaminations to a combined maximum of ★6. Over the total program, reexamination privileges will not exceed three reexaminations to a combined maximum of ★9.

First-year Dental Hygiene students will take the reexamination as scheduled in the first week in July. Students in the second year will write after the end of the Fall Term for Fall Term courses, and during the first week of July for Winter Term and two-term courses.

- (3) **Law Students**

- Reexaminations shall be taken only in June except under the circumstances as described in b. below. The deadline for application is May 30.
- Where a student fails one course in the Fall Term of their final year, the student may write one reexamination at a time before June, provided that the student attains a GPA of no less than 5.0, calculated on the basis of final grades obtained in Fall Term. The deadline for application is February 1. The rules governing the times for setting of deferred examinations in §23.5.6(2) shall apply to reexaminations written in accordance with this section.

## 23.5.6 Deferred Final Examinations

- (1) **Deferred Final Examinations:** A student who has missed a final examination because of illness or domestic affliction or who is obliged to be absent from an examination for some other compelling reason (including religious convictions) may apply for a deferred final examination.

Students wishing to apply for such an examination must do the following:

- complete an application form (available at their Faculty's Undergraduate/Graduate Office). In order for an application to be considered for approval by the Faculty, it must be supported by a University of Alberta Medical Statement form in the case of illness, or other documentation substantiating the reason for an absence. The application and the documentation pertaining to the absence must be presented to the Faculty within two working days following the scheduled date of the examination missed or as soon as the student is able having regard to the circumstances underlying the absence;
- have the application for a deferred final approved by the dean or delegate of their Faculty;
- the student's Faculty will inform the Department responsible for the course of the approved deferred examination. The Department will then notify the instructor;
- refer to §22.2.7 for details on where to pay fees and to §22.2.12 for fees for special services. Payment of the required fee will normally be made at the time of approval of the application but must be made no later than two weeks after approval.

- (2) **Fall/Winter Deferred Final Examinations:** The time and place of the deferred final examination will be determined by the instructor as described below. Instructors are encouraged to include in the course outline the date, time and place of a deferred examination should one be required.

**Fall Term Deferred Final Examinations:** Fall Term deferred final examinations must be held by the end of Reading Week in accordance with the following provisions:

- If the date and time of the deferred examination are included in the course outline given to students, the examination may be scheduled at any time prior to the end of Reading Week.
- Otherwise, mutually agreeable arrangements must be made with all students eligible for the deferred examination if it is to be held prior to January 20.
- In the absence of either of the above two arrangements the instructor will submit the time and place of the examination to the department office as early as possible and no later than January 14. It is important that students come to the department to obtain this information on

January 15 or as soon as possible thereafter. The examination must be scheduled between January 20 and the end of Reading Week.

**Winter Term and Fall/Winter Deferred Final Examinations:** Winter Term and Fall/Winter deferred final examinations must be held by June 30 in accordance with the following provisions:

- a. If the date and time of the deferred examination are included in the course outline given to students, the examination may be scheduled at any time prior to June 30.
- b. Otherwise, mutually agreeable arrangements must be made with all students eligible for the deferred examination if it is to be held prior to May 20.
- c. In the absence of either of the above two arrangements the instructor will submit the time and place of the examination to the department office as early as possible and no later than May 14 (exception: students in the MD program should consult the undergraduate office for information on deadlines). It is important that students come to the department to obtain this information on May 15 or as soon as possible thereafter. The examination must be scheduled between May 20 and June 30.

Students who have not been previously informed must check with the department office on the specified date to obtain the time and place of the deferred final examination.

- (3) **Spring/Summer Deferred Final Examinations:** The time and place of the deferred final examination will be determined by the instructor who will make this information available to all students in the course.

The examination must be scheduled within two weeks of the date of the final examination missed and prior to August 31.

- (4) **Examinations Scheduled at the Same Time:** If a student discovers that he or she has two (or more) deferred examinations scheduled at the same time, the student shall inform both instructors as quickly as possible and at least five days before the examination date. The instructors will consult with one another and make appropriate arrangements for the student.

- (5) **Fall/Winter Deferred Examinations Not Written:** Students who have applied for a deferred final examination in accordance with the guidelines in this section, but who have, for justifiable cause, not written the examination on the scheduled date must apply to write the deferred examination in mid-July (see §11 for examination period). Students must complete an application form (available at their Faculty Undergraduate (Graduate Office). In order for an application to be considered for approval by the Faculty, it must be supported by a University of Alberta Medical Statement Form in the case of illness, or other documentation substantiating the reason for an absence.

**Absence from Examination Without Justifiable Cause:** When a student is absent from a deferred final examination without acceptable reason, a final grade will be computed using a raw score of zero for the final examination.

**Deadline for Application:** The application and the documentation pertaining to the absence must be presented to the Faculty within two working days following the scheduled date of the deferred examination missed or as soon as the student is able having regard to the circumstances underlying the absence.

If the student receiving the privilege of writing a deferred examination does not write the examination by the scheduled deferred examination date in July, the privilege will be withdrawn and a final grade in the course will be recorded by the Office of the Registrar and Student Awards according to the grade/remark combination achieved by the student before the deferred final examination was granted. Prior to finalizing the student's grade, the Office of the Registrar and Student Awards will give 30 days written notice to the student's Faculty.

## 23.6 Academic Standing

The term "academic standing" comprises such matters as continuation in a program, promotion, graduation, and the requirement for a student to withdraw. Unless stated otherwise, changes to academic standing regulations affect new students, continuing students, and students readmitted to a program or Faculty. Students should refer annually to the Calendar for the academic standing regulations governing their degree programs.

General Faculties Council has provided the following common academic standing categories and graduation standards for students registered in general (not honors or specialization) programs. Academic standing regulations for individual programs are given in the Faculty's description of that program – all students should consult the listing for their own program. Individual Faculties may determine the frequency and timing of academic standing reviews.

### 23.6.1 Common Academic Standing Categories for General Programs

- (1) **First-Class Standing:** First-class standing is given to any undergraduate student who obtains a grade point average of not less than 7.5 while enrolled in a full, normal, academic course load in that year; the definition of a full normal academic course load shall be left to the Faculty concerned.
- (2) **Satisfactory Standing:** This standing is given to a student who achieves an average of 5.0 or above and normally indicates that the student is eligible to continue.
- (3) **Marginal Standing—Academic Warning:**
  - a. Marginal standing is given to a student who has achieved an average of 4.5 to 4.9.
  - b. Students with marginal standing may be permitted to continue under academic warning. The Faculty may require the student to meet specified course, program and performance standards to maintain eligibility in the program.
- (4) **Unsatisfactory Standing—Required to Withdraw**
  - a. Unsatisfactory standing will normally be awarded to a student who achieves an average of 4.4 or below.
  - b. The records of all students are reviewed at the end of each term or two-term period. Students whose records are found to be unsatisfactory will be notified by their dean and may be referred to Student Counselling Services for counselling. Students whose records are unsatisfactory may be required to withdraw.
  - c. Students who have been required to withdraw must apply for readmission to the dean of the Faculty in which they propose to register. The requirements for these students are set out in §14.2.1(3).
  - d. Students who have previously been required to withdraw from another postsecondary institution and who wish to apply to the University of Alberta must satisfy the requirements set out in §14.2.1.(3).

**Note:** Averages in this section may be calculated as a Fall/Winter grade point average or as a cumulative grade point average depending upon faculty regulations. Students must consult the appropriate individual faculty sections of the Calendar for specific requirements. Rounding rules will apply see §23.4(7)g.

### 23.6.2 Academic Probation

A Faculty may allow a student whose previous academic record is either deficient in some respect or below the standard ordinarily required to continue on academic probation. The Faculty may require the student to meet specified course, program and performance standards to maintain eligibility in the program.

### 23.6.3 University Graduating Standards

- (1) **Common Graduation GPA:** For students in general programs (i.e., other than honors or specialization) the normal minimum graduation grade point average is 5.0.
- (2) **Degrees with Honors:** A student in the Faculty of Arts or Faculty of Science with approved qualifications may be admitted to the degree of Bachelor of Arts or Bachelor of Science with Honors by following a more concentrated course of study in a special field under the supervision of one or more of the departments. The time required for an honors degree is normally four consecutive years. Degrees with Honors are awarded in two classes: First Class Honors, and Honors, in accordance with the requirements of the Faculty and department concerned.
- (3) **Degrees with Distinction:** At the discretion of the Faculty concerned, the notation "With Distinction" is inscribed on the permanent record and graduation parchment of a candidate for any degree, except an honors or graduate degree, if the candidate has obtained a grade point average of 7.5 or higher over the last two years of the program.

### 23.6.4 Scholastic Standing and Extracurricular Activities

Every student, prior to accepting nomination for any position on the Executive Committee of the Students' Union or as the Board of Governors representative, shall be required to obtain a statement of academic eligibility from the dean of his or her faculty. This statement shall be filed with his or her nomination papers.

To be eligible (1) to represent the University in any competition with outside organizations, (2) to participate in noncompetitive University activities

which are open to the general public, or (3) to be eligible to hold office in a recognized student organization, a student must be a registered student taking at least one course for credit during each term and must meet the requirements of satisfactory scholastic standing as defined by the faculty.

## 23.7 Graduation

On the recommendation of the Faculty Councils, degrees are conferred by the Chancellor of the University at a Convocation of the faculty, alumni, graduands, and their guests. Convocations for the conferring of degrees are normally held in early June and mid-November of each year.

Upon completion of all requirements in the program, undergraduate students wishing to receive a University of Alberta degree must submit an Application for Degree form to their Faculty Office not later than February 1 if they expect to have their degree conferred at the Spring Convocation ceremonies held in June, or not later than September 1 if they expect to have their degree conferred at the Fall Convocation ceremonies held in November. Students registered in programs in the Faculty of Graduate Studies and Research should consult §173.12 regarding convocation requirements in graduate programs.

In those cases where more than 12 months have elapsed since the last registration in an undergraduate program in which a student subsequently applies to have a degree conferred, the student will also be required to submit an Application for Readmission or On-Campus Transfer form along with the required application fee, in order for the conferring of the degree to be considered. Similarly, in the case where a student is applying for a degree which differs from the program in which he or she was last or currently registered, an Application for Readmission or On-Campus Transfer form and fee is also required in order for the conferring of the degree to be considered. In both cases, students should note the regulations in §23.1.2 as they will be obligated to meet degree program requirements in effect at the time of readmission or transfer to the new program before the degree will be conferred.

## 23.8 Appeals and Grievances

General Faculties Council (GFC) is the University governing body which has statutory authority over academic matters and student affairs.

### 23.8.1 Academic Appeals

GFC has instructed each Faculty to publish in its section of the Calendar the regulations which affect a student's academic standing, a notice that such decisions are appealable, and information as to where the Faculty-level appeal regulations may be obtained.

Decisions about a student's academic standing are first appealable at the Faculty level, and students should check the Faculty section of this Calendar in order to learn where to obtain their Faculty's appeal regulations.

Decisions of Faculties concerning a student's academic standing are appealable to General Faculties Council, which has established an Academic Appeals Committee. GFC has delegated to this committee authority to hear appeals from students against Faculty decisions which affect their academic standing. The complete regulations of the GFC Academic Appeals Committee may be obtained from the Secretary to General Faculties Council. These regulations state that an appeal may be made only on the basis that there has been a miscarriage of justice. Students are also required to exhaust the provisions for appeal at the Faculty level before taking an appeal to the GFC Academic Appeals Committee.

In addition, the GFC Academic Appeals Committee is not authorized to hear a number of matters, including an appeal from a decision respecting a mark or a grade in an individual course, from an examination decision made in the Faculty of Graduate Studies and Research, from a decision to refuse admission to a Faculty, or a decision relating to credit for courses taken or to be taken outside the University of Alberta.

For further information regarding the appeal procedures at the Faculty level, including deadlines for filing an appeal, students should seek the advice of their Dean and should obtain a copy of the Faculty appeal regulations. Students who have been unsuccessful in appealing at the Faculty level will be notified by the Dean's Office of the right to appeal to the GFC Academic Appeals Committee and will be provided with other related information. **Students who wish to appeal to the GFC Academic Appeals Committee should immediately obtain the complete regulations and consult with the Secretary to General Faculties Council in order to learn the procedures to be followed and the deadlines to be met.**

### 23.8.2 Practicum Placements, Professional Practice and the Public Interest

Students may be required to complete practicum placement as part of their academic program. A practicum placement refers to any placement (volunteer or otherwise) which places or may place the student in contact with the public. The University of Alberta's policy titled "Practicum Placements, Professional Practice and the Public Interest" (§87, GFC Policy Manual) addresses issues relating to student practicum placements and reflects the University's obligation to protect the significant public interest involved. Such protection consists of ensuring that students in practicum placements conform to accepted standards of safe, ethical and competent professional practice in their work with patients, clients and co-workers.

To protect the public interest, the policy authorizes Deans to vary, withdraw or deny the placement of a student in a practicum, balanced by the student's right to appeal the Dean's decision to the GFC Practice Review Board (PRB). The policy also governs appeals of discipline decisions in respect to offences under the Code of Student Behavior (§30A, GFC Policy Manual) which relate to a student's practicum. **In other words, the PRB hears appeals from students whose academic standing is affected by a variance in, withdrawal from, or denial of a practicum placement, and appeals of disciplinary decisions related to a practicum placement.**

For information regarding the PRB and the appeal process, students are advised to contact the Secretary to General Faculties Council, University Secretariat, 2-5 University Hall. Copies of §87 (Practicum Placements, Professional Practice and the Public Interest), §1 (Academic Appeals Policy), and §30A (Code of Student Behavior—Individual Students) of the GFC Policy Manual may be obtained from the University Secretariat. Students may refer to §26 of the Calendar, which also contains the full Code of Student Behavior.

**Note:** Students charged with offences under the Code of Student Behavior which are not related to a practicum placement can appeal decisions of the Dean and/or Discipline Officer to the University Appeal Board (§30A, GFC Policy Manual). Students wishing to appeal decisions regarding academic standing issues not related to a practicum placement can appeal such decisions to the GFC Academic Appeals Committee (§1, GFC Policy Manual).

### 23.8.3 Requirement for Criminal Record Checks

The Alberta Protection of Persons in Care Act (proclaimed January 1998) includes a requirement that persons working or volunteering in designated agencies (hospitals, nursing homes, lodges, group homes, etc.) must provide results of a criminal record check. Accordingly, students serving work experience placements in the form of internships, clinical practicums, academic practicums, cooperative program work placements, etc. in any of these designated agencies can be required to obtain a criminal record check from the appropriate law enforcement agency. Details of this process are available from the Faculty or Department which sponsors the placement.

Students who are entering a program which requires this type of placement and who have concerns related to their ability to satisfy a criminal record check should consult with their Faculty or Department program office immediately upon being admitted to the program. Although Faculties and Departments will attempt to assist students seeking placements, the ultimate responsibility for ensuring that required placements can be made lies with the student.

### 23.8.4 Grievances Concerning Grades

The assignment of marks and grades is the initial responsibility of a course instructor. Any grievances concerning grades should first be discussed with the instructor. If the problem is not resolved, the student is encouraged to talk with the Chair of the Department where the course is taught, and then with the Dean of the Faculty where the course is taught.

This informal process is meant to precede and to avoid formal appeal, but does not preclude formal appeal to the Faculty Council. Students should contact their Faculty Office for complete grade appeal procedures and deadlines in order to learn the procedures which must be followed and the deadlines to be met. Students who are unsure of the validity of their grievance should contact the Student Advisor in the Dean of Students' Office.

## 23.9 Student Records

### 23.9.1 Contents of Files

- (1) Only letters and documents pertinent to a student's admission and academic record may be kept in the official files of the Registrar, the Deans, and the Department Chair.

- (2) Application for Admission forms of any Faculty requiring letters of reference must contain a statement to the effect that the Faculty reserves the right to solicit information from persons other than those named by the applicant as referees provided the student is advised of the names or the offices consulted as additional referees.

### 23.9.2 Transcripts

- (1) Students are responsible for ensuring the accuracy and completeness of their official record at the end of each Fall/Winter or Spring/Summer period that they attend by verifying their Statement of Results and the copy of their transcript provided during Fall/Winter registration and at convocation. Students should be aware that only the official transcript is retained permanently and that source documentation (the student file in the Registrar's Office), is destroyed seven years after the last registration. Queries regarding errors or omissions on the official transcript must be made as soon as possible, and will not be considered after the source documentation has been destroyed.

- (2) Official transcripts of a student's academic record are issued by the Registrar's Office. Students and alumni may request transcripts in person at the Student Access Centre, main floor Administration Building, or may send a written, signed request via mail, fax or designate. Transcripts are personal information and may be ordered only by the student. Transcripts may be received personally or sent to whomever the student designates. An official transcript bears the signature of the Registrar and the official seal of the University.

There is no charge per transcript; the service is supported by the central Registration and Transcript Fee (see §22.2.12(1)). Orders of up to five transcripts can be filled immediately. For larger orders special arrangements should be made and longer time will be required; contact the Registrar's Office in advance.

- (3) The University of Alberta transcript includes the following information for each student record:
- student name
  - student previous name(s)
  - student ID number
  - student birthdate
  - date of issue of transcript
  - degrees and awards granted
  - admission status and basis of admission
  - credit granted from other institutions or programs
  - Faculty and degree program of registration
  - course information, including for each course: term, course name, number, title, grade, course weight, course units (Engineering students), grade points, hours of instruction index, class average and class enrolment
  - total weights and grade points used to calculate GPA
  - grade point average calculation
  - comments pertaining to academic standing in the program
  - withdrawal date, if appropriate
  - disciplinary record of suspension or expulsion, if appropriate
- (4) For further information regarding grades or GPA, refer to §23.4, Evaluation Procedures and Grading System.
- (5) **Units of Course Weight:** Course weight is assigned for the purpose of calculating a weighted (grade point) average. Normally a two-term course carries a weight of 6.0 and includes three hours of lecture per week for the entire Fall/Winter; a single-term course carries a weight of 3.0 and includes three hours of lecture per week for one term.
- (6) **Course Hours of Instruction Index:** The Hours of Instruction Index is a weighted value based on the number of instruction hours per week as follows: total hours per week of lecture plus half the hours per week of all other types of instruction. For example, a full course with three hours per week of lecture and three hours per week of seminar for two terms would have an hours of instruction index of 9.0. A course weight of 6.0 may be considered as equal to six semester-hours or nine quarter hours.
- (7) **Units:** Units are used only for students in undergraduate programs in the Faculty of Engineering. Units are normally calculated in the same manner as the Hours of Instruction Index for courses offered by Engineering and for required courses offered by other Faculties; for other courses, the unit value of a course is normally the same as the units of course weight.

- (8) **Grade Points:** Grade points for undergraduate students are calculated by multiplying the course grade by the units of course weight, or the course grade by the course unit value for undergraduate Engineering students. Grades, weights, and grade points not used in the calculation of the GPA are so indicated by brackets on the transcript.

### 23.9.3 Registration Deadlines Implication for Records

- (1) In Fall/Winter students have five class days following the commencement of classes in the term in which to make changes to their registration without penalty. Courses dropped during this period are deleted entirely from a student's record. Course withdrawals following this period and up to the last day for withdrawing from courses for that term result in a grade of W (withdrew) being recorded on a student's record. Grades of W are not included in the calculation of the Grade Point Average (GPA).
- (2) Students may not officially withdraw from a course after the Withdrawal Deadline. All students registered in a course after the Withdrawal Deadline will be assigned a final grade by the instructor based on course work completed.
- (3) Pass/Fail (Credit/No Credit) courses which are dropped following the deadline for the last day for withdrawing from courses for that term result in a grade of F (fail) being assigned for the course(s) by the student's Faculty. Grades of F are not included in the calculation of GPA.
- (4) When a student withdraws from one or more courses after the first week of classes, fees will be reassessed on a per course basis with the fee for each dropped course prorated in accordance with the formula noted in §22.2.8 of this Calendar. Students who register and then cease to attend, or never attend, without submitting an approved Withdrawal Form will not be eligible for any refund of fees nor will they be exempt from paying assessed fees that are unpaid.
- (5) §11 (Academic Schedule) of this Calendar contains the specific dates for the change of registration and course withdrawal dates noted above.

### 23.9.4 Student Files

- (1) Unofficial copies of the student's academic record are issued in the form of a Statement of Results to the student; to the student's Dean, and to the Chair of the Department or designee, in the case of a student registered in a specialized, honors or graduate program. An unofficial transcript or copy of the student's academic record bears neither an original signature nor the University seal.
- (2) Unofficial copies of the student's academic record may be issued to the following at their request: the Dean of a Faculty to which a student wishes to transfer; Student Counselling Services, when a student has been referred for counselling by an authorized officer of the University; and the Student Awards Office, when an award made through a University scholarship committee is involved, on the understanding that information regarding the student's academic record will not be furnished to the scholarship donor without the student's consent.
- (3) Unofficial copies may be issued at the student's request to other offices or individuals in the University.
- (4) Public information regarding students, such as dates of attendance, place and date of birth, city and home address, verification of degree, or degrees awarded may be issued at the discretion of the authorized officer of the University to educational institutions, appropriate government agencies, prospective employers, and scholarship donors.
- (5) Academic and disciplinary records are separate. The only disciplinary action that will be documented on a student's academic record is one involving the student's suspension or expulsion from the University. There is to be only one official disciplinary record. Discipline records are kept by the Appeals Coordinator. The only persons having access to such records will be those directly concerned with the action.
- (6) Where access to academic records is sought for the purpose of statistical research, such access may be granted by the Executive Committee of General Faculties Council on such terms as may be necessary to ensure the confidentiality of individual student records. Research on student data will be defined as not being within the normal administrative operation of the University. In case of doubt as to what may fall within "the normal administrative operation of the University," the GFC Executive Committee will decide.
- (7) Where information is sought for the purpose of biographical research, it may be released at the discretion of the Registrar, subject to the following conditions:

- a. for records less than 50 years old, the researcher must provide a release from the student or estate, or receive permission to obtain the information from the Executive Committee of GFC;
  - b. for records more than 50 years old the Registrar will, at his discretion, provide the information;
  - c. the researcher will not be permitted direct access to records, but must submit requests for specific information;
  - d. decisions made by the Registrar may be appealed to the Executive Committee of GFC.
- (8) Upon request, a student shall have access to any document other than confidential material, within an official file bearing the student's name. Confidential material is that solicited or released by the student (e.g. letters of reference provided to an Admissions Committee are confidential).

### 23.9.5 Letters of Reference and Records

- (1) The contents of letters of reference regarding a student will not be revealed to the student without the permission of the referee. Letters of reference will be used only for the express purpose for which they have been supplied unless the permission of the referees and the student concerned has been given for other purposes.
- (2) Where students have gone on their own initiative as a patient to University Health Service, or as a client to Student Counselling Services, the contents of their files are private, in accordance with professional ethics or codes of behavior.
- (3) Where a student has been referred by an authorized officer of the University to the University Health Service or to the Student Counselling Services, the consultant's opinion will be reported to the authorized officer if the student gives written permission to do so. Such written permission shall be granted when the student signs a release form, the nature of which will be determined by the Service in question. The nature and content of any report provided by the consultant will be determined by the ethics and codes of behavior of the consultant's profession. If the student authorizes the consultant to release a report, the consultant may, at his or her discretion, discuss the contents of the report with the student.
- (4) Confidential information regarding a student's record may be divulged by a Faculty member or authorized officer of the University to institutions, agencies or prospective employers only with the student's knowledge and consent. A Faculty member, when called upon to do so, may use discretion in expressing an opinion to such institutions, agencies or prospective employers concerning a student's academic ability, character and personality. However, a record of the opinion so expressed will not become part of the student's file.

#### Exceptions to Stated Policy

Students who object to the release of information regarding their academic record in accordance with the policy stated above should notify the Registrar in writing, giving their specific objections. Appropriate action will be taken by the Registrar, who will so advise the student.

### 23.9.6 Overdue Accounts: Withholding of University Documents and Denial of Registration

In any case where a student has neglected or refused to pay amounts owed to the University, the Registrar has been empowered and directed by the Board of Governors to deny or terminate registration and withhold student examination results, or other indicators of academic attainment, until the student has settled the account with the University or has made arrangements satisfactory to the University to do so. For example, certification with respect to the student's academic attainment (such as the degree parchment, transcript, or statement of grades) may be withheld or registration denied if the student owes the University for library penalties or fines; for purchases at the University Bookstore; for parking or traffic violations; for breakage or damage to laboratory facilities or supplies; for student fees and University of Alberta Emergency Student Loans; for room and board fees; for fees for reexamination or deferred final examinations; or for fines imposed by the Discipline Officer or the University Appeal Board.

For overdue accounts a penalty of 18% per annum will be applied to the outstanding balance at the end of each month.

Delinquent accounts will be reported to the Credit Bureau and referred to a collection agency for recovery.

#### 24 Reserved

## 25 Student Services

### 25.1 University Student Services

University Student Services provide a range of services to help students overcome barriers, allow them to successfully deal with the challenges of university life, and make it possible for them to get the most from their educational experiences. Each service has a distinct focus. Our goal is to help students achieve their full potential within the University and society. The University of Alberta encourages opportunity, values diversity, and enhances the quality of life of its students through various services. Website <http://www.ualberta.ca/~uss1/>

#### 25.1.1 Dean of Students

The Dean of Students has a major role in defining and maintaining a healthy and respectful relationship between the University of Alberta and its students. Students experiencing difficulty with the University or its faculty and staff, or students who find that accomplishing legitimate goals is frustrated by University policy, practice, or bureaucracy, may find assistance through the Office of the Dean of Students. University departments or offices with difficulties in their interactions with students may also receive guidance and assistance from the Dean of Students.

The Dean of Students coordinates Native Student Services (NSS), University Health Services, Student Counselling Services, Academic Support Centre, Career and Placement Services (CaPS), Office of Services for Students with Disabilities, Student Financial Aid and Information Centre, Sexual Assault Centre, and the University Student Ombudsperson.

The Dean chairs the Council on Student Life, composed of representatives of the offices and groups providing service to students on campus, including the teaching faculties, the University administration, the Graduate Students' Association, and the Students' Union. The Council ensures cooperation and coordination between all student services and serves as a forum in which matters affecting students are considered and recommendations made to appropriate authorities.

In conjunction with the Students' Union Student Groups Office, the Office of the Dean of Students is responsible for the registration of student groups so that these groups may obtain the privileges and prerequisites that accompany University recognition. The Office of the Dean of Students is located in 2-800 Students' Union Building; phone 492-4145, fax 492-6701. Website <http://www.ualberta.ca/~uss1/dean.html>

#### 25.1.2 Career and Placement Services (CaPS)

Career and Placement Services (CaPS) provides comprehensive programs, services, and resources to assist students and alumni in career planning and work search efforts. It also provides recruitment services and resources for students, alumni and private and public sector employers.

CaPS offers various programs and services to increase the interaction among students, alumni, and employers. Students at all levels of study are encouraged to use CaPS services to develop their work/career search skills for permanent, summer, and temporary employment. CaPS also has a specialized recruitment program to assist employers in recruiting students and to enable them to interview students on campus.

CaPS offers the following programs and services:

##### Workshops

CaPS conducts group workshops to assist students with career planning and work search, covering the following topics: career decision making; the work search, the academic work search, and resumé and cover letter, writing; and interview skills preparation.

CaPS also conducts special workshops on any aspect of career planning and work search for departments, faculties, or groups on campus.

##### Consultations

Individual assistance is also available at CaPS. Staff consultants are available to address career planning and work search concerns, including vocational assessment tools, resúmes, application form critiques, cover letter critiques, and mock interviews.

##### Resource Centre

The CaPS Resource Centre provides a non-circulating reference collection designed to assist students, alumni and prospective students when researching careers and employment opportunities. This collection consists

of a wide variety of print and non-print resources on subjects such as career planning, work search skills and tools, occupations, industries, labour market trends and many other related topics.

### Publications

CaPS has produced a series of publications called the *Career Directions Series*. The series includes five workbooks, which are used in our workshops, and which are available for purchase.

### Career Fairs and Career Forums

Students should not miss the opportunity to meet employers representing various industries at the All Discipline Career Fair, the Health Sciences Career Fair, the Aboriginal Career Fair, the Agriculture, Forestry, and Home Economics Career Fair and the Education Career Fair.

Discipline-specific career forums are held throughout the academic year to give an in-depth view of various career paths within a discipline.

### Recruitment Programs and Employment Opportunities

CaPS enables employers to advertise permanent, summer, and temporary degree-related jobs. All students can access these postings through the CaPS and the Website <http://www.ualberta.ca/caps>, and the Job Description Binders located in the Resource Centre.

CaPS is open Monday to Friday from 0830 to 1630 (0830 to 1930 on Thursdays) during Fall/Winter and from 0800 to 1600 during Spring/Summer. CaPS is located at 2-100 Students' Union Building; phone 492-4291, fax 492-1225. Website <http://www.ualberta.ca/caps>

## 25.1.3 Native Student Services

The Office of Native Student Services (NSS) helps the University of Alberta provide an environment that encourages full access, participation, and success for Aboriginal students as outlined in the University's Aboriginal Student Policy. Various student support services are available through NSS:

- (1) **Administering the Transition Year Program (TYP):** TYP is a one-year University Access program offered by NSS in conjunction with eight faculties. TYP is for Aboriginal students who may not qualify for direct entry into a specific faculty. Students who complete this program with the required minimum GPA qualify for admission into one of the following faculties with a complete transfer of all credits earned: Agriculture, Forestry, and Home Economics; Arts; Business; Education; Engineering; Native Studies; Nursing; Science. Since 1990, the Transition Year Program has accounted for 80% of all new Aboriginal students at the University of Alberta. The Program provides study skills, personal support, counselling, smaller classes, tutorials, and a three-day University Orientation (NASUO) at the beginning of the academic year.
- (2) **Administering a Community Liaison Program:** This program informs Aboriginal organizations, First Nations, and schools about programs and services available for potential Aboriginal students at the University of Alberta. This is accomplished mainly through the NSS student advisor, n'totemtik, and the Aboriginal Recruiter. In the latter program, trained Aboriginal University students inform prospective students about opportunities available on campus and provide guided tours and presentations to schools and community agencies. The student based group, n'totemtik, and the Aboriginal Recruiter also travel to Aboriginal communities to promote and encourage postsecondary education and to provide information about specific Aboriginal programs on campus. Contact with Aboriginal communities is maintained through representation on Aboriginal committees and through professional development activities, such as participation at Aboriginal conferences.
- (3) **Providing support services to Aboriginal students on campus:**
  - Acting as the first office contact for students
  - Providing preadmission counselling to prospective students
  - Operating orientation program for new students
  - Providing advocacy for Aboriginal student concerns on campus on such issues as funding and registration
  - Supplying referrals to additional services and agencies on and off campus
  - Offering ongoing personal and general academic counsel to faculty and TYP students
  - Arranging meeting places for social and cultural events for the Aboriginal Student Council and students, families and elders
  - Coordinating study skills workshops, tutorials and personal counselling
  - Producing a funding/scholarship directory
  - Supplying information on summer employment and employment after graduation by communicating with potential employers and by participating in career fairs

Further inquiries are welcome at the Office of Native Student Services, 2-400 Students' Union Building, University of Alberta, Edmonton, AB T6G 2E8; phone 492-5677, fax 492-1674. Website <http://www.indigidome.com/NSS/index.htm>

## 25.1.4 Services for Students with Disabilities

The University of Alberta has a tradition of encouraging academically qualified persons with disabilities to seek admission to its programs. It has also demonstrated its commitment to provide support services to students with disabilities to enable complete access to University facilities and successful completion of programs. In assisting students with disabilities, the University's criteria for academic excellence will not be compromised.

Although the primary responsibility for the provision of services rests with Services for Students with Disabilities (SSD), the provision of a supportive physical, academic and social environment is the responsibility of the entire University.

To achieve its goal of attracting and retaining qualified students with disabilities, the University commits itself to the following objectives:

- (1) The University of Alberta will ensure that persons with disabilities are considered for admission to any programs for which they are academically qualified within the quota restrictions of the programs.
- (2) Where warranted, and without compromising its academic standards, the University will modify program courseload, examination procedures, and other academic requirements to permit students with disabilities to complete their programs.

The above is from the policy adopted by the University of Alberta's *General Faculties Council* on September 26, 1988. The *Policy for Students with Disabilities* is available from SSD or on the World Wide Web at <http://www.ualberta.ca/~unisechr/policy/sec108.html>

Students whose disabilities involve any number of conditions affecting mobility, vision, hearing, learning, and physical or mental health, may contact Services for Students with Disabilities for information. SSD facilitates and coordinates the efforts of University departments and works with off-campus agencies in meeting students' special needs and provides support services which help to equalize educational opportunities for students. Services include orientation to the University, arrangements for interpreting and communication support, access to assistance in accessing government funds (e.g., VRDP, SOG) and awards. An extensive volunteer program provides support services for students requiring assistance with notetaking, exam writing, taping of readings, mobility on campus, library research, and laboratory work.

SSD also administers the *Program for Students with Learning Disabilities* (PSLD). Following an initial screening, students appearing to have a learning disability are scheduled for a complete educational assessment. Based on assessment results, an appropriate program of instruction and support is developed. Program goals are achieved using a cognitive strategies approach to learning. Inquiries are welcome.

Students who are considering attending or have already been admitted to the University and would like more information on SSD's support services, should indicate this on the University's *Application for Admission* form or contact SSD directly. They should contact SSD as early as possible to ensure a smooth transition to the University environment. Orientation for students with disabilities takes place in early September. Please call in advance to register.

Services for Students with Disabilities is located in 2-800 Students' Union Building, University of Alberta, Edmonton, Alberta, T6G 2J7, 492-3381 (phone), 492-7269 (TTY), 492-6701 (fax), [sadviser@gpu.srv.ualberta](mailto:sadviser@gpu.srv.ualberta) (email), <http://www.ualberta.ca/~ssd> (website).

## 25.1.5 Student Counselling Services

Student Counselling Services offers a wide range of professional counselling services directed at helping students who are experiencing personal or academic difficulties.

We offer individual, couple, and group counselling by Chartered Psychologists or Psychology Interns who are supervised by Chartered Psychologists.

Counsellors help students address various personal and/or academic issues, such as feeling isolated, depression, test anxiety, family difficulties, stress, past sexual abuse, or relationship concerns.

Generally, our services are free, but nominal fees may be charged for some group programs and/or career-related assessments. Students who want to see a counsellor should arrange an appointment in advance. Student Counselling Services is located in 2-600 Students' Union Building; phone 492-5205, fax 492-1674.

### 25.1.6 Student OmbudService

The Student OmbudService (SOS) is a joint service of University Student Services and Students' Union. It is staffed by one University Director and two Student Directors. The staff are trained in conflict resolution and provide assistance to students and staff on academic and non-academic matters. They provide one-on-one confidential advising, assistance with the preparation of formal appeals and hearings, and mediation services. The SOS is located in Room 2-306 SUB and appointments can be made by calling 492-4689. For more details of this service please refer to §25.2.7. Website <http://www.su.ualberta.ca/ser/sos>

### 25.1.7 Academic Support Centre

(1) **Effective Writing Resources** provides a non-credit writing program open to those who want to improve their composition skills.

- a. Courses: 18-hour classes and Saturday workshops at various levels are scheduled throughout the year, for example, Level One: Writing for University; Level Two: Revising and Editing Your Thesis.

Information on schedules and fees is available from Effective Writing Resources.

- b. Individual Assessments are also provided based on samples of previously written work or on results from the "Alberta Universities Writing Competence Test." All assessments include a written evaluation and an interview.

- 1) Writing Competence Test: A diagnostic test offered several times throughout the year.

- 2) Essay Assessments: These evaluations are available at any time. Students should bring three or four samples of their writing to the office of Effective Writing Resources and arrange for an interview. Any papers students bring must have already been submitted for course credit.

- c. Other Services: Students may also obtain a list of recommended books on composition and a checklist to help them revise and edit their work. For details, contact Effective Writing Resources, 2-400 Students' Union Building; phone 492-2682.

(2) **Learning Resources Program** offers workshops on a wide range of topics related to University study. The program goals are to make students more effective and efficient learners and to help them improve their performance. When students have difficulty with courses, it relates either to their learning strategies or to the course itself. The program teaches students how to learn various kinds of course content.

Topics include how to write exams; take lecture notes; manage time; organize term papers; develop memory and study strategies; deal with test anxiety; and select main ideas from textbooks, notes, and lectures.

Workshops on these and other topics are offered in various formats: single-topic workshops, and mini-courses that combine a number of single workshop topics. Fees are charged for all workshops. Some individual assistance for learning problems is available by appointment.

For details, contact Learning Resources, 2-400 Students' Union Building; phone 492-2682, fax 492-1674. Website <http://www.ualberta.ca/~ascntr>

(3) **Computer Resources** provides short computer training workshops in a number of areas including wordprocessing, spreadsheets, audio and video presentations, and accessing the Internet.

For details, contact Computer Resources, 2-400 Students' Union Building; phone 492-2682, fax 492-1674. Website <http://www.ualberta.ca/~ascntr>

### 25.1.8 Sexual Assault Centre

The Sexual Assault Centre is a cooperative effort between the Dean of Students and the Students' Union.

The staff and volunteers at the University of Alberta Sexual Assault Centre believe that if help is available for sexual assault victims and their friends and family, recovery, emotional healing, personal growth, and strength will result.

The University of Alberta Sexual Assault Centre offers services to female and male victims of

- acquaintance sexual assault,
- date sexual assault,
- stranger sexual assault,
- adult survivors of child sexual abuse,
- dating/marital violence,
- sexual assault by multiple perpetrators.

Services are also accessible to friends, parents, and families of sexual assault victims, the heterosexual and homosexual communities, students with disabilities, and persons of all ethnic and socioeconomic classes.

#### Direct Service

Highly skilled staff and volunteers provide crisis intervention, short-term counselling, support, information, assistance, and referrals to people affected by sexual assault. At the individual's request, we provide information and accompany the victim through any further hospital, police, or court involvement. Call for an appointment or visit our office.

#### Education and Awareness

Staff and volunteers offer various interactive educational presentations on sexual assault, the male perspective, and the effect on relationships with friends and family. Presentations may be arranged by contacting the Director.

#### Volunteers

Become a part of our team! The University of Alberta Sexual Assault Centre offers a practical and rewarding volunteer experience for students and staff. Following an extensive training program, volunteers specialize in direct service, education, training, or public relations. For an application form and more information, contact the Director.

#### Office Hours

Weekdays (0900-2100); call 492-9771 or visit 2-600 Students' Union Building. Website <http://www.ualberta.ca/~uasac>

### 25.1.9 Student Financial Aid and Information Centre

The Student Financial Aid and Information Centre administers a number of financial aid programs to assist undergraduate and graduate students who are experiencing financial difficulties. SFAIC is a joint venture service between the University of Alberta Student Services and the Students' Union.

The following services and programs are available:

#### Government Student Loan Programs

SFAIC has application and appeal forms for all federal and provincial student loan programs. Staff are available to assist students in completing their funding applications.

#### Financial Information

Staff are available to discuss budgeting, debt control, financial management, and alternate sources of funding.

#### Emergency Student Loans

Emergency student loans are short term loans available for full-time students to cover essential living expenses in the event of an unanticipated emergency. Emergency Student Loans are interest-free, must be repaid in three months, and cannot be used to cover debts with other University departments.

#### Financial Need Bursaries

These bursaries provide financial assistance to University students in good academic standing who have exhausted all other financial resource and face a budgetary shortfall. Bursaries are non-repayable. There are two kinds of financial need bursaries.

(1) **Emergency bursaries** are given on an ongoing basis to full-time University students who face an unanticipated financial emergency. Emergency Bursaries cannot be used to cover debts with other University departments.

(2) **Supplementary bursaries** are disbursed once a year to full-time University students who have received maximum government student loans or do not have access to such funds. Forms are available on December 1 and the application deadline is January 15 at 13:30.

SFAIC is located in 2-700 Students' Union Building. Please call 492-3483, or visit us at <http://www.su.ualberta.ca/ser/sfaic/sfaic.html>. For financial information, e-mail [sfaic@ualberta.ca](mailto:sfaic@ualberta.ca) and for emergency aid assistance e-mail [emergaid@ualberta.ca](mailto:emergaid@ualberta.ca)

### 25.1.10 University Health Centre

The University Health Centre is a walk-in medical clinic with full physician, nursing and pharmacy services. Our purpose is to provide primary medical care, health education and preventative care to the University community. When students and staff visit the University Health Centre, they need to present their One Card and Alberta Health Care card or evidence of other health insurance.

## Access

Medical Services may be accessed by full-time students and their immediate family, part-time and Open Studies students, academic and non-academic staff.

## University Health Centre Services

**Physician Services:** consultations and medical exams; confidential testing for pregnancy, STD's and HIV/AIDS; birth control counseling; subsidized third-party medicals for students; minor surgery; exam deferrals

**Nursing Care:** first aid; allergy injections; immunizations; auditory testing

**Specialists:** psychiatry; physical medicine rehabilitation; dietary counseling

Our pharmacy provides subsidized prices on numerous medications to students. We fill prescriptions written by physicians whose practice is outside of the University Health Centre, and will call outside physicians' offices or pharmacies for prescriptions. We can process prescriptions through the Met Life Assure card, and accept payment for prescriptions by cash or cheque. Over-the-counter items such as Tylenol, cough syrup and condoms are available for purchase.

Full-time and part-time students pay a subsidized rate for prescriptions up to the cost of the drug but they do not pay a dispensing fee. Immediate family members of students are permitted to submit prescriptions and are charged a rate equal to the cost of the drug. Subsidized rates and access apply only to the term in which the Health Services Fee has been paid. Students must present their OneCard when leaving prescriptions to be filled or when picking them up.

**Health Education Program:** Our Health Education Program plays an important role in increasing the awareness of certain health issues on campus. Peer Health Educators run informative and entertaining sessions on alcohol awareness, smart sex (including AIDS and STD's), stress management and birth control. Presentations are fun and thought provoking; they are designed by students, about students, for students. The Healthy Student Shareware collection provides interactive computer programs on various health topics. These programs may be downloaded from <http://www.ualberta.ca/~jhancock/HealthEd.html>

**Location and hours of operation:** Room 2-200, Students' Union Building. Telephone: 492-2612. Our Health Centre is open Monday through Friday 0800-1700, Pharmacy is open Monday through Friday, 0830-1700.

**Web Site:** <http://www.ualberta.ca/~jhancock/UHS.html>

## 25.2 Students' Union Student Services

### 25.2.1 Campus Food Bank

Due to the increasing financial burdens on students, some find it increasingly difficult to find enough money for decent nutrition. The Campus Food Bank is a service, jointly offered by the Students' Union and the Graduate Students' Union, which seeks to provide students who have no other options with nutritional resources to help them get by. Should you ever require assistance please remember the Campus Food Bank. We also solicit donations from the campus community and always welcome the donations or volunteer efforts of students at the University. Please support the Campus Food Bank (monetary or food) at our office located on the lower level of SUB (040 J SUB) or call us at 492-8677.

### 25.2.2 Information Registries

The Information Registries is open Monday through Friday; phone or visit our office for hours of operation. We cannot take requests over the phone for information from our Tutor, Used Book, or Typist Registries.

The Information Registries main office is located at 030A Students' Union Building, University of Alberta, Edmonton, AB T6G 2J7; phone (780) 492-4212, fax (780) 492-4643. Website [www.su.ualberta.ca/ser/reg](http://www.su.ualberta.ca/ser/reg). The Information Registries offers the following services to students:

#### Exam Registry

Exams are collected from departments by the Information Registries. Students who want to request past exams may order them through our office or at HUB, and CAB Information Booths. Exam orders are processed overnight or on the following day and can be purchased at the place of ordering. Some departments are exempt from submitting their exams to our registry.

#### Housing Registry

The Housing Registry provides information on off-campus housing, the Residential Tenancies Act, emergency and temporary housing, bed and breakfasts, low-cost housing alternatives, and accommodation at other Canadian universities. Students seeking roommates and subleases, as well as landlords seeking renters, may list their accommodations with the Housing Registry. Students can receive computer-generated lists of various accommodations. Information will be mailed on request.

Inquiries and requests can be made in person, by telephone, or by writing to Information Registries, 030A Students' Union Building, University of Alberta, Edmonton, AB T6G 2J7; phone (780) 492-4212, e-mail [housing@su.ualberta.ca](mailto:housing@su.ualberta.ca). The Registries Internet homepage address is <http://www.su.ualberta.ca/ser/reg>

#### Information Desks

The Information Registries operates information desks in HUB, SUB, and CAB where staff provide information about any aspect of the University campus. Specifically there is information on Student Services, Campus Maps, and a wide array of campus departments and services. Bus passes and tickets are sold at the CAB and SUB desks. The SUB desk is also a TicketMaster outlet and sells tickets to both on and off-campus events.

#### Tutor Registry

The Information Registries offers a free list of tutors for various subjects at the University and high school levels. Three editions are published annually: Spring/Summer, Fall Term, and Winter Term. For more information on registering as a tutor, visit our office or our webpage. For students seeking help with a course, lists of tutors are available at our office and at all Information Booths.

#### Typist Registry

The Information Registries offers a centralized list of people available near campus to do typing, formatting, and word processing. This information is available in our office and at HUB, SUB, and CAB Information Booths. To be part of this registry, come by our office.

#### Used Book Registry

Used books for sale can be entered directly through the service's webpage. The booklist is available at our office, the HUB, SUB, and CAB Information desks; and via our webpage.

### 25.2.3 Safewalk

Safewalk is a volunteer-based service of the Students' Union that provides the campus community with an alternative to walking alone after dark. Committed to creating a safe and comfortable atmosphere on the University campus, Safewalk also patrols the campus area, acting as extra "eyes and ears" for Campus Security, and maintains constant radio contact with the office.

To use Safewalk, call 4-WALK-ME (492-5563) and give us your name, your location, your destination, and the time you would like to be picked up. A co-ed team will be dispatched to meet you and escort you to your destination.

Safewalk's boundaries extend south of the campus to 72 Avenue and east to 91 Street. We will also travel with you on the LRT as far as Clareview Station. Hours of operation are Fall/Spring 1900-0030 and Winter 1700-0030.

Volunteer recruitment for Safewalk takes place in September and January, with training dates in both months. If you are interested in volunteering for Safewalk, come to 040E Students' Union Building or call 492-5563 for more information.

### 25.2.4 Students' Access Fund

Each student who is a member of the Students' Union pays into the Access Fund, as per a 1995 referendum. The Fund is disbursed to those University of Alberta students who are greatest in need, the highest priority being students nearing completion of their degree and who have exhausted all other means of financing their education.

The Access Fund is disbursed via an application and one-on-one interview process, based on a number of criteria and policies established by an advisory board made up of students and community members. For more information contact the Access Fund Administrators (492-4236, [accessfund@su.ualberta.ca](mailto:accessfund@su.ualberta.ca)).

### 25.2.5 Student Financial Aid and Information Centre

The Student Financial Aid and Information Centre is a cooperative effort between the Students' Union and the Dean of Students. See §25.1.9.

### 25.2.6 Student Group Services

The University of Alberta has more Student Groups than any other university in Canada. Student Groups represent the diverse cultural, political, and recreational interests of students. Registered groups are eligible for various privileges from the Students' Union, including grants and loans, office space, mail boxes, publicity, and seminars. The Director of Student Group Services is available for consultation regarding registering and operating Student Groups; phone 492-9789 or visit 040V Students' Union Building.

## 25.2.7 Student Help

Are you stressed over school? Relationship problems getting you down? Feeling depressed? Student Help is a Students' Union service that has been offering peer counselling to the University community since 1969. We provide an informal environment that is completely confidential and anonymous, and offer an open, friendly place to come and talk.

Student Helpers are student volunteers trained in listening, communication, and crisis intervention skills. They are all currently studying at the University, so they understand the student viewpoint but can also offer an objective, nonjudgmental viewpoint. Student Help operates on the principle of nondirectiveness. Rather than advising, volunteers help explore alternatives and discover solutions.

The office maintains an extensive referral list, allowing us to give you updated information on resources available on and off campus. If volunteers are not equipped to handle a problem, they can put you in touch with someone who can.

Student Helpers deal with academic and personal problems. They can help with anything from exam deferrals to crisis intervention. If you're feeling lost, or just need to talk, Student Help can help. Students are free to visit 030N SUB or to call 492-HELP(4357). Student Help is open September–April, weekdays from 0800–2100 and weekends from 1700–2200. No appointment is necessary and there is no fee.

Student Help volunteers are recruited yearly from April to September; drop by the office for more information.

## 25.2.8 Student OmbudService

Caught up in red tape? The primary role of the OmbudService is to ensure that students receive equitable treatment within the University and the Students' Union. We provide an excellent starting point for members of the University community who are unsure of the precise nature of their problem or complaint or of the best way to handle it. Effective October 1, 1997, the Student Advisor and the Student OmbudService merged.

The Student OmbudService has four main functions:

- (1) **Information Source:** We collect information on regulations and policies not readily accessible to students and assist in using and interpreting appeal procedures.
- (2) **Referral Service:** Most problems students encounter can be handled through informal channels, including professors, academic advisors, department heads, and deans. If a particular area of responsibility is not clear, or a student has a concern about pursuing these informal channels, we can help determine alternative courses of action.
- (3) **Facilitator:** When necessary, we can facilitate communication or attend meetings between students and University staff to resolve disputes.
- (4) **Prescriptive Role:** The OmbudService can make recommendations in specific cases and, where appropriate, suggest general changes in structures and procedures affecting students. By monitoring systemic discrepancies in policy and their effects on individual students, we attempt to stimulate discussion of wider institutional issues.

We are located in Room 2-306 of the Students' Union Building. General inquiries can be made during regular office hours; appointments can also be made by phoning 492-4689.

## 25.3 Library Services

The University of Alberta Library contains over 3,600,000 volumes. The main collections are housed in the Cameron, Rutherford, Law, and Education Buildings, the Walter C Mackenzie Health Sciences Centre and Faculté Saint-Jean. Full information about the University Library is contained in §233.

Students are subject to fines for overdue materials according to the *Library Fines Policies*. This document is available at all circulation desks.

## 25.4 Housing and Food Services

The Department of Housing and Food Services offers students various residence communities where academic and personal growth are supported and encouraged. Lister Hall, Pembina Hall and Faculté Saint-Jean are traditional-style residences. Michener Park, HUB International, and Garneau are unfurnished apartment residences available on a monthly basis. Students in each residence elect a residence association directed by a Residence Life Coordinator, who works with students to develop a unique program of educational, social and cultural activities. Priority for housing is given to full-

time students at the University of Alberta. **Applications are accepted throughout the year. Students should request information as early as possible** from Housing and Food Services, University of Alberta, 44 Lister Hall, 87 Avenue and 116 Street, Edmonton, AB T6G 2H6; phone (780) 492-4281, toll free 1-800-615-4807, e-mail housing@ualberta.ca, website www.hfs.ualberta.ca.

### Faculté Saint-Jean

Faculté Saint-Jean is a small French-immersion residence located on the Faculté Saint-Jean campus, five kilometres east of the main campus. This historic building, constructed in 1908, provides single or double furnished rooms. The residence is linked to the Faculté's academic buildings by an underground passage. A playing field and tennis courts are part of the Faculté's grounds. The University runs a free shuttle bus service to the main campus.

Students supply their own food and do their own cooking in well-equipped communal kitchens, and the Café Saint-Jean is available for snacks. Faculté Saint-Jean provides the camaraderie of a small community and an excellent opportunity to participate in "la francophonie."

*Faculté Saint-Jean La Résidence, située sur le campus de la Faculté Saint-Jean, est environ six kilomètres du campus principal de l'université. Être résident de la Faculté Saint-Jean, c'est avoir la possibilité de vivre dans un milieu francophone. Cet édifice, construit en 1908, loge 75 étudiants dans des chambres meublées, simples ou doubles. Les étudiants ont accès à des cuisines modernes et bien équipées.*

*La résidence est reliée à l'édifice académique par un passage souterrain. Un service de minibus gratuit relie ce campus avec celui de l'université.*

*Vivre à la Faculté Saint-Jean vous permettra de mieux connaître vos camarades de classe.*

### Lister Hall

The Lister Residence consists of three high-rise towers on campus. An excellent choice for first-year students, the Lister community encourages social interaction and development, and provides a meal plan. Single or double study bedrooms are fully furnished and are located around a central lounge area. Students choose their own type of floor environment, based on social activity, age, and living arrangement. Floor coordinators are the students' link to opportunities for involvement in various recreational and social programs. Study rooms are available to students in each tower.

Lister provides many other amenities: steam room, weight room, tennis courts, study rooms, computer room, Internet access, color televisions in the lounges, piano and music practice rooms, and a suntanning facility. Dining facilities are located downstairs or at various food service outlets across campus. "The Marina," a convenience store, and "The Ship," a community centre, are also located in Lister Hall. Coin-operated washers, dryers and other services are found on each floor.

### Pembina Hall

Pembina offers a relaxed and quiet atmosphere for mature students (21 years and over). One of the University's original residences, Pembina was constructed in 1914 and is located in the heart of the campus. All rooms are furnished singles. The Central Academic Building, Students' Union Building, and HUB Mall are all close by. A meal plan is provided, with dining facilities located in Lister Hall, CAB, and lunchrooms across campus. There are no cooking facilities in the study bedrooms. Each floor features a small kitchenette and communal lounge. Piano, computer, and sewing rooms are also available for students' use. Coin-operated laundry facilities are available.

### Garneau

Garneau is one of Edmonton's oldest and most picturesque neighbourhoods, located just east of campus next to the Law Centre. The Garneau Residences are made up of a variety of unfurnished apartments and houses. Coin-operated laundry facilities are conveniently located.

The Garneau apartments are attractive, modern walk-ups, constructed for the World University Games in 1983. These one-, two-, and four-bedroom unfurnished units feature spacious balconies and are equipped with a refrigerator and stove. Utilities are included in the rent, and bicycle storage is available.

The 41 Garneau houses are unique in design, ranging in size from cozy one- and two-bedroom units to spacious four- and six-bedroom houses. Students may apply as a group or individually. All houses are equipped with a refrigerator and stove. Utilities are included in the rent.

### HUB

HUB is one of the most innovative housing complexes in North America. As an international gathering place, HUB offers opportunities to meet students from as far away as Hong Kong, Lebanon, and Kenya. Some units overlook a self-contained mall with quaint and stylish shops, restaurants and services. Unfurnished bachelor, one-, two-, and four-bedroom units, and special end

units (accessible to the disabled) are available on a monthly basis, and all units come with drapes, fridge and stove. Students may apply as a group or individually.

HUB International is joined by covered skywalks to the Rutherford Library, Humanities Centre, and the Fine Arts, Law, and Business buildings.

### Michener Park

Michener Park is a quiet family housing community six kilometres south of the main campus, designed for families or couples. For families, two-bedroom apartments with balconies on the upper floors and others with patios at ground level are available, as are two- and three-bedroom row houses with semi-private yards opening onto landscaped courtyards. Couples without children may choose Vanier House, a 14-storey high-rise featuring unique bi-level one-bedroom apartments.

Furnishing include refrigerator, stove, and drapes. Laundry facilities are available at a central location, and utilities are included in the rent. Michener Park provides playgrounds, day-home, a babysitting co-op, and a computer room with an on-line connection to the mainframe University of Alberta academic computer system. The Park is close to shopping, the University of Alberta Tennis Centre, and excellent bus routes to the University and downtown.

### Food Services

Students in Lister Hall and Pembina Hall must purchase a dining card, which operates on a declining balance. The card may be used in Lister Hall, CAB, or lunchrooms located in various academic buildings across campus. Required financial commitments are listed on the Fee structure. A campus dining card, available to students living in other residences and off campus, is also offered.

## 25.5 Bookstores

The University maintains a large and modern Bookstore operation with four outlets on campus.

The University of Alberta Bookstore is located in the Students' Union Building. New and used textbooks, class materials and required supplies for most courses may be purchased.

The Bookstore also has a wide selection of fiction, poetry, current best sellers, children's books, reference books and nonfiction books. A special order service is available for any book not in stock. An extensive selection of quality clothing and gift items bearing University insignia and team logos is available, as well as magazines, greeting cards, art and engineering supplies.

"Computers," the Bookstore's microcomputer department is located on the lower floor of the main store. Hardware, software and peripherals are available at educational discount prices to qualified customers.

The University of Alberta Health Sciences Bookstore in the Walter C Mackenzie Health Sciences Centre provides textbook and reference materials for all medical and nursing courses as well as providing specialty services to the University's health care community.

The University of Alberta Extension Centre Bookstore provides text and services to students in the faculties of Extension and Rehabilitation Medicine. Trade books, reference books and crested merchandise are also available.

The University Bookstore at HUB, located in the HUB International Marketplace, is a general-interest bookstore. It offers a large selection of trade books, with emphasis on the humanities, fine arts, social sciences, business and law, along with a wide variety of giftware and crested clothing. Everyone is invited to use the On-line Access Centre, offering free internet access and a CD-Rom library.

### Refund Policy

**General:** Full refunds will be given if the merchandise being returned is in perfect saleable condition and the customer provides acceptable proof of purchase (cash receipt or cancelled cheque) and the specific conditions for refund of the type of merchandise is met. The Bookstore reserves the right to deny a refund at any time.

**Textbooks:** Textbooks may be returned only during the specified times as published by the Bookstore.

A student may return textbooks that were required for a specific course if the student withdraws from the course and provides proof of the withdrawal and requests the refund within seven days of withdrawing from the course.

**General Books:** Books not specified as required textbooks may be returned for refund within seven days of the date of purchase.

**Special Orders:** Refunds are not allowed on special orders.

**Sale Items:** Refunds are not allowed on sale items.

**Non-Book Merchandise:** Most merchandise may be returned for refund within seven days of date of purchase.

**"Computers" Department:** Normally, there are no refunds on merchandise from "Computers"; however, the manager may, under extenuating circumstances, approve a refund.

**Used Books:** The Bookstore also buys back certain textbooks in December and in April if they are in good condition. The Bookstore reserves the right to limit these quantities.

## 25.6 Printing and Duplicating Services

The Quality Color Press operates a printing and duplicating service for the University community. Students may access Quality's services, including desktop publishing, disk conversion, text scanning, duplicating, printing, and binding.

The Quality Color Press office on campus is at 4-28 Mechanical Engineering. Satellite copy centres are located at B105 Education, 114 Civil Engineering, TB29 Tory, and 162 Clinical Sciences. For information on printing, duplicating, and thesis binding, call 492-9491.

## 25.7 Computing and Network Services

Computing and Network Services (CNS) provides information technology solutions to support the University of Alberta's teaching and learning, research, administrative, and public service goals. CNS services are based on an infrastructure composed of a fast fiber optic backbone network and the client/server model of distributed computing and a highly knowledgeable staff essential to building and operating this complex environment.

Departmental LANs, made up of various PC/Windows, UNIX, and Macintosh computers, are integrated with the backbone network via Ethernet and FDDI connections. Remote serial connections to campus and Internet services are supported via central terminal servers and a number of modem pool facilities.

CNS issues computing IDs to all registered U of A students; IDs are available for faculty and staff on request (at 240 Gen Serv). Use of CNS Computing IDs and facilities is subject to the "CNS Conditions of Use" and all other ethical and legal policies and guidelines established by the University.

CNS Computing IDs enable access to campus and Internet resources, providing the user with electronic mail, network news, and file transfer facilities; personal disk space on CNS file servers; access to general-purpose logon computers and tools (e.g., GPU); and support for personal and departmental web sites.

The CNS Help Desk in 302 Gen Serv and the Electronic Help Desk on the web assist clients. Clients can drop in at the Help Desk or access information technology generalists at the hotline number 492-9400. The Help Desk is open weekdays during regular business hours.

CNS supports general-purpose and high-performance computing tools. It also supports the standard Internet protocols for global networking (e.g., HTTP, SMTP/POP, NNTP, FTP). Additionally, the Department provides infrastructure support for special teaching venues that feature computer/video conferencing, multimedia, and other facilities for alternative delivery of education. CNS currently operates more than 30 instructional computer labs in partnership with sponsoring departments. The labs may be booked for teaching purposes.

For more information, see the CNS website at <http://www.ualberta.ca/CNS/>

## 25.8 Day Care Centres

The Students' Union and Community Day Care Centre, a non-profit society, provides full-time and part-time childcare services. Located in HUB International Marketplace (8917-112 Street), the centre is licensed for children from 18 months (non-toilet trained) to 6 years. Daily midday meal and two snacks are provided. Childcare staff are fully qualified to create and implement developmentally appropriate programs, and the Centre offers onsite kindergarten in addition to all-inclusive programs. Government subsidies are available, and fees are based on family income. Hours of operation are Monday to Friday 0730 to 1730.

For further information, phone 492-2245 or stop by 8917 HUB International Marketplace.

The University Toddler Center (434-8407) and Michener Park Family Day Homes are operated by a non-profit Society. The Center provides on-campus care for children from birth to 35 months of age. All staff have Early Childhood diplomas or relevant degrees. Michener Park Family Day Homes (448-1158) offers agency-monitored childhood care in approved private homes, most of which are located in the University's Family Housing Complex. Based on family net income, government subsidies are available to those who qualify.

The University and Community Day Care Centre is located within walking distance of the University, at Windsor Park School (8720-118 Street). Early childhood professionals staff the centre, which has spaces for children of University staff and students. For information, call 433-0070.

The Hospitals and Community Day Care (433-9663) cares for children (19 months to 5 years in age), with staff trained in early childhood development. Various activities that interest children and expand their development are available.

The Garneau/University Childcare Centre is a non-profit, municipally approved day care centre located in Garneau School. It offers a play-based, child-centred program and employs qualified staff. Snacks and hot lunches are supplied. Hours of operation are 0730–1730 Monday to Friday. For information, phone the Centre at 439-9050.

## 25.9 Athletics and Recreation

### 25.9.1 Campus Recreation Program

Campus Recreation, part of Athletics and Recreation, encourages healthy lifestyles for the University community through various recreational activity programs.

All full-time and part-time students, faculty members, non-academic staff members, and alumni and their families who possess an ID card or a Van Vliet Physical Education and Recreation Centre “user” card are eligible to participate in most Campus Recreation Programs.

The major goals of this program are to offer a wide range of activities to as many members of the University community as possible; provide well-organized physical exercise in an appropriate setting; and provide a friendly, enjoyable social atmosphere during and following activity participation.

To attain its goals, Campus Recreation is divided into program areas, each with a different focus:

**Women's, Men's, and Co-Rec Intramural Programs** offer various sport-oriented activities. More than 60 activities are offered to students, faculty and staff and their families.

**Non-Credit Instruction (NCI) Program** offers instruction in dance/fitness, sports and outdoor pursuits to all members of the University community. Over 30 instructional courses are offered per term.

**Sports Clubs Program** comprises over 20 clubs, including martial arts, rowing, badminton, and cross-country skiing. Many students, faculty and staff are members of the club.

**Campus Fitness and Lifestyle Program (CFLP)** provides fitness classes and lifestyle-improving course to students, faculty and staff.

**Special Events Programs** are offered at various times throughout the year on an ad hoc basis. Examples include Campus Recreation Skate-Giveaway, Old-Time Skating Party, and Family Fun Sunday.

**Casual Recreation** opportunities for “drop-in” participants are provided regularly in the many facilities of the Van Vliet Centre.

Information on any of these Campus Recreation Programs and how to access the facilities is available from the Campus Recreation Office (W1-08 Van Vliet Centre) during office hours.

### 25.9.2 Interuniversity Athletic Program

The interuniversity athletic program at the University of Alberta provides diversified competitive opportunities, skilled and qualified coaching and outstanding facilities for students with superior athletic abilities. The interuniversity athletic program develops and uses these talents in high-calibre organized competition with students of similar ability across Canada. The University of Alberta is a charter member of the Canada West University Athletic Association. The Golden Bears and Pandas compete in the CWUAA with men's and women's teams from the Universities of British Columbia, Victoria, Calgary, Lethbridge and Saskatchewan. Conference champions advance to compete in national and international competitions organized by the Canadian Interuniversity Athletic Union. National championships have been held in football, volleyball, basketball, hockey, swimming, soccer, and wrestling for men; volleyball, swimming, field hockey, basketball, ice hockey, and soccer for women; and track and field and cross-country for men and women. Golden Bears and Pandas travel and meet athletes from across Canada and around the world.

Spectator participation is a vital part of the Alberta campus scene, as thousands of students, staff and alumni attend Golden Bears and Pandas games.

Student athletes receive individual recognition for their achievements at the yearly athletic awards night, Colour Night. This is the culmination of the athletic year at the University of Alberta. The most highly prized trophies are the Bakewell and Wilson trophies, presented to the top female and male

athletes at the University. Award recipients are chosen by a committee made up of Faculty representatives and members of the University Athletic Board.

## 25.10 Transportation and Parking

Traffic and parking regulations that apply to the use, operation, and parking of all motor vehicles within the boundaries of the campus are established by the Board of Governors. Students are responsible for knowing the regulations, copies of which are available at the Parking Services office, 203 Education Car Park.

Parking on campus is restricted to valid permit holders, 0700–2200 hours, seven days a week. Violations result in parking tickets and/or cars towed away at the vehicle operator's expense. See the University Traffic and Parking Regulations for further information.

### Student Parking

Students may purchase parking permits on a first-come, first-served basis beginning in April for the upcoming Fall/Winter period. To purchase a permit payment must be made for the period requested. The minimum period that can be purchased is one calendar month. Available space will be sold to Winter Term students in January. To purchase permits, students must report to the Parking Services office and present vehicle registration, drivers licence, and student identification or letter of acceptance.

### Further Information

Additional information may be obtained in the Registration Procedures Manual or directly from Parking Services by phone (492-PARK) or in person at 203 Education Car Park (114 St and 87 Ave).

## 25.11 Bursary Information

University of Alberta students may apply for bursaries based on financial need. Because bursary funding is limited, awards are normally issued after a student has exhausted all other options. Financial need bursaries are non-repayable awards allocated based on financial need and satisfactory academic standing. Applications for bursaries are available from the Student Financial Aid and Information Centre, Room 2-700, Students' Union Building. Deadline for completed applications is January 15th at 4:30 pm. Late applications are not accepted.

## 26 Code of Student Behavior

**Note from the University Secretariat:** Amendments to the Code of Student Behavior occur throughout the year. Please contact the University Secretariat for information concerning amendments approved by GFC since this copy of the Code was published.

### 26.1 Individual Students

#### 26.1.1 Introduction and Definitions

The University is defined by tradition as a community of people dedicated to the pursuit of truth and advancement of knowledge, and as a place where there is freedom to teach, freedom to engage in research, freedom to create, freedom to learn, freedom to study, freedom to speak, freedom to associate, freedom to write and to publish, and a concomitant obligation to respect these freedoms when they are exercised by others.

The Code of Student Behavior is separated into three parts: the first section (§26.1) deals with offences, penalties, discipline and appeals of individual students; the second section (§26.2) deals with offences, penalties, discipline and appeals of student groups; and the third section (§26.3) defines additional regulations and requirements currently mandated by the Code.

The offences listed below describe, in general terms, behaviors which if left unchecked would, to an unacceptable degree, infringe upon the freedoms described above and thus threaten the proper functioning of the University.

Nothing in this Code shall be interpreted in such a way as to prohibit the activities or to violate the principles which are set out in the first paragraph of this Section.

The Director of Campus Security will investigate all reported instances of possible or suspected retaliation by student(s) of an offense under the Code.

If appropriate, additional charges may be laid against the student(s) in accordance with the Code.

Nothing in this Code shall prevent the University from referring an individual matter to the appropriate law enforcement agency should such action be considered necessary.

Sources of on-campus assistance about the discipline process include the Appeals Coordinator, the Dean of Students, the Information Officer on Appeals and Grievances, Student Legal Services and the Student Ombudservice.

**Note from the University Secretariat:** Appeals Coordinator: 2-5 University Hall, 492-4715; Dean of Students: 2-800 Students' Union Building, 492-4145; Information Officer on Appeals and Grievances: c/o Dean of Students; Student Legal Services: 11011-88 Avenue, 492-2226; Student OmbudService: 2-306 Students' Union Building, 492-4689.

(1) **General Definitions:**

In these procedures, the following words have the following meanings:

**Appellant:** Any person who appeals under these procedures.

**Complainant:** Any person who, believing that a student has committed a non-academic offence, files a statement requesting an investigation of the complaint with the Director, Campus Security Services.

**Degree:** Unless indicated otherwise, "Degree" shall be interpreted to mean any degree, diploma or certificate granted or awarded by the University.

**Member of the University Community:** Any registered student, academic staff or non-academic staff member.

**Student:** A person who is or has been registered as a student at the University whether or not for credit; includes current students, former students, and graduates who have received a degree, diploma or certificate from the University. For purposes of administering the Code, graduate students are considered to be from the Faculty where they receive supervision.

**Student Affairs:** Any activities or conduct which relate to, or which may represent or be seen as representing, the University of Alberta, whether such activities or conduct occur on campus or off campus when the activities or conduct relates to student status.

**Student Group:** For the purposes of these regulations, the term "student group" shall include all groups registered with the University but shall not be limited to, a club, association, organization, society, fraternity or fellowship. §26.2 of the Code of Student Behavior deals specifically with student groups.

(2) **Definitions of University Officials Involved in Discipline**

In these procedures, the following words have the following meanings:

**Appeals Coordinator:** The person responsible for administration of the University Appeal Board and related student discipline procedures. The Appeals Coordinator is appointed by and reports to the Director of the University Secretariat or designate.

**Deputy Appeals Coordinator(s):** The person(s) who, as needed, assists or acts in the place of the Appeals Coordinator. The Deputy Appeals Coordinator is appointed by and reports to the Director of the University Secretariat or designate.

**Dean:** Unless indicated otherwise, "Dean" shall be interpreted as the Dean (or designate) of the Faculty which offers the course in which there is an allegation of academic wrongdoing on the part of a student.

**Dean of Students:** Dean of Students or designate.

**Director of Campus Security:** Director of Campus Security Services or designate.

**Discipline Officer:** The person responsible for reviewing all non-academic charges laid under the Code of Student Behavior and, when required, determining and assigning penalties appropriate to the breach of the Code. With respect to academic offences, the Discipline Officer shall determine and assign those specific penalties required by §26.1.5 of the Code. The Discipline Officer is appointed by and reports to the Vice-President (Academic) or designate.

**Deputy Discipline Officers:** The person(s) who, as needed, assists or acts in the place of the Discipline Officer. The Deputy Discipline Officer is also appointed by and reports to the Vice-President (Academic) or designate.

**Instructor:** The person charged with the responsibility for assigning the grade in a course, or the person formally charged by the Department or the Faculty of Graduate Studies and Research with the responsibility for advising the graduate student or with the responsibility for supervising the thesis or project.

Note from the University Secretariat: Additional definitions pertaining to specific provisions are provided elsewhere in the Code (e.g., §26.1.5 contain definitions of some penalties).

## 26.1.2 Amendment of the Code

(1) The Code may be amended by General Faculties Council in exercise of the authority vested in it by §42 of the Universities Act.

(2) Substantive changes to the Code shall come into force after receiving the approval of General Faculties Council and the Board of Governors.

a. **Substantive Changes:** Substantive changes to the Code must be published in the *Gateway* or *Summer Solstice* (or equivalent summer publication) at least 10 days before their consideration by GFC. Substantive changes will be approved by the Campus Law Review Committee, GFC Executive, General Faculties Council, Board Educational Affairs Committee (BEAC) and the Board of Governors.

b. **Editorial Changes:** Amendments deemed minor or editorial by the GFC Campus Law Review Committee need not be published in the *Gateway* or *Summer Solstice* (or equivalent summer publication). If the GFC Executive and the Board Educational Affairs Committee (BEAC) agree that the amendments are routine and editorial, the changes will be approved by GFC Executive, on behalf of GFC, and BEAC, on behalf of the Board.

The Board Educational Affairs Committee (BEAC) has directed the Vice-President (Academic) and the Chair of BEAC, in consultation with the Secretary to GFC, to review proposals which set out minor, editorial, and/or strictly administrative changes to existing University policies and procedures and determine whether or not, after the proposals had been duly considered and endorsed by the GFC Executive Committee or by GFC, BEAC would be asked to take formal action on such proposals or simply receive such items for information only.

If the Vice-President (Academic) (or designate) and the Chair of BEAC (or designate) agree the amendments (i.e., the minor, editorial and/or administrative amendments under consideration) shall go forward to BEAC for information only, the amendments will be considered to be formally approved by the GFC Executive, on behalf of GFC and the Board, at the time the Executive's decision on the matter is rendered.

(3) The Code must be published in each issue of the University Calendar. In addition, the Appeals Coordinator will ensure that all substantive amendments to the Code approved after the Calendar is published appear in the *Gateway* near the beginning of the Fall and Winter Terms. In conjunction with publication of these substantive amendments, the Appeals Coordinator will coordinate publication of a summary of recent Code offences and penalties and other material concerning student discipline procedures. These materials may also appear in other University publications.

(4) The Coordinator of the GFC Campus Law Review Committee (CLRC), in consultation with the Appeals Coordinator, shall maintain the Official Copy of the Code and all amendments thereto.

## 26.1.3 Non-Academic Offences

Any of the following activities or conduct or attempts thereof or participation therein insofar as they relate to student affairs shall constitute an offence and be punishable.

Nothing in this Code shall be construed to prohibit peaceful assemblies and demonstrations, or lawful picketing, or to inhibit free speech.

(1) **Disruptions:** No student shall, by action, words, written material, or by any means whatsoever, knowingly obstruct University activities. University activities include but are not limited to, teaching, research, studying, administration, meetings, and public service.

(2) **Discrimination:** Discrimination is any act or omission based on race, religious beliefs, color, gender, physical disability, mental disability, marital status, age, ancestry, place of origin, family status, source of income, sexual orientation or political belief when that act or omission results in loss of or limit on opportunities to work or to fully participate in campus life or which offends the dignity of the person.

a. No student shall engage in student affairs, the result of which activity discriminates against any person or class of persons.

b. For an activity to be discriminatory under section (a), all three of the following questions must be answered in the affirmative:

1) Does the activity draw a distinction between the complainant and others?

2) If so, does this distinction result in a disadvantage (or lack of benefit) to the complainant which is not imposed on others?

- 3) If so, is this distinction based on an irrelevant personal characteristic, where context is essential in determining relevance?
- (3) **Harassment:** Harassment is conduct or comments which are intimidating, threatening, demeaning, or abusive and may be accompanied by direct or implied threats to grade(s), status, or job. Harassment can occur between people of differing authority or between people of similar authority. Harassment may be directed at an individual or at a group. Harassment has the impact of creating a work or study environment that is hostile and limits individuals in their pursuit of education, research, or work goals.
- No student shall physically abuse another person, threaten any other person with physical abuse or damage to such person's property or knowingly, and without just cause, cause any other person to fear physical abuse or fear damage to such person's property.
  - No student shall knowingly create a condition which unnecessarily endangers or threatens the health, safety or well-being of other persons or threatens the damage or destruction of property.
  - No student shall sexually harass another person. Sexual harassment shall be defined as unsolicited, unwanted sexual advances; or request for sexual favors, unsolicited, unwanted verbal or physical conduct of a sexual nature; and unsolicited, unwanted written or visual material of a sexual nature.
- (4) No student shall disseminate or cause to be disseminated material or engage in activity which creates a social or academic climate which hinders or prevents the full participation of another person or group in the life of the University.
- (5) No student shall violate any municipal by-law, Provincial or Federal statute or regulation, or University regulation.
- (6) **Unauthorized Entry and/or Presence:** No student shall, contrary to express instructions or with intent to damage, destroy, convert or misappropriate University property or without proper authority, enter or remain in any University building, facility, room or office. Facilities include but are not limited to the following: parking lots, athletic fields, campus buildings, and campus areas.
- (7) **Misappropriation, Damage and Destruction of Property:**
- No student shall knowingly misappropriate, convert, destroy or otherwise damage University property, nor shall any student knowingly misappropriate or convert, destroy or otherwise damage any property not the student's own on University property.
  - No student, in any manner whatsoever, shall knowingly deface the inside or outside of any building or property of the University.
- (8) **Possession of Misappropriated Property:** No student shall possess University property or property of any member of the University community without the consent or authority of the University or member of the University community as the case may be.
- (9) **Unauthorized Use of Facilities, Equipment, Materials or Services:** No student shall knowingly
- use any facility, equipment, material, or service contrary to express instruction or without proper authority;
  - obtain any University equipment, material, or service by fraudulent means or by providing false information.
- (10) **Misuse of University Supplies or Documents:** No student shall, without authority, knowingly make, alter, use, receive, or possess University supplies or documents. University supplies and documents include but are not limited to equipment, keys, records, and permits.
- (11) **Misuse of Library or Computer Resources:** No student shall knowingly
- remove books or other library material from a University library without proper authorization, mutilate or deface library books or material, purposely misplace them or in any other way purposely deprive other members of the University of the opportunity to have access to library resources;
  - use any University computer or computer related facility without proper authorization;
  - develop, modify, mutate, disseminate, propagate, release, or otherwise introduce any malicious code on to any University computer. Malicious code is defined as software that has been written specifically to do something unexpected (usually, but not always, unpleasant or destructive) to another user's computer and/or data.
- (12) **Picketing and Demonstration:** No student shall, on University property, individually or with a group and in connection with a demonstration including a rally or picketing
- knowingly use words which threaten violence or physical abuse to any group or individual whether or not the group or individual thus threatened knows of such threatening words; or
  - knowingly use words in a situation of clear and imminent danger which incite others to behavior which violates any article of this Section.
- (13) **Identification:** No student shall refuse to provide identification upon request by a University Official or employee acting in the course of that person's duties, where the University Official or employee has reason to believe an individual is committing, has committed or is about to commit an offence.
- (14) **Alcohol Provision and Consumption:** No student shall violate the "Regulations Concerning Alcohol Provision and Consumption," as set out in §26.3.3.
- (15) **Smoking:** Smoking is prohibited during classes, laboratories and examinations, or at any time in any area where smoking is banned.
- (16) No student shall knowingly aid or assist another student in the commission of any non-academic offence listed above.

## 26.1.4 Academic Offences

The integrity of University life and of the degrees the University confers is dependent upon the honesty and soundness of the teacher-student learning relationship and, as well, that of the evaluation process. Conduct by any member of the University community that adversely affects this relationship or process must, therefore, be considered a serious offence.

In order to protect the integrity of the degrees conferred by the University, the University may suspend or rescind any degree awarded to any graduate who, during the application process or while registered in a program or in a particular course in a program, committed any academic offence which, if it had been detected before the granting of the degree, would have resulted in a penalty sufficiently severe that the degree would not have been granted. Unless indicated otherwise in this Code, "Degree" shall be interpreted to mean any degree, diploma or certificate granted or awarded by the University.

- Aiding and Abetting:** No student shall knowingly aid or assist another student in the commission of any academic offence listed below.
- Plagiarism:** No student shall submit the words, ideas, images, or data of another person as the student's own in any academic writing, essay, thesis, research project or assignment in a course or program of study.
- Cheating:** No student shall:
  - in the course of an examination, obtain or attempt to obtain information from another student or other unauthorized source or give or attempt to give information to another student, or knowingly possess, use or attempt to use any unauthorized material;
  - represent or attempt to represent oneself as another or have or attempt to have oneself represented by another in the taking of an examination, preparation of a paper or other similar activity;
  - submit in any course or program of study, without the written approval of the course instructor, all or a substantial portion of any academic writing, essay, thesis, research report, project or assignment for which credit has previously been obtained by the student or which has been or is being submitted by the student in another course or program of study in the University or elsewhere;
  - submit in any course or program of study any academic writing, essay, thesis, research report, project or assignment containing a statement of fact known by the student to be false or a reference to a source which reference or source has been fabricated.
- Confidential Materials:** It shall be an offence to knowingly procure, distribute or receive any confidential academic material such as pending examinations or laboratory results from any source without prior and express consent of the instructor.
- Misrepresentation of Facts:** It shall be an offence to knowingly misrepresent material facts to another for the purpose of obtaining academic advantage or credit.
- Research and Scholarship Misconduct:** No student shall violate the University of Alberta Research and Scholarship Integrity Policy, as set out in §96.2 of the GFC Policy Manual, or any other University regulation concerning academic matters.
- Specific Faculty Offences:** Faculties and administrative units will be required to define and publicize any academic or non-academic offences which may be unique to their Faculty or area, together with attendant penalties, both of which must be filed with and approved by the Campus Law Review Committee.

Such offences and penalties will be considered by the Campus Law Review Committee and, if approved by the Campus Law Review Committee and General Faculties Council, will be added to the list of offences and penalties. This list will be incorporated in an official appendix to the Code of Student Behavior and will have the same force and effect as if part of the Code. Where there is a difference of view as to the nature of the offence, the determination as to whether or not an offence under this Section is to be treated as an academic or non-academic offence shall rest with the Appeals Coordinator who shall consult with the Faculty and/or the Director of Campus Security Services.

Decisions concerning specific Faculty offences which are related to a practicum placement are appealable to the Practice Review Board (see §87, GFC Policy Manual).

**a. Offences Specific to the Faculty of Medicine and Dentistry:**

- 1) A student enrolled in the Faculty of Medicine and Dentistry, in all matters related to the treatment of patients, is bound by the Professional Code of Ethics governing the profession, namely the Canadian Medical Association for medicine and the Canadian Dental Association for dentistry and dental hygiene, and the Canadian Society of Medical Laboratory Technologists for medical laboratory science. A medicine, dentistry, dental hygiene, or medical laboratory science student (undergraduate, postgraduate or graduate) who contravenes this Code in the practice of the discipline commits an offence under the Code of Student Behavior when, at the time of the alleged offence, the student was involved in clinical training in one of the Faculty clinics, the hospital, a satellite clinic or in a private office.
- 2) In addition to the academic offences listed in §26.1.4 the following offences will be deemed gross professional misconduct and will be appropriately penalized:
  - i. all attempts at deliberately falsifying patient records including forging instructor signatures,
  - ii. falsifying financial records related to patient treatment procedures,
  - iii. misrepresenting patient treatment to third party insurance carriers,
  - iv. careless or negligent behavior resulting in unnecessary physical and/or mental harm to patients,
  - v. representing one's education, qualifications or competence in a way that is false or misleading.
- 3) The penalties for gross professional misconduct and the procedures followed will be those defined for academic offences in the Code of Student Behavior. (See §26.1.5.(1)b for penalties and §§26.1.8 and 26.1.9 for discipline and appeal procedures for academic offences.)
- 4) Where proceedings have been instituted against a student under the Code of Student Behavior and separate proceedings are commenced against the same student relating to the same cause or matter under the Professional Code of Ethics, the Dean may stay proceedings under the Code of Student Behavior pending the outcome of the proceedings brought pursuant to the Professional Code of Ethics.
- 5) It shall be the responsibility of each medicine, dentistry, dental hygiene, and medical laboratory science student to obtain, and be familiar with, the Professional Code of Ethics relevant to their discipline, and all amendments thereto as may be made from time to time.

**b. Offences Specific to the Faculty of Education:**

Students in the Faculty of Education engaged in Field Experience or other similar field work related to a course of study in the Faculty are expected to observe the Code of Professional Conduct of the Alberta Teachers' Association, save only for the special relationship which must exist between the students and their Faculty advisors. (In jurisdictions other than Alberta, the relevant teachers' Code of Professional Conduct will apply.)

- 1) It shall be the responsibility of Faculty of Education students to obtain, and be familiar with, the Code of Professional Conduct relevant to their profession.
- 2) Students enrolled in the Faculty of Education who contravene the relevant Code of Professional Conduct commit an offence that will be dealt with under the Code of Student Behavior when, at the time of the alleged offence, the students were involved in field work, practicum, student teaching, clinical practice, internship, or other similar work related to a course of study in the Faculty of Education.

**c. Offences Specific to the Faculty of Rehabilitation Medicine:**

- 1) All students enrolled in the Faculty of Rehabilitation Medicine are bound by, and shall comply with, the Professional Code of Ethics governing the profession and practice of their discipline.
- 2) "Professional Code of Ethics" means all provincial and federal Codes of Ethics governing the profession or practice of occupational therapy, physical therapy or speech pathology and audiology, as the case may be.
- 3) A student enrolled in the Faculty of Rehabilitation Medicine who contravenes the Professional Code of Ethics governing the profession or practice of their discipline commits an offence under the Code of Student Behavior when, at the time of the alleged offence, the student was involved in field work, apprenticeship, clinical training, or other similar work related to a course of study in the Faculty of Rehabilitation Medicine.
- 4) Where proceedings have been instituted against a student under the Code and separate proceedings are commenced against the same student relating to the same cause or matter under the Professional Code of Ethics, the Dean may stay proceedings under the Code pending the outcome of the proceedings brought pursuant to the Professional Code of Ethics.
- 5) It shall be the responsibility of each Rehabilitation Medicine student to obtain, and be familiar with, the Professional Code of Ethics relevant to their discipline, and all amendments thereto as may be made from time to time.

## 26.1.5 Penalties

- (1) **Penalties and their Determination:** In the case of a breach of the Code of Student Behavior penalties may be imposed as follows: at the discretion of the Discipline Officer or the University Appeal Board for non-academic offences; and at the discretion of the Dean, the Discipline Officer or the University Appeal Board for academic offences.

**a. Non-academic offences**

- 1) Expulsion,
- 2) Suspension,
- 3) A fine of not more than \$500,
- 4) Restitution,
- 5) Conduct probation,
- 6) Written reprimand,
- 7) Exclusion from specified areas of the University.

**b. Academic offences**

- 1) Expulsion,
- 2) Suspension,
- 3) Mark reduction on the assignment or examination,
- 4) Reduction in the final course grade,
- 5) A grade of F in a credit/no credit course and 1F for a graded course,
- 6) Conduct probation,
- 7) Written reprimand,
- 8) Suspend any degree already awarded,
- 9) Rescind any degree already awarded.

(2) **Definitions:**

**Fine:** A fine shall mean an order for payment of a sum certain by the student to the University of Alberta. Subject to the agreement between the student and the University, however, and subject to availability of appropriate work, the fine may be paid in whole or in part through the provision of services. Calculation of the value of the work shall be in accordance with rates normally paid for the position to be occupied.

In cases where a student owes amounts (including fines) to the University, the Registrar is empowered and directed by the Board of Governors to encumber the student's academic record (see §23.9.6). Encumbrance means the denial or termination of registration, and the withholding of student examination results, or other indicators of academic attainment, until the student has settled the account with the University or has made arrangements satisfactory to the University to do so.

**Conduct Probation:** A penalty which requires that for a period of time determined by the Dean further violations of the Code of Student Behavior by the student concerned shall result in additional and more severe penalties than would otherwise be the case. Conduct probation may be assigned in combination with another penalty and the consequences of commission of subsequent violations during the probation period shall be stated when the penalty is assessed. A student on conduct probation may be required to make periodic reports to the Dean.

**Suspension:** Suspension requires a student to withdraw completely from the University for a specified period of time, to a maximum of three

years. Upon expiry of the period of suspension the student will be permitted to re-enroll in the program from which the student was suspended provided the student has not been required to withdraw in accord with the Faculty's published academic standing regulations. Any course work completed at any institution during the period of suspension will not be accepted as credit towards an individual's degree, or for admission to a degree program, or other certification at this University.

**Expulsion:** Expulsion requires a student to withdraw completely from the University for an indefinite period of time. The student shall not be permitted to return without the approval in writing of the Vice-President (Academic) in consultation with the Faculty from which the student was expelled. Such approval shall not be given before the expiry of three years. Any course work completed at any institution during the period of expulsion will not be accepted as credit towards an individual's degree, or for admission to a degree program, or other certification at the University of Alberta.

(3) **Grade Reductions and GPA:**

Grade reductions resulting from disciplinary decisions shall be calculated into the student's GPA. Mark reductions, grades of F/1F and reductions in final course grades may result in the student being required to withdraw from the student's program. The University recognizes the differential effects such penalties may have on students in different Faculties. Appeals from disciplinary decisions which also affect a student's academic standing lie with the University Appeal Board. See §26.1.9.

(4) **Effective Date of Suspension/Expulsion:**

Where the penalty of suspension or expulsion has been imposed, and unless the Discipline Officer or the University Appeal Board otherwise stipulate, the effective date for the commencement of the suspension or expulsion shall normally be the date upon which the Appeals Coordinator informs the student that the student has been suspended or expelled. Such notification may be hand-delivered or sent by mail. When sent by outside mail, it shall be sent by double registered mail or, at the discretion of the Appeals Coordinator, by courier to the address which has been provided to the University or to the Appeals Coordinator. Notice may be deemed to have been effected one week following mailing to the last known address.

(5) **Impact on a Student's Program and Record**

- a. In determining both the length and/or the effective date of the penalty, the Discipline Officer or the University Appeal Board shall take into account the impact on the student's academic program and record.
- b. A student shall receive credit for any course passed by the effective date of the suspension or expulsion. (See also §30A.9.2.c.ix.)
- c. Withdrawals resulting from suspension or expulsion decisions will result in grades of "W" which will remain part of the student's permanent academic record.
- d. Any penalty confirmed shall be deemed to have taken effect on the date determined in the original decision. Any new penalty shall take effect immediately upon oral notification or, failing that upon written notification.
- e. During the appeal period the student may continue to attend classes. However, assignments and examinations will not be graded until and unless the appeal is successful. If the appeal is lost no credit will be given for work completed subsequent to the date given in the original decision.

## 26.1.6 Exclusion

**Note:** In these regulations the term 'class' refers to a period of instruction such as a lecture, a laboratory, a seminar, a tutorial, etc.

**Apprehended Danger:** The perception that a student's behavior or conduct, while engaged in a recognized University or student activity, constitutes a danger or risk of danger to that student or to other persons or property.

**Mandatory Exclusion:** Mandatory exclusion prohibits or restricts the student's presence on campus and participation in any University class or student activity for an indefinite period of time. The Dean may impose conditions for reinstatement which must be met before a request for reinstatement will be considered.

(1) **Exclusion from Class for Disruptive Behavior:**

a. **Exclusion from Class by an Instructor:**

- 1) When a student disturbs, disrupts, or otherwise interferes with classroom activities, the instructor may immediately exclude the student from the course for a particular class and may also exclude

the student from the next subsequent class in that course. In total, exclusion under this clause shall not exceed three hours of class time.

- 2) If the behavior persists when the student is re-admitted to class, the instructor may again immediately exclude the student from the class and must lay a charge against the student under §26.1.3(1) of the Code of Student Behavior. The student's exclusion from class will be in effect until a final decision is reached by the Discipline Officer or by the University Appeal Board. If a student is re-instated by a decision of the Discipline Officer or by the University Appeal Board, such decision shall not invalidate the prior action of the lecturer. The Department Chair shall, however, ensure that every effort is made to make up the student's lost class time, but the University shall not be held legally responsible for any lost class time.

b. **Exclusion from Class by a Dean:**

- 1) If the Dean of a Faculty where a course is taught is of the opinion that the disruptive behavior of a student does or may detrimentally affect more than one course, then the Dean may exclude a student from specified courses in that Faculty for up to five classes. In total, exclusion under this clause shall not exceed 7.5 hours of class time per course.
- 2) A student may appeal the Dean's decision to the University Appeal Board. Notwithstanding time limits specified in the Code of Student Behavior, the University Appeal Board shall be scheduled to meet within fourteen (14) calendar days after the appeal has been lodged. In the case of exclusion from a practicum, the policy on Practicum Placements, Professional Practice and Public Interest shall apply and appeals will be heard by the Practice Review Board (see §87, GFC Policy Manual).
- 3) If a student is re-instated by the University Appeal Board, such decision shall not invalidate the prior action of the Dean. The Department Chair shall, however, ensure that every effort is made to make up the student's lost class time, but the University shall not be held responsible for any lost class time.

(2) **Apprehended Danger and Mandatory Exclusion from the University**

If an instructor or University official responsible for an area or activity is of the opinion that a student's behavior or conduct while engaged in a recognized University or student activity constitutes a danger, or risk of danger to the student concerned or to other persons or property, the official responsible may recommend to the Dean of the Faculty in which the student is enrolled that the student be excluded from the University.

a. **Responsibilities of the Faculty Deans and the Discipline Officer:**

- 1) When the Dean of a Faculty in which a student is enrolled is of the opinion that the continued presence of the student on campus or in a University-sponsored activity off-campus constitutes a danger to that student or to other people or property, the Dean may decide that the student be excluded from the University immediately.

The Discipline Officer is also empowered to act under the above provision and will consult with the Dean of the student's Faculty at all stages; therefore, in §26.1.6, whenever "a Dean" or "the Dean" is mentioned, these references may refer either to the Dean of a Faculty in which the student is enrolled or to the Discipline Officer.

- 2) In addition to any verbal communication, the decision of the Dean shall be formally communicated to the student in writing together with full reasons for the decision and conditions which must be met by the student before return to campus will be permitted. The Dean shall inform the student that there is a 15-calendar-day deadline, from the date of receipt of the Dean's letter, to lodge an appeal and the Dean must provide the student with the appeal regulations.
- 3) Any notices sent by the Dean shall be hand-delivered at the soonest opportunity by Campus Security Services to the address which has been provided to the University.
- 4) The Dean is required to notify the following persons of the mandatory exclusion: the Registrar, the Dean of Students, other Deans affected, the Director of Campus Security Services, the Appeals Coordinator, the Discipline Officer, the student's course instructors, and, where appropriate, the Director of Housing and Food Services.
- 5) Any reflection of the decision on the student's official record (transcript) will be in accordance with regular procedures governing illness, e.g. students who are excluded for a short interval may apply for a deferred examination (see §23.5.6).

Pursuant to arrangements between the student's Faculty and the Registrar, students who are excluded for a lengthy interval may be withdrawn from courses on their current registration without penalty.

- 6) When it appears that a Dean may take action under this delegated authority, every effort will be made to offer the student advice, or alternatively, the student will be referred to the appropriate area in the Office of the Dean of Students.
- b. **Appeal Provisions:**
- 1) The student may appeal the Dean's decision to the University Appeal Board according to the procedures set out below. The student shall not be permitted on campus for any purpose without the Dean's prior written approval until an appeal is heard and a decision rendered.
  - 2) Students who are excluded under this provision may only be reinstated at the University with the written permission of the Dean of the Faculty in which they are enrolled and the written permission of the Dean of the Faculty they wish to enter.

## 26.1.7 Students' Records and Transcripts

### (1) Suspension or Expulsion from the University

Suspension imposed for disciplinary reasons shall appear on student records and transcripts until the suspension is lifted. In the case of expulsion, an entry shall appear on student records and transcripts permanently or until such time as the student is re-admitted to the University.

Where the date for commencement of the suspension or expulsion appears on the student records and transcripts, that date shall be determined in accordance with §26.1.5(3) and 26.1.5(4).

Withdrawals resulting from suspension or expulsion decisions will result in grades of "W" which will remain part of the permanent academic record.

### (2) Suspension of a Degree

Upon suspension of a degree the original award will be removed from the student transcript and the transcript will show that the degree has been suspended until the student meets the requirements of the University to clear the suspension.

If, at the end of the time specified by the disciplinary ruling, the student has met the requirements of the Discipline Officer or the University Appeal Board to clear the suspension, the original award will be re-added to the transcript with the original date. The record of the suspension will be removed from the transcript.

If, at the end of the time specified by the discipline ruling, the student has not met the requirements of the Discipline Officer or the University Appeal Board to clear the suspension, the record of the suspension will be removed, and the original award will remain permanently deleted from the transcript.

### (3) Rescission of a Degree

Upon rescinding a degree the original award will be permanently deleted from the transcript. The transcript will indicate that the degree has been rescinded.

## 26.1.8 The Discipline Process

The following are the procedures to be followed in the cases of allegations of academic and non-academic offences. However, these procedures do not preclude students being charged with offences defined in some other relevant regulations or jurisdictions. Formal procedures described below are to be utilized when less formal conciliatory measures prove ineffective.

**Note:** For a list of on-campus sources of assistance about the discipline process, see §26.1.1.

### (1) Initiation and Conduct of Proceedings for Non-academic Offences:

- a. Any person who has reason to believe that a student is guilty of a non-academic offence as described in §26.1.3 may initiate proceedings against the student by means of a written and signed statement.
- b. Where a person has reason to believe that a student has committed a non-academic offence that person may, by a signed statement (the complaint) delivered to the Director of Campus Security Services, request an investigation of the complaint. In the course of this investigation, the Director may consult the Appeals Coordinator.
- c. The complaint shall be a detailed written description of the incident. This shall include the time and place, person or persons involved, and all relevant information concerning the incident.

- d. The Director of Campus Security Services may decline to proceed with a complaint under the following circumstances:

- 1) Where the complaint is primarily concerned with regulations of another official University organization, and the Director of Campus Security Services believes that the complaint should be dealt with in accordance with procedures established by that organization.
- 2) Where the Director of Campus Security Services believes that no University rule has been broken.
- 3) Where the Director of Campus Security Services believes the complaint to be scandalous, frivolous or vexatious.
- 4) Where an unreasonable time has elapsed since the incident.
- 5) Where the offence should be referred to the police or appropriate public authorities.

Where the Director of Campus Security Services has declined to proceed with a complaint, the Complainant must be so notified and the reasons given. The Complainant may appeal the Director's decision not to proceed by delivery of a written letter to the Appeals Coordinator. The Office of the Vice-President (Academic) shall, in consultation with the Appeals Coordinator, select a three-person panel to review the Director's decision. At least one member of the three-person panel shall be a student appointed by the Dean of Students. The Appeals Coordinator will forward the panel's decision to the Director of Campus Security Services and the Complainant. The decision of the three-person panel is final.

- e. Should the Director of Campus Security Services or Discipline Officer identify a possible conflict of interest involving the Discipline Officer (e.g., the Complainant is the Discipline Officer or an employee reporting directly to the Discipline Officer), the Director of Campus Security Services or the Discipline Officer is authorized to forward a report and recommendation to the Vice-President (Academic) or delegate who will act for the Discipline Officer, in accordance with the following procedures.
- f. Having decided to proceed with the complaint, the Director of Campus Security Services shall conduct an investigation and, if disciplinary measures are believed to be warranted, shall forward to the Discipline Officer the results of the investigation along with a recommendation as to an appropriate penalty.
- g. The Discipline Officer shall meet with the alleged offender, review the matter and determine if the facts as disclosed by the Complainant are in dispute. In the event that the alleged offender refuses to meet with the Discipline Officer, a decision and a penalty shall be arrived at taking into account whatever evidence is available.
  - 1) Where the facts are determined not to be in dispute, the Discipline Officer may impose one or more of the penalties listed in §26.1.5(1)a.
  - 2) Where the facts are in dispute, the Discipline Officer will review the matter further by talking with all parties involved, and may either dismiss the charges or impose one or more of the penalties listed in §26.1.5(1)a. In the event that the alleged offender refuses to meet with the Discipline Officer to discuss the matter further, a decision and penalty shall be arrived at taking into account whatever evidence is available.
  - 3) When considering what would be an appropriate penalty, the Discipline Officer may take into account the disciplinary record, if any, of the student against whom the complaint is made.

### (2) Initiation and Conduct of Proceedings for Academic Offences:

- a. Any person who has reason to believe that a student is guilty of an academic offence may initiate proceedings against the student by means of a written and signed statement.
- b. 1) Where a person has reason to believe that a student has committed an academic offence in a particular course or program, that person may communicate the particulars of the time and place of the alleged offence and provide to the student's instructor a brief summary of the conduct alleged to have been committed by the student. In cases where the alleged academic offence does not involve a specific course or program, the allegation of academic wrongdoing should be directed to the Dean of the student's Faculty. Where the matter is considered by the Dean, the Dean shall have the same powers and duties as the instructor.

Where a student is charged with the academic offence of research and scholarship misconduct, the special requirements for communication and documentation imposed by §96.2 of the GFC Policy Manual shall constitute part of the procedures outlined below.

- 2) Where an instructor or Dean initiates the complaint, that person must prepare a written summary of the conduct leading to the offence alleged to have been committed by the student. The summary will form part of the documentation to be submitted to the Dean (§26.1.8(2)d).
  - 3) Where a person has reason to believe that a degree which was previously awarded by the University of Alberta was granted or conferred without knowledge of an academic offence committed during the application process or in the program or a particular course in the program, that person may communicate a written summary of the time, place and alleged misconduct to the Dean of the Faculty which awarded the degree.
- c. The instructor must discuss the alleged offence with the student. In the event that the alleged offender refuses to meet with the instructor to discuss the matter, the instructor shall proceed as outlined in §26.1.8(2)d.
  - d. Where the instructor has reason to believe that an offence has been committed, the instructor shall report the incident to the Dean and shall file with the Dean a statement in writing setting out:
    - 1) a brief summary of the conduct alleged to constitute the offence, and
    - 2) a statement that the instructor has complied with §26.1.8(2)c, and
    - 3) a brief statement of any action taken by the instructor as a result of the incident.
  - e. Where the instructor feels a discipline penalty should be considered, the instructor may make that recommendation to the Dean, and may refer to one or more of the penalties listed in §26.1.5(1)b.
  - f. In reviewing the matter, the Dean shall disregard any previous disciplinary record. In arriving at a decision, the Dean shall first ensure the student has the opportunity to discuss the situation with the Dean. In the event that the alleged offender refuses to meet with the Dean to discuss the matter further, a decision and penalty shall be arrived at taking into account whatever evidence is available.
  - g. Where the Dean is satisfied that an offence has been committed, and that a penalty is warranted, the Dean may elect to impose one or more of the penalties listed in §26.1.5(1)b., points 3 to 6, or recommend to the Discipline Officer that the student be suspended, for a specified period up to three years, or expelled.
 

In accordance with the provisions of §26.1.9(2)c point 9, the student shall not be barred from attending and participating in the course except in cases involving a practicum pending the outcome of a possible appeal of the penalty.
  - h. When considering what would be an appropriate penalty, the Dean may take into account the disciplinary record, if any, of the student against whom the complaint is made.
  - i. Where the Dean has decided to recommend to the Discipline Officer that the student be expelled or suspended, and where the student is in another Faculty, the Dean shall alert and consult with the Dean of the Faculty in which the student is registered in regard to the situation concerning the student. After making such enquiries as the Dean considers necessary, the Dean shall either revise the penalty or confirm and forward it to the Discipline Officer.
  - j. The Dean shall notify the student of any penalty imposed under §26.1.8(2)g. Such notification, including a letter of reprimand where applicable, shall be in writing and may be hand-delivered or shall be sent by double-registered mail by courier, to the address which has been provided to the University. Notice may be deemed to have been effected one week following mailing to the last known address.
  - k. Where the student is in another Faculty the Dean shall forward to the Dean of the Faculty in which the student is registered a written report of the incident and the action taken by the Dean, including a copy of the letter of reprimand, if applicable.
  - l. The notice of penalty shall also include notification of the right to appeal the penalty to the University Appeal Board (see §26.1.5(1)b for appealable penalties) or to the Practice Review Board in the case of offences related to a practicum (see §87.6, GFC Policy Manual).
  - m. In the case of a degree previously awarded:
    - 1) The Dean may seek the advice of the Chair of the Department which supervised the holder of the degree, and any other faculty as the Dean requires, to determine if there is sufficient reason or evidence for further investigation.
    - 2) The Dean may decline to proceed with a complaint, in which case the Dean shall so indicate to the initiator of the complaint and the matter will end.
    - 3) If the Dean decides further investigation is warranted, the Dean will provide the student with the details of the complaint and

afford the student the opportunity to respond. The Dean will also consult with the appropriate instructor, Chair or Faculty committee.

- 4) After this consultation, where the Dean has reason to believe that an academic offence did occur and that it was of sufficient importance that, had it been known at the time, the degree would not have been granted by the Faculty, the Dean may recommend to the Discipline Officer one of the following penalties: (1) suspend the degree pending successful completion by the student of the requirements of the Faculty to clear the suspension within the time specified by the Dean of the Faculty; or (2) rescind the degree. (See also §26.1.7, Students' Records and Transcripts.)
  - 5) The Discipline Officer may recommend to the Vice-President (Academic) that the University publish notification of the rescinded degree. In the case of a professional degree this would include notification to the appropriate professional body. The Vice-President's decision to act on this recommendation shall be deferred until an appeal is heard and decided.
- n. Upon receiving a recommendation for expulsion or suspension, or for suspension or rescission of a degree, the Discipline Officer shall review the matter by talking with all parties involved, and may either dismiss the charges or impose one or more of the penalties listed in §26.1.5(1)b. In reviewing the matter, the Discipline Officer shall disregard any previous disciplinary record. In the event that the alleged offender refuses to meet with the Discipline Officer to discuss the matter further, a decision and penalty shall be arrived at taking into account whatever evidence is available.
 

When considering what would be an appropriate penalty, the Discipline Officer may take into account the disciplinary record, if any, of the student against whom the complaint is made.
- (3) **Transmittal of the Decision of the Discipline Officer:**
- a. Having reached a decision, the Discipline Officer shall give a written report to the Appeals Coordinator. The report shall state what penalty, if any, is to be imposed upon the student, and the major circumstances taken into account in arriving at the decision.
  - b. Upon receipt of the above report, the Appeals Coordinator shall, in the case of a non-academic offence, send a copy of the written decision to the student, the Complainant, and the Director of Campus Security Services; in the case of an academic offence, a copy of the written decision shall be sent to the student, the Dean and, if appropriate, the Instructor.
  - c. If a penalty has been imposed, the Appeals Coordinator shall advise the student of the right to appeal and the time limit of that appeal and shall forward a copy of the Code of Student Behavior, and in cases involving practicum, the Policy on Practicum Placements, Professional Practice and Public Interest.

## 26.1.9 The Appeal Process

- (1) **University Appeal Board: Terms of Reference and Powers, Composition and Constituting a Board to Hear an Appeal**
- a. **Terms of Reference and Powers**
    - i. The University Appeal Board, as designate of General Faculties Council and the Board of Governors, shall have authority to confirm, vary or quash penalties imposed under §30A.5.1. Meetings of the University Appeal Board shall be scheduled as required to hear and determine appeals against disciplinary decisions of the Discipline Officer or the Dean.
    - ii. A student may appeal the decision of the Discipline Officer or the Dean. All appeals will be heard by the University Appeal Board whose decision will be final and binding.
    - iii. Where an appeal involves a charge of research and scholarship misconduct, the special requirements for communication and documentation imposed by §96.2 of the GFC Policy Manual shall constitute part of the procedures outlined below.
    - iv. A defect in procedures shall not warrant the quashing of the decision appealed from unless the defect complained of can reasonably be said to have deprived either party of a fair hearing.
  - b. **Composition**
    - i. **Regular Members**

The University Appeal Board shall consist of the following regular members elected by GFC: one faculty member on a post-retirement contract or from Categories A1.1, A1.5, or their counterparts in A1.6 (see §5 of the GFC Policy Manual for definitions of these categories of staff), one faculty member from

the groups cited above to serve as Chair of a particular hearing, selected from the Panel of Chairs described below; two full-time undergraduate students and one full-time graduate student. It would be desirable but not mandatory for the regular members to come from different Faculties.

#### Panel of Chairs

GFC shall elect a Panel of up to five (5) faculty members on post-retirement contracts or from Categories A1.0, A1.5 or their counterparts in A1.6. At least one of the Panel members shall have a law degree. The Panel members will serve for staggered terms of office of up to four years. In selecting members of the Panel, GFC will attempt to keep the membership of the UAB as broadly representative as possible of all Faculties given the available pool of candidates, but it will be permissible for any of the Panel members to come from one of the Faculties already represented by one of the four regular members.

- ii. General Faculties Council shall also elect the following alternate members: three faculty members (from Categories A1.1, A1.5 or their counterparts in A1.6), four undergraduate students and four graduate students. To the extent it is possible, all alternate members should come from different Faculties and from Faculties not already represented by the regular members. If regular faculty members are unable to serve, the Appeals Coordinator may complete a particular panel by selecting, in rotation, first from the panel of University Appeal Board Chairs, then from the alternate faculty list, and finally from the GFC Academic Appeals Committee.

For each appeal, every attempt will be made to have a member in reserve from each membership category prepared in advance to attend the hearing. The reserve member from the Panel of Chairs may be used to replace either the Chair or the Faculty member.

At the discretion of the Chair, new members may attend a hearing as observers.

- iii. For the purposes of selection and service on the University Appeal Board, graduate students are considered to be from the Faculty where they receive supervision. Students who are in any joint graduate/undergraduate degree program (e.g., the joint MBA/LLB program) are considered to be graduate students for the purpose of service and selection on the University Appeal Board. Students in any joint degree program will not be called upon to hear appeals which arise from any of the Faculties involved in the joint program. (EXEC 16 SEP 1996)
  - iv. Except for members of the Panel of Chairs, the terms of office for all faculty members shall be up to three years as General Faculties Council shall determine. Faculty members are eligible for re-election.
  - v. All student members shall be elected for up to two-year terms and are eligible for re-election.
- c. **Constituting the Board to Hear an Appeal: Selection of Members and Chair, and Challenge**
- i. The Appeals Coordinator will select, in rotation, for each appeal hearing, a member of the Panel to chair a particular hearing. If the Appeals Coordinator knows, in advance of a hearing, that one or both parties will be represented by legal counsel, the Coordinator may select a Panel member with a law degree to Chair the hearing.
  - ii. The Executive Committee will have the discretion to appoint a Chair for a particular hearing from outside the Panel members.
  - iii. Where the appeal involves a graduate student, the Appeals Coordinator shall, by rotation, replace one undergraduate student with one alternate graduate student.
  - iv. In order to ensure that no Board member will be from a Faculty, if any, which is party to the dispute, the Appeals Coordinator may replace, by rotation, any regular member with an alternate member from the same constituent group (i.e., staff, undergraduate student or graduate student). For this purpose, graduate students are considered to be from the Faculty where they receive supervision.
  - v. All Board members should declare to the Appeals Coordinator their interests, if any, in a particular case in order to ensure objectivity and a fair hearing.
  - vi. Appellant and respondent will be provided with the names of all Board members, regular and alternate, and will have seven calendar days after receipt of the names to lodge a written challenge with the Appeals Coordinator requesting that a Board member not serve on an appeal. Challenges may be made only

on the grounds that a Board member may have a bias which would prevent a fair hearing.

Challenges must include written reasons to support the request. If the Appeals Coordinator concurs with the challenge the Appeals Coordinator will replace the Board member with an alternate member who will be selected by rotation from the same constituent group (i.e., staff, undergraduate student or graduate student). The decision of the Appeals Coordinator is final and binding.

#### (2) Initiating an Appeal

- a. A student may appeal the decision of the Discipline Officer or the Dean by submitting an appeal in writing to the Appeals Coordinator. The appeal must be received by the Coordinator no later than twenty-one (21) calendar days after notification of the written decision of the Discipline Officer or Dean. The appeal shall be based upon denial of the offence, and/or shall relate to the severity of the penalty.
- b. If, in the case of an academic offence, the Dean has reason to be aggrieved by the decision and/or penalty of the Discipline Officer (§30A.8.3), the Dean may appeal the written decision by submitting an appeal in writing to the Appeals Coordinator. The appeal must be received by the Appeals Coordinator no later than twenty-one (21) calendar days after receipt of the Discipline Officer's written decision.
- c. The appeal must be in writing, signed by the appellant, and must state the grounds of appeal. The appellant must immediately notify the Appeals Coordinator upon retaining legal counsel or an adviser.

#### (3) Procedures Prior to a Hearing

- a. The Appeals Coordinator, as soon as possible after receipt of an appeal, shall deliver the appeal to the Chair who shall set a time and a place for the hearing of the appeal, give 14 calendar days' notice to the appellant and the respondent. The requirement of 14 calendar days' notice may be waived if there is unanimous agreement from the Chair, the appellant and the respondent.  
The hearing shall normally be held no more than forty-five (45) calendar days following receipt of the appeal.  
Each party shall receive a copy of the submission made to the Appeal Board by the other party. Each party will receive the names of the Board members and notification that either party may challenge membership to the Appeals Coordinator. The Appeals Coordinator shall also provide the student with a list of con-campus sources of assistance.
- b. The Appeals Coordinator shall constitute the University Appeal Board and provide its members with:
  - i. the date, time and place of the Appeal Hearing,\*
  - ii. the notice of appeal and any submission from the respondent, and
  - iii. the written report of the Discipline Officer.

\* In the case of an appeal against a mandatory exclusion from campus, parties involved will be given a maximum of one week's notice by the Appeals Coordinator of the date, time and place of the Appeal Hearing.
- c. Upon receiving the appeal, the Appeals Coordinator, in cases of expulsion or suspension, or in cases of suspension or rescission of any degree, shall direct the Registrar to withhold degrees, certification of marks and/or transcripts of records pending the outcome of the appeal. Any other penalties imposed by the Discipline Officer shall be suspended upon receipt by the Appeals Coordinator of the appeal.
- d. Upon receipt of an appeal, the Director of Campus Security Services shall inform Complainants that they may request permission to attend the full hearing of their case. The Director of Campus Security Services shall forward such requests to the Appeals Coordinator for decision by the University Appeal Board. The UAB Chair shall decide whether a complainant may attend as an observer for all or part of the hearing in instances where the complainant is also a witness.
- e. Any procedural questions which arise before the hearing will be decided by the Chair in consultation with the Appeals Coordinator.

- (4) **Delivery of Notices and Other Appeal Materials**  
Notices and other appeal materials sent by the Appeals Coordinator may be hand-delivered, delivered by courier, or sent by mail. When sent by outside mail, they shall be sent by double-registered mail, or courier, to the last address provided by the student to the University of Alberta. Notice shall be deemed to have been received on the date, as the case may be: of hand-delivery; of delivery by courier; seven (7) calendar days after mailing. In all cases, the Appeals Coordinator will decide which method of delivery will be used.

**(5) Confidentiality of Hearing and Material**

Hearings of the UAB are closed and all material related to those hearings is confidential. The confidential and closed nature of this hearing means that only those persons who have a need to know about the matter may have access to the relevant material. At the discretion of the Chair, incoming members of the UAB may attend the hearing as observers.

The appellant may incorporate a request for an open hearing and offer to waive confidentiality in the written appeal. Such a request will be conveyed to the Chair who, through the Appeals Coordinator, will consult with both parties before making a decision. The Chair's decision on this matter is final.

Note: §30A.9(3d) (GFC Policy Manual) allows for a complainant to request permission to attend the full hearing of their case.

**(6) Procedures at the Hearing**

- a. The quorum of the Appeal Board shall be the Chair and three members.
- b. The appeal shall consist of a *de novo* hearing of the case.
- c. The Appeal Board may accept any evidence that it, in its sole discretion, considers proper, whether admissible in a court of law or not; and
- d. The Appeal Board is not bound by the Alberta Evidence Act or the law of evidence applicable to judicial proceedings.
- e. If the appellant or respondent neglect or refuse to appear at the time of the hearing, the Appeal Board may in its discretion proceed with the hearing and either grant or deny the appeal. Either party may be accompanied by legal counsel or other representative.
- f. The Board shall hear the argument of both sides to the appeal and then, by majority vote, shall uphold or quash the decision appealed from. Where a decision against a student is upheld, the Board may confirm, vary or suspend the penalty imposed.

The Appeal Board is authorized to receive procedural advice from the Appeals Coordinator.

- g. Both parties and the Board may call and question witnesses and may call evidence. Each party is responsible for promptly informing the Appeals Coordinator as to their intended witnesses and is responsible for securing the attendance of their witness at the hearing. The Appeals Coordinator shall ensure that as witnesses become known, the other party and the Board are informed as to the identity of the witnesses.
- h. The Appeal Board shall conduct hearings in a manner which, in its sole discretion, it considers proper and has adopted the following Suggested Hearing Procedures which it may vary:
  - i. The Appeals Coordinator shall present the appeal to the University Appeal Board (UAB).
  - ii. The Chair of the UAB shall invite the maker of the complaint to present his/her case and introduce witnesses.
  - iii. The Chair shall then invite the student to cross-question the maker of the complaint and witnesses.
  - iv. The Chair may cross-question the maker of the complaint.
  - v. The Chair shall invite in turn each of the other members of the UAB to cross-question the maker of the complaint and witnesses.
  - vi. The Chair shall invite the student to state his/her case and call witnesses.
  - vii. The Chair shall invite the maker of the complaint to cross-question the student and witnesses.
  - viii. The Chair may cross-question the student.
  - ix. The Chair shall invite in turn each of the other members of the UAB to cross-question the student and witnesses.
  - x. The Chair shall invite the maker of the complaint to present any evidence he/she may have by way of rebuttal. (Such evidence shall be subject to cross-questioning as before.)
  - xi. The Chair shall invite the maker of the complaint to make a brief final statement.
  - xii. The Chair shall invite the student to make a brief final statement. (Note: There shall be no cross-questioning by anyone during final statements.)
  - xiii. The Chair shall then adjourn the hearing for the Board's deliberation.
- i. The Chair of the Board through the Appeals Coordinator shall, where practical, immediately communicate the decision of the Board to the student.
- j. The Chair shall submit the written decision of the Board to the Appeals Coordinator normally within seven (7) calendar days of the Board's decision being reached. The Appeals Coordinator shall then

send to both parties, to the Discipline Officer, and to the Panel of Chairs a copy of the Board's decision.

## 26.2 Code of Student Behavior— Related Specifically to Student Groups

### 26.2.1 Introduction and Definitions

The University is defined by tradition as a community of people dedicated to the pursuit of truth and advancement of knowledge, and as a place where there is freedom to teach, freedom to engage in research, freedom to create, freedom to learn, freedom to study, freedom to speak, freedom to associate, freedom to write and to publish, and a concomitant obligation to respect these freedoms when they are exercised by others.

The Code of Student Behavior is separated into three parts: the first section (§26.1) deals with offences, penalties, discipline and appeals of individual students; the second section (§26.2) deals with offences, penalties, discipline and appeals of student groups; and the third section (§26.3) defines additional regulations and requirements currently mandated by the Code.

The offences listed below describe, in general terms, behaviors which if left unchecked would to an unacceptable degree infringe upon the freedoms described above and thus threaten the proper functioning of the University.

Nothing in this Code shall be interpreted in such a way as to prohibit the activities or to violate the principles which are set out in the first paragraph of this Section.

Nothing in this Code shall prevent the University from referring an individual matter to the appropriate law enforcement agency should such action be considered necessary.

Sources of on-campus assistance about the discipline process include: the Appeals Coordinator, the Dean of Students, the Information Officer on Appeals and Grievances, the Student Advisor, Student Legal Services and the Student Ombudservice.

**Note from the University Secretariat:** Appeals Coordinator: 2-5 University Hall, 492-4715; Dean of Students: 2-800 Students' Union Building, 492-4145; Information Officer on Appeals and Grievances: c/o Dean of Students; Student Advisor: 2-306 Students' Union Building, 492-2965; Student Legal Services: 11011-88 Avenue, 492-2226; Student OmbudService: 2-306 Students' Union Building, 492-4689.

**(1) General Definitions:**

In these procedures, the following words have the following meanings:

**Appellant:** Any person who appeals under these procedures.

**Complainant:** Any person who, believing that a student has committed a non-academic offence, files a statement requesting an investigation of the complaint with the Director, Campus Security Services.

**Degree:** Unless indicated otherwise, "Degree" shall be interpreted to mean any degree, diploma or certificate granted or awarded by the University.

**Member of the University Community:** Any registered student, academic staff or non-academic staff member.

**Student:** A person who is or has been registered as a student at the University whether or not for credit; includes current students, former students, and graduates who have received a degree, diploma or certificate from the University. For purposes of administering the Code, graduate students are considered to be from the Faculty where they receive supervision.

**Student Affairs:** Any activities or conduct which relate to, or which may represent or be seen as representing, the University of Alberta, whether such activities or conduct occur on campus or off campus when the activities or conduct relates to student status.

**Student Group:** For the purposes of these regulations, the term "student group" shall include all groups registered with the University but shall not be limited to, a club, association, organization, society, fraternity or fellowship. §30B of the Code of Student Behavior deals specifically with student groups.

**(2) Definitions of University Officials Involved in Discipline**

In these procedures, the following words have the following meanings:

**Activity:** Activity means any function of the group including, but not limited to, social events, demonstrations, news releases, publications, and advertisements, and shall not be construed so as to include any bona fide academic activity.

**Appeals Coordinator:** The person responsible for administration of the University Appeal Board and related student discipline procedures. The

Appeals Coordinator is appointed by and reports to the Director of the University Secretariat or designate.

**Deputy Appeals Coordinator(s):** The person(s) who, as needed, assists or acts in the place of the Appeals Coordinator. The Deputy Appeals Coordinator is appointed by and reports to the Director of the University Secretariat or designate.

**Dean:** Unless indicated otherwise, "Dean" shall be interpreted as the Dean (or designate) of the Faculty which offers the course in which there is an allegation of academic wrongdoing on the part of a student.

**Dean of Students:** Dean of Students or designate.

**Director of Campus Security:** Director of Campus Security Services or designate.

**Discipline Officer:** The person responsible for reviewing all non-academic charges laid under the Code of Student Behavior and, when required, determining and assigning penalties appropriate to the breach of the Code. With respect to academic offences, the Discipline Officer shall determine and assign those specific penalties required by §30A.8.2 of the Code. The Discipline Officer is appointed by and reports to the Vice-President (Academic) or designate.

**Deputy Discipline Officer:** The person(s) who, as needed, assists or acts in the place of the Discipline Officer is also appointed by and reports to the Vice-President (Academic) or designate.

**Vice-President:** Vice-President means the Vice-President (Academic) of the University of Alberta (or designate), unless otherwise indicated.

Note from the University Secretariat: Additional definitions pertaining to specific provisions are provided elsewhere in the Code (eg, the penalty of fine is defined in §26.1.2).

## 26.2.2 Amendment of the Code

- (1) The Code may be amended by General Faculties Council in exercise of the authority vested in it by §42 of the Universities Act.
- (2) Substantive changes to the Code shall come into force after receiving the approval of General Faculties Council and the Board of Governors.
  - a. **Substantive Changes:** Substantive changes to the Code must be published in the *Gateway* or *Summer Solstice* (or equivalent summer publication) at least 10 days before their consideration by GFC. Substantive changes will be approved by the Campus Law Review Committee, GFC Executive, General Faculties Council, Board Educational Affairs Committee (BEAC) and the Board of Governors.
  - b. **Editorial Changes:** Amendments deemed minor or editorial by the GFC Campus Law Review Committee need not be published in the *Gateway* or *Summer Solstice* (or equivalent summer publication). If the GFC Executive and the Board Educational Affairs Committee (BEAC) agree that the amendments are routine and editorial, the changes will be approved by GFC Executive, on behalf of GFC, and BEAC, on behalf of the Board.
 

The Board Educational Affairs Committee (BEAC) has directed the Vice-President (Academic) and the Chair of BEAC, in consultation with the Secretary to GFC, to review proposals which set out minor, editorial, and/or strictly administrative changes to existing University policies and procedures and determine whether or not, after the proposals had been duly considered and endorsed by the GFC Executive Committee or by GFC, BEAC would be asked to take formal action on such proposals or simply receive such items for information only.

If the Vice-President (Academic) (or designate) and the Chair of BEAC (or designate) agree the amendments (i.e., the minor, editorial and/or administrative amendments under consideration) shall go forward to BEAC for information only, the amendments will be considered to be formally approved by the GFC Executive, on behalf of GFC and the Board, at the time the Executive's decision on the matter is rendered.
- (3) The Code must be published in each issue of the University Calendar. In addition, the Appeals Coordinator will ensure that all substantive amendments to the Code approved after the Calendar is published appear in the *Gateway* near the beginning of the fall and winter terms. In conjunction with publication of these substantive amendments, the Appeals Coordinator will coordinate publication of a summary of recent Code offences and penalties and other material concerning student discipline procedures. These materials may also appear in other University publications.
- (4) The Coordinator of the GFC Campus Law Review Committee (CLRC), in consultation with the Appeals Coordinator, shall maintain the Official Copy of the Code and all amendments thereto.

## 26.2.3 Student Group Registration

The University recognizes that participation in the activities of student groups is a beneficial aspect of the University experience. The University environment encourages the formation of different student groups. These groups may be defined in a variety of ways, according to, for example, a shared program of study, a commitment to service, a common activity, philosophy or background or particular perspective. By approving (or disapproving) a group's application for registration, the University indicates that the group's stated purposes, goals, activities, membership criteria and other attributes are (or are not) appropriate to the University environment. The University also recognizes its obligations to support the activities and regulate the conduct of student groups, for the benefit of the groups themselves and the University community. When a student group, through registration, enters into a formal relationship with the University, responsibilities and benefits result for both.

### (1) Responsibilities and Benefits

- a. In registering with the University a student group accepts the following responsibilities:
  - 1) to live by the laws of the land,
  - 2) to uphold the good name of the University,
  - 3) to live up to the group's stated purpose, which shall not be in contradiction to the University's purpose statement as stated in §26.2.1,
  - 4) to be responsible for members' conduct when members are representing the group, and therefore the University, on and off campus,
  - 5) to obey Alberta Liquor Control Board regulations,
  - 6) to obey University of Alberta regulations and procedures relating to the operation of gaming events.
- b. A registered student group enjoys a number of benefits, including:
  - 1) ability to book space at the University,
  - 2) use of the University's institutional liquor and gaming licenses,
  - 3) use of the University's name and insignia subject to University regulations,
  - 4) exclusive use of the group's name on campus,
  - 5) access to and ability to rent University property and equipment,
  - 6) ability to register as a group with the Students' Union and to receive the benefits derived therefrom,
  - 7) use of campus facilities for solicitation of membership,
  - 8) opportunities for participation in University governance,
  - 9) opportunity to submit an annual report to the Council on Student Life for inclusion in the official records of the Council and the University.

### (2) Requirements for Registration

University student groups are required to register annually with the University through the Office of the Dean of Students and complete a Liability and Indemnification Agreement signed by two members of the group's executive. A group may register for the first time at any time of the year; re-registration should be completed by August 15th for the academic year commencing September 1st.

A non-registered University student group shall not be permitted to carry on activities of any description whatsoever on University property.

To be eligible for registration a group must be able to provide, upon request, satisfactory evidence of the following:

- a. That the group's stated purposes, goals, or activities comply with the philosophy of the University as stated in §26.2.1.
- b. That a minimum of two-thirds of the total membership, and 75% of the executive are registered as part-time or full-time students during the current academic year. The Dean of Students may, on a case by case basis, make exceptions for groups in which the alumni membership exceeds the requirements stated above.
- c. That an acceptable constitution exists. (Guidelines for drafting a group constitution are available in the Office of the Dean of Students.)
- d. That in the case of groups previously registered a minimum of one general meeting has been held during the past twelve months.
- e. That a minimum of one general meeting is scheduled during the next twelve months.
- f.
  - 1) That a bank account in the name of the group exists,
  - 2) that a **minimum** of two signatures of executive officers are necessary for most banking transactions,
  - 3) that all monies go toward the operating expenses of the group, as it carries out its stated purpose/objectives/goals, and
  - 4) that financial records are maintained in proper order for possible auditing.

- g. At the discretion of the Dean of Students or designate, the group shall provide a bond in an amount to be fixed by the Dean of Students.

In the event of any damage to property the bond will be used to pay the cost of the damage.

### (3) Registration Procedures

- a. To become a registered University of Alberta student group, or to re-register (if registered the previous academic year), a group must:
- 1) Complete the appropriate Application for Registration/Reregistration Form (available from the Office of the Dean of Students).
  - 2) Complete a University of Alberta Indemnification Agreement relieving the University of all liability in respect of any acts of the group, its members, or its executive (available in the Office of the Dean of Students).
  - 3) Forward the completed form, the signed and witnessed Indemnification Agreement, and a constitution to the Office of the Dean of Students.
- b. Within two weeks of receiving a completed registration/re-registration form and a copy of the group's current constitution, the Office of the Dean of Students will notify the applicant as to the registration status. The applicant will be given either:
- 1) Full Registration Status for the academic year, or
  - 2) Provisional Registration Status, subject to the provision of additional information. The additional information may take the form of any of the following:
    - a complete membership list, including addresses and phone numbers.
    - a financial statement for the preceding year. If an audit is necessary, the complete accounts for the group should be available.
    - the minutes of general meetings held during the last 12 months, or
  - 3) Notification of denial of registration together with written reasons for the decision.
- c. Any and all such information shall be regarded by the Dean of Students as confidential.

### (4) Denial of Registration or Re-registration

The Dean of Students or designate may deny group registration or re-registration under any of the following conditions:

- a. The group fails to meet the requirements for registration or re-registration set out in §26.2.3(2)
- b. The group's stated objectives or activities or the manner of carrying out its activities would, in the opinion of the Dean of Students, by their very nature, lead to justifiable complaints under the Code of Student Behavior.
- c. The group's stated objectives or activities or the manner of carrying out its activities would, in the opinion of the Dean of Students, by their very nature, offend the provisions of the Individual's Rights Protection Act (and/or any other human rights legislation that applies in this Province) and/or municipal bylaws, Provincial or Federal statutes or regulations.

## 26.2.4 Non-Academic Offences

Any of the following activities or conduct or attempts thereof or participation therein insofar as they relate to student affairs shall constitute an offence and be punishable.

Nothing in this Code shall be construed to prohibit peaceful assemblies and demonstrations, or lawful picketing, or to inhibit free speech.

- (1) **Disruptions:** No student group shall, by action, words, written material, or by any means whatsoever, knowingly obstruct University activities. University activities include but are not limited to, teaching, research, studying, administration, meetings and public service.
- (2) **Discrimination:** Discrimination is any act or omission based on race, religious beliefs, color, gender, physical disability, mental disability, marital status, age, ancestry, place of origin, family status, source of income, sexual orientation or political belief when that act or omission results in loss of or limit on opportunities to work or to fully participate in campus life or which offends the dignity of the person.
  - a. No student group shall engage in student affairs, the result of which activity discriminates against any person or class of persons.
  - b. For an activity to be discriminatory under section (a), all three of the following questions must be answered in the affirmative:
    - 1) Does the activity draw a distinction between the complainant and others?
    - 2) If so, does this distinction result in a disadvantage (or lack of benefit) to the complainant which is not imposed on others?
    - 3) If so, is this distinction based on an irrelevant personal characteristic, where context is essential in determining relevance?

- (3) **Harassment:** Harassment is conduct or comments which are intimidating, threatening, demeaning, or abusive and may be accompanied by direct or implied threats to grade(s), status, or job. Harassment can occur between people of differing authority or between people of similar authority. Harassment may be directed at an individual or at a group. Harassment has the impact of creating a work or study environment that is hostile and limits individuals in their pursuit of education, research, or work goals.
  - a. No student shall physically abuse another person, threaten any other person with physical abuse or damage to such person's property or knowingly, and without just cause, cause any other person to fear physical abuse or fear damage to such person's property.
  - b. No student shall knowingly create a condition which unnecessarily endangers or threatens the health, safety or well-being of other persons or threatens the damage or destruction of property.
  - c. No student shall sexually harass another person. Sexual harassment shall be defined as unsolicited, unwanted sexual advances; or request for sexual favors, unsolicited, unwanted verbal or physical conduct of a sexual nature; and unsolicited, unwanted written or visual material of a sexual nature.

- (4) No student group shall disseminate or cause to be disseminated material or engage in activity which creates a social or academic climate which hinders or prevents the full participation of another person or group in the life of the University.
- (5) No student group shall violate any municipal by-law, Provincial or Federal statute or regulation, or University regulation.
- (6) **Unauthorized Entry and/or Presence:** No student group shall, contrary to express instructions or with intent to damage, destroy, convert, or misappropriate University property or without proper authority, enter or remain in any University building, facility, room, or office. Facilities include but are not limited to the following: parking lots, athletic fields, campus buildings, and campus areas.

- (7) **Misappropriation, Damage and Destruction of Property:**
  - a. No student group shall knowingly misappropriate, convert, destroy or otherwise damage University property, nor shall any student group knowingly misappropriate or convert, destroy or otherwise damage any property not the student's own on University property.
  - b. No student group, in any manner whatsoever, shall knowingly deface the inside or outside of any building or property of the University.

- (8) **Possession of Misappropriated Property:** No student group shall possess University property or property of any member of the University community without the consent or authority of the University or member of the University community as the case may be.

- (9) **Unauthorized Use of Facilities, Equipment, Materials, or Services:** No student group shall knowingly:
  - a. use any facility, equipment, material, or service contrary to express instruction or without proper authority;
  - b. obtain any University equipment, material, or service by fraudulent means or by providing false information.

- (10) **Misuse of University Supplies or Documents:** No student group shall, without authority, knowingly make, alter, use, receive, or possess University supplies or documents. University supplies and documents include but are not limited to equipment, keys, records, and permits.

- (11) **Misuse of Library or Computer Resources:** No student group shall knowingly:
  - a. remove books or other library material from a University library without proper authorization, mutilate or deface library books or material, purposely misplace them or in any other way purposely deprive other members of the University of the opportunity to have access to library resources;
  - b. use any University computer or computer related facility without proper authorization;
  - c. develop, modify, mutate, disseminate, propagate, release, or otherwise introduce any malicious code on to any University computer.

Malicious code is defined as software that has been written specifically to do something unexpected (usually, but not always, unpleasant or destructive) to another user's computer and/or data.

- (12) **Picketing and Demonstration:** No student group shall, on University property, individually or with a group and in connection with a demonstration including a rally or picketing:
- knowingly use words which threaten violence or physical abuse to any group or individual whether or not the group or individual thus threatened knows of such threatening words; or
  - knowingly use words in a situation of clear and imminent danger which incite others to behavior which violates any article of this Section.
- (13) **Identification:** No student group shall refuse to provide identification upon request by a University Official or employee acting in the course of that person's duties, where the University Official or employee has reason to believe the student group is committing, has committed or is about to commit an offence.
- (14) **Alcohol Provision and Consumption:** No student group shall violate the "Regulations Concerning Alcohol Provision and Consumption," as set out in §26.3.3.
- (15) **Smoking:** Smoking is prohibited during classes, laboratories and examinations, or at any time in any area where smoking is banned.
- (16) No student group shall knowingly aid or assist another student in the commission of any non-academic offence listed above.

### 26.2.5 Penalties

In the case of a breach of the Code of Student Behavior the following penalties may be imposed at the discretion of the Discipline Officer or the University Appeal Board for non-academic offences by student groups:

- (1) Financial reparation and/or restitution by the student group will be an automatic penalty for any damage inflicted by a group, its members or its guests.

Furthermore, any of the following penalties may be levied in addition to the above:

- termination of group registration;
- fine of not more than \$2000;
- suspension or curtailment of group privileges;
- reprimand;
- posting of a bond, which is subject to forfeiture in the event of further violations of the Code.

**Definition of Fine:** A fine shall mean an order for payment of a certain sum by the student group to the University of Alberta. Subject to the agreement between the student group and the University; however, and subject to availability of appropriate work, the fine may be paid in whole or in part through the provision of services. Calculation of the value of the work shall be in accordance with rates normally paid for the position to be occupied.

Where it is mutually acceptable to the Discipline Officer and the student group, the group may perform community services, either on or off campus, without compensation for such services. Said services may be in addition to or in lieu of any of the penalties contained in the preceding list.

### 26.2.6 GFC Delegation of Powers Concerning Suspension or Banning of Student Group Activities

The following provisions empower a Dean to immediately suspend or ban an activity sponsored by a student group (whether the activity is in progress or is scheduled to occur) when the Dean is of the opinion that the activity will cause harm to persons or property, and/or will violate the Code of Student Behavior.

The Dean of Students, or the Discipline Officer as the Dean's designate, is also empowered to act under these provisions; therefore, in §26.2.6 whenever "a Dean" or "the Dean" is mentioned these references may refer to either the Dean of a Faculty or the Dean of Students.

Student groups and Deans both shall be under onus to make every effort to avoid or resolve conflicts through timely consultation. Formal procedures described below are to be utilized when less formal conciliatory measures have proven ineffective. Nothing in these provisions shall be interpreted so as to prevent or interfere with charges against a group under §26.2.7 of this Code.

### Responsibilities of the Dean

- When a Dean believes that a group activity should be suspended or banned the Dean shall meet immediately with the president of the group and the Vice-President (Academic) to review the situation.
- Following the meeting the Dean shall immediately inform the president of the group that the activity has been suspended or banned or that the activity may continue. The verbal communication of the Dean's decision shall be followed up in writing to the president of the group and shall include reasons for the decision and conditions which must be met by the group before the activity will be permitted to continue.
- The Dean shall inform the president of the group that there is a 15-calendar-day deadline, from the date of receipt of the Dean's written decision, to lodge an appeal and the Dean must provide the president of the group with the appeal regulations.
- Any notices sent by the Dean shall be hand-delivered at the soonest opportunity by Campus Security Services to the address for the group which has been provided to the University or to the Appeals Coordinator.
- The Dean is required to notify the following persons of the suspension/banning of the group activity: the Vice-President (Academic), the Director of Campus Security Services, the Discipline Officer, the Appeals Coordinator, the Presidents of the Students' Union and the Graduate Students' Association, other Deans affected, and the IFC/PC where the group involved is a fraternity. A copy of the written decision shall be included in the group's file in the office of the Dean of Students.
- Where the activity in question has violated the Code of Student Behavior, and where the group has not yet been charged, the Dean shall forthwith initiate proceedings against the group.

### 26.2.7 The Discipline Process

The following are the procedures to be followed in the cases of allegations of non-academic offences. These procedures do not preclude student groups being charged with offences defined in some other relevant regulations or jurisdictions. Formal procedures described below are to be utilized when less formal conciliatory measures prove ineffective.

**Note:** For a list of on-campus sources of assistance about the discipline process, see §26.2.1.

**Please Note:** Complaints against individual members of a group shall be dealt with in accordance with the provisions of §26.1.

- Initiation and Conduct of Proceeding:** When sponsoring or conducting a group activity, student groups are accountable to the University for the conduct of their members and/or guests. Thus, in addition to any complaints made against individual members of a group or its guests, a complaint may be made against the group itself, in accordance with the procedure outlined below:
  - Any person who has reason to believe that a student group is guilty of a non-academic offence as defined in §26.2.4 of the Code of Student Behavior may initiate proceedings against the group.
  - Where a person has reason to believe that a student group has committed a non-academic offence, that person may, by a signed statement (the complaint) delivered to the Director of Campus Security Services, request an investigation of the complaint. In the course of this investigation, the Director may consult the Appeals Coordinator.
  - The complaint shall be a detailed written description of the incident. This shall include the time and place, the group or groups involved, and all relevant information concerning the incident.
  - The Director of Campus Security Services may decline to proceed with a complaint under the following circumstances:
    - Where the complaint is primarily concerned with regulations of another official University organization, and the Director of Campus Security Services believes that the complaint should be dealt with in accordance with procedures established by that organization;
    - Where the Director of Campus Security Services believes no University rule has been broken;
    - Where the Director of Campus Security Services believes the complaint to be scandalous, frivolous or vexatious;
    - Where an unreasonable time has elapsed since the incident;
    - Where the offence should be referred to the police or appropriate public authorities.
  - Where the Director of Campus Security Services has declined to proceed with a complaint, the Complainant must be so notified and the

reasons given. The Complainant may appeal the Director's decision not to proceed by delivery of a written letter to the Appeals Coordinator. The Office of the Vice-President (Academic) shall, in consultation with the Appeals Coordinator, select a three-person panel to review the Director's decision. At least one member of the three-person panel shall be a student appointed by the Dean of Students. The Appeals Coordinator will forward the panel's decision to the Director of Campus Security Services and the Complainant. The decision of the three-person panel is final.

- f. Where a complaint is directed against a fraternity, the Director of Campus Security Services may, at the Director's discretion, refer the matter to the Interfraternity Council/ Panhellenic Council (IFC/PC) for investigation and possible disciplinary action, according to procedures kept on file with the Office of the Dean of Students. When acting upon such a request from the Director of Campus Security in accordance with approved procedures, the IFC/PC is an agent of the University.
- g. Where the IFC/PC conducts an investigation of the complaint, it shall forward to the Discipline Officer the results of the investigation and, if disciplinary measures are believed to be warranted, a recommendation as to an appropriate penalty.
- h. Where the Director of Campus Security Services decides to proceed with the complaint without involving the IFC/PC, the Director of Campus Security Services shall conduct an investigation and, if disciplinary measures are believed to be warranted, shall forward to the Discipline Officer the results of the investigation along with a recommendation as to an appropriate penalty.
- i. The Discipline Officer shall meet with the group's executive, review the matter and determine if the facts as disclosed by the investigation are in dispute. In the event that the alleged offender refuses to meet with the Discipline Officer to discuss the matter further, a decision and penalty shall be arrived at taking into account whatever evidence is available.
- j. Where the facts are determined not to be in dispute, the Discipline Officer may impose one or more of the penalties listed in §26.2.5.
- k. Where the facts are in dispute, the Discipline Officer will review the matter further by talking with all parties involved, and may either dismiss the charges or impose one or more of the penalties listed in §26.2.5. In the event that the alleged offender refuses to meet with the Discipline Officer to discuss the matter further, a decision and penalty shall be arrived at taking into account whatever evidence is available.
- l. When considering what would be an appropriate penalty, the Discipline Officer shall take into account the disciplinary record, if any, of the group against which the complaint is made.

**(2) Transmittal of Decision by the Discipline Officer:**

- a. Having reached a decision, the Discipline Officer shall give a written report to the Appeals Coordinator. The report shall state what penalty, if any, is to be imposed upon the student group, and the major circumstances taken into account in arriving at the decision.
- b. Upon receipt of the above report, the Appeals Coordinator shall send a copy of the written decision to the president of the student group, the Complainant, and the Director of Campus Security Services.
- c. If a penalty has been imposed, the Appeals Coordinator shall advise the student group of the right to appeal and the time limit of that appeal and shall forward a copy of the Code of Student Behavior.

## 26.2.8 The Appeal Process

**(1) University Appeal Board: Terms of Reference and Powers, Composition and Constituting a Board to Hear an Appeal**

**a. Terms of Reference and Powers**

- i. The University Appeal Board, as designate of General Faculties Council and the Board of Governors, shall have authority to confirm, vary or quash penalties imposed under §30B.5. Meetings of the University Appeal Board shall be scheduled as required to hear and determine appeals against disciplinary decisions of the Discipline Officer or the Dean.
- ii. A student group may appeal the decision of the Discipline Officer or the Dean. All appeals will be heard by the University Appeal Board whose decision will be final and binding.
- iii. Where an appeal involves a charge of research and scholarship misconduct, the special requirements for communication and documentation imposed by §96.2 of the GFC Policy Manual shall constitute part of the procedures outlined below.

- iv. A defect in procedures shall not warrant the quashing of the decision appealed from unless the defect complained of can reasonably be said to have deprived either party of a fair hearing.

**b. Composition**

**i. Regular Members:**

The University Appeal Board shall consist of the following regular members elected by GFC: one faculty member on a post-retirement contract or from Categories A1.1, A1.5, or their counterparts in A1.6 (see §5 of the GFC Policy Manual for definitions of these categories of staff), one faculty member from the groups cited above to serve as Chair of a particular hearing, selected from the Panel of Chairs described below; two full-time undergraduate students and one full-time graduate student. It would be desirable but not mandatory for the regular members to come from different Faculties.

**Panel of Chairs:**

GFC shall elect a Panel of up to five faculty members on post-retirement contracts or from Categories A1.1, A1.5 or their counterparts in A1.6. At least one of the Panel members shall have a law degree. The Panel members will serve for staggered terms of office of up to four years. In selecting members of the Panel, GFC will attempt to keep the membership of the UAB as broadly representative as possible of all Faculties given the available pool of candidates, but it will be permissible for any of the Panel members to come from one of the Faculties already represented by one of the four regular members.

- ii. General Faculties Council shall also elect the following alternate members: three faculty members from Categories A1.1, A1.5 or their counterparts in A1.6\*), four undergraduate students and four graduate students. To the extent it is possible, all alternate members should come from different faculties and from faculties not already represented by the regular members. (\*See §5, GFC Policy Manual, for definitions of these categories of staff. ) If regular faculty members are unable to serve, the Appeals Coordinator may complete a particular panel by selecting, in rotation, first from the panel of UAB Chairs, then from the alternate faculty list and finally from the GFC Academic Appeals Committee. For student members, if the alternate list is exhausted, the Appeals Coordinator may select from members of the GFC Academic Appeals Committee.

For each appeal, every attempt will be made to have a member in reserve from each membership category prepared in advance to attend the hearing.

At the discretion of the Chair, new members may attend a hearing as observers.

- iii. For the purposes of selection and service on the University Appeal Board, graduate students are considered to be from the Faculty where they receive supervision. Students who are in any joint graduate/undergraduate degree program (eg, the joint MBA/LLB program) are considered to be graduate students for the purpose of service and selection on the University Appeal Board. Students in any joint degree program will not be called upon to hear appeals which arise from any of the Faculties involved in the joint program. (EXEC 16 SEP 1996)
- iv. Except for members of the Panel of Chairs, the terms of office for all faculty members shall be up to three years as General Faculties Council shall determine. Faculty members are eligible for re-election.
- v. All student members shall be elected for up to two-year terms and are eligible for re- election.

**c. Constituting the Board to Hear an Appeal: Selection of Members and Chair, and Challenge**

- i. The Appeals Coordinator will select, in rotation, for each appeal hearing, a member of the Panel to chair a particular hearing. If the Appeals Coordinator knows, in advance of a hearing, that one or both parties will be represented by legal counsel, the Coordinator may select a Panel member with a law degree to Chair the hearing.
- ii. The Executive Committee will have the discretion to appoint a Chair for a particular hearing from outside the Panel members.
- iii. Where the appeal involves a graduate student group, the Appeals Coordinator shall, by rotation, replace one undergraduate student with one alternate graduate student.
- iv. In order to ensure that no Board member will be from a Faculty, if any, which is party to the dispute, the Appeals Coordinator may replace, by rotation, any regular member with an alternate member from the same constituent group (i.e., staff, under-

graduate student or graduate student). For this purpose, graduate students are considered to be from the Faculty where they receive supervision.

- v. All Board members should declare to the Appeals Coordinator their interests, if any, in a particular case in order to ensure objectivity and a fair hearing.
- vi. Appellant and respondent will be provided with the names of all Board members, regular and alternate, and will have seven calendar days after receipt of the names to lodge a written challenge with the Appeals Coordinator requesting that a Board member not serve on an appeal. Challenges may be made only on the grounds that a Board member may have a bias which would prevent a fair hearing.

Challenges must include written reasons to support the request. If the Appeals Coordinator concurs with the challenge the Appeals Coordinator will replace the Board member with an alternate member who will be selected by rotation from the same constituent group (i.e., staff, undergraduate student or graduate student). The decision of the Appeals Coordinator is final and binding.

### (2) Initiating an Appeal

- a. A student group may appeal the decision of the Discipline Officer or the Dean by submitting an appeal in writing to the Appeals Coordinator. The appeal must be received by the Coordinator no later than twenty-one (21) calendar days after notification of the written decision of the Discipline Officer or Dean. The appeal shall be based upon denial of the offence, and/or shall relate to the severity of the penalty.
- b. If, in the case of an academic offence, the Dean has reason to be aggrieved by the decision and/or penalty of the Discipline Officer (§30A.8.3), the Dean may appeal the written decision by submitting an appeal in writing to the Appeals Coordinator. The appeal must be received by the Appeals Coordinator no later than twenty-one (21) calendar days after receipt of the Discipline Officer's written decision.
- c. The notice of appeal must be in writing, signed by the appellant, and must state the grounds of appeal. The appellant must immediately notify the Appeals Coordinator upon retaining legal counsel or an adviser.

### (3) Procedures Prior to a Hearing

- a. The Appeals Coordinator, as soon as possible after receipt of an appeal, shall deliver the appeal to the Chair who shall set a time and a place for the hearing of the appeal, give 14 calendar days' notice to the appellant and the respondent. The requirement of 14 calendar days' notice may be waived if there is unanimous agreement from the Chair, the appellant and the respondent.

The hearing shall normally be held no more than forty-five (45) calendar days following receipt of the appeal.

Each party shall receive a copy of the submission made to the Appeal Board by the other party. Each party will receive the names of the Board members and notification that either party may challenge membership to the Appeals Coordinator. The Appeals Coordinator shall also provide the student with a list of con-campus sources of assistance.

- b. The Appeals Coordinator shall constitute the University Appeal Board and provide its members with:
  - i. the date, time and place of the Appeal Hearing,\*
  - ii. the notice of appeal and any submission from the respondent, and
  - iii. the written report of the Discipline Officer.

\* In the case of an appeal against a mandatory exclusion from campus, parties involved will be given a maximum of one week's notice by the Appeals Coordinator of the date, time and place of the Appeal Hearing.

- c. Upon receiving notice of appeal, the Appeals Coordinator, in cases of expulsion or suspension, or in cases of suspension or rescission of any degree, shall direct the Registrar to withhold degrees, certification of marks and/or transcripts of records pending the outcome of the appeal. Any other penalties imposed by the Discipline Officer shall be suspended upon receipt by the Appeals Coordinator of notice of appeal.
- d. Upon receipt of an appeal, the Director of Campus Security Services shall inform Complainants that they may request permission to attend the full hearing of their case. The Director of Campus Security Services shall forward such requests to the Appeals Coordinator for decision by the University Appeal Board. The UAB Chair shall decide

whether a complainant may attend as an observer for all or part of the hearing in instances where the complainant is also a witness.

- e. Any procedural questions which arise before the hearing will be decided by the Chair in consultation with the Appeals Coordinator.
- (4) Notices and other appeal materials sent by the Appeals Coordinator may be hand-delivered, delivered by courier, or sent by mail. When sent by outside mail, they shall be sent by double-registered mail, or courier, to the last address provided by the student to the University of Alberta. Notice shall be deemed to have been received on the date, as the case may be: of hand-delivery; of delivery by courier; seven (7) calendar days after mailing. In all cases, the Appeals Coordinator will decide which method of delivery will be used.

### (5) Confidentiality of Hearing and Material

Hearings of the UAB are closed and all material related to those hearings is confidential. The confidential and closed nature of this hearing means that only those persons who have a need to know about the matter may have access to the relevant material. At the discretion of the Chair, incoming members of the UAB may attend the hearing as observers.

The appellant may incorporate a request for an open hearing and offer to waive confidentiality in the written appeal. Such a request will be conveyed to the Chair who, through the Appeals Coordinator, will consult with both parties before making a decision. The Chair's decision on this matter is final.

Note: §30A.9(3d) (GFC Policy Manual) allows for a complainant to request permission to attend the full hearing of their case.

### (6) Procedures at the Hearing

- a. The quorum of the Appeal Board shall be the Chair and three members.
- b. The appeal shall consist of a *de novo* hearing of the case.
- c. The Appeal Board may accept any evidence that it, in its sole discretion, considers proper, whether admissible in a court of law or not; and
- d. The Appeal Board is not bound by the Alberta Evidence Act or the law of evidence applicable to judicial proceedings.
- e. If the appellant or respondent neglect or refuse to appear at the time of the hearing, the Appeal Board may in its discretion proceed with the hearing and either grant or deny the appeal. Either party may be accompanied by legal counsel or other representative.
- f. The Board shall hear the argument of both sides to the appeal and then, by majority vote, shall uphold or quash the decision appealed from. Where a decision against a student group is upheld, the Board may confirm, vary or suspend the penalty imposed.

The Appeal Board is authorized to receive procedural advice from the Appeals Coordinator.

- g. Both parties and the Board may call and question witnesses and may call evidence. Each party is responsible for promptly informing the Appeals Coordinator as to their intended witnesses and is responsible for securing the attendance of their witness at the hearing. The Appeals Coordinator shall ensure that as witnesses become known, the other party and the Board are informed as to the identity of the witnesses.
- h. The Appeal Board shall conduct hearings in a manner which, in its sole discretion, it considers proper and has adopted the following Suggested Hearing Procedures which it may vary:
  - i. The Appeals Coordinator shall present the appeal to the University Appeal Board (UAB).
  - ii. The Chair of the UAB shall invite the maker of the complaint to present his/her case and introduce witnesses.
  - iii. The Chair shall then invite the student group to cross-question the maker of the complaint and witnesses.
  - iv. The Chair may cross-question the maker of the complaint.
  - v. The Chair shall invite in turn each of the other members of the UAB to cross-question the maker of the complaint and witnesses.
  - vi. The Chair shall invite the student group to state its case and call witnesses.
  - vii. The Chair shall invite the maker of the complaint to cross-question the student group and witnesses.
  - viii. The Chair may cross-question the student group.
  - ix. The Chair shall invite in turn each of the other members of the UAB to cross-question the student group and witnesses.
  - x. The Chair shall invite the maker of the complaint to present any evidence he/she may have by way of rebuttal. (Such evidence shall be subject to cross-questioning as before.)

- xi. The Chair shall invite the maker of the complaint to make a brief final statement.
  - xii. The Chair shall invite the student group to make a brief final statement. (Note: There shall be no cross-questioning by anyone during final statements.)
  - xiii. The Chair shall then adjourn the hearing for the Board's deliberation.
- i. The Chair of the Board through the Appeals Coordinator shall, where practical, immediately communicate the decision of the Board to the student group.
  - j. The Chair shall submit the written decision of the Board to the Appeals Coordinator normally within seven (7) calendar days of the Board's decision being reached. The Appeals Coordinator shall then send to both parties, to the Discipline Officer, and to the Panel of Chairs a copy of the Board's decision.

### 26.2.9 Review and Revision Process

The Office of the Dean of Students shall present an annual report on Group Registration to the Campus Law Review Committee, and shall include information about disciplinary measures taken against student groups.

## 26.3 Code of Student Behavior— Additional Regulations and Requirements

### 26.3.1 Introduction

The University is defined by tradition as a community of people dedicated to the pursuit of truth and advancement of knowledge, and as a place where there is freedom to teach, freedom to engage in research, freedom to create, freedom to learn, freedom to study, freedom to speak, freedom to associate, freedom to write and to publish, and a concomitant obligation to respect these freedoms when they are exercised by others.

The Code of Student Behavior is separated into three parts: the first section (§26.1) deals with offences, penalties, discipline and appeals of individual students; the second section (§26.2) deals with offences, penalties, discipline and appeals of student groups; and the third section (§26.3) defines additional regulations and requirements currently mandated by the Code.

Nothing in this Code shall be interpreted in such a way as to prohibit the activities or to violate the principles which are set out in the first paragraph of this Section.

Nothing in this Code shall prevent the University from referring an individual matter to the appropriate law enforcement agency should such action be considered necessary.

### 26.3.2 Amendment of the Code

- (1) The Code may be amended by General Faculties Council in exercise of the authority vested in it by §42 of the Universities Act.
- (2) Substantive changes to the Code shall come into force after receiving the approval of General Faculties Council and the Board of Governors.
  - a. **Substantive Changes:** Substantive changes to the Code must be published in the *Gateway* or *Summer Solstice* (or equivalent summer publication) at least 10 days before their consideration by GFC. Substantive changes will be approved by the Campus Law Review Committee, GFC Executive, General Faculties Council, Board Educational Affairs Committee (BEAC) and the Board of Governors.
  - b. **Editorial Changes:** Amendments deemed minor or editorial by the GFC Campus Law Review Committee need not be published in the *Gateway* or *Summer Solstice* (or equivalent summer publication). If the GFC Executive and the Board Educational Affairs Committee (BEAC) agree that the amendments are routine and editorial, the changes will be approved by the GFC Executive, on behalf of GFC, and BEAC, on behalf of the Board.

The Board Educational Affairs Committee (BEAC) has directed the Vice-President (Academic) and the Chair of BEAC, in consultation with the Secretary to GFC, to review proposals which set out minor, editorial, and/or strictly administrative changes to existing University policies and procedures and determine whether or not, after the proposals had been duly considered and endorsed by the GFC Executive Committee or by GFC, BEAC would be asked to take formal action on such proposals or simply receive such items for information only.

If the Vice-President (Academic) (or designate) and the Chair of BEAC (or designate) agree the amendments (i.e., the minor, editorial and/or administrative amendments under consideration) shall go forward to BEAC for information only, the amendments will be considered to be formally approved by the GFC Executive, on behalf of GFC and the Board, at the time the Executive's decision on the matter is rendered.

- (3) The Code must be published in each issue of the University Calendar. In addition, the Appeals Coordinator will ensure that all substantive amendments to the Code approved after the Calendar is published appear in the *Gateway* near the beginning of the fall and winter terms. In conjunction with publication of these substantive amendments, the Appeals Coordinator will coordinate publication of a summary of recent Code offences and penalties and other material concerning student discipline procedures. These materials may also appear in other University publications.
- (4) The Coordinator of the GFC Campus Law Review Committee (CLRC), in consultation with the Appeals Coordinator, shall maintain the Official Copy of the Code and all amendments thereto.

### 26.3.3 Regulations Concerning Alcohol Provision and Consumption

The University is first and foremost an institution of learning, a place where academic staff and students come together to pursue "whatsoever things are true." It is also a community which engages in a great deal of social activity, much of which involves and is often centred on the provision or consumption of alcohol. The following regulations have been formulated recognizing the significance of this social activity, and have three broad goals. First, they are intended to provide the maximum degree of safety for guests and organizers of social gatherings. Secondly, if consistently followed, they serve to decrease the legal liability of students, student groups and the University. Finally, they help provide for the orderly and efficient operation and administration of the several hundred gatherings involving alcohol consumption which take place each year both on and off campus.

- (1) **Acquisition, Storage, Consumption and Provision of Alcohol:** The acquisition, storage, consumption, and provision of alcohol are permitted only under the provisions of the Institutional License, according to procedures kept on file in the Office of the Director of Housing and Food Services.
- (2) **Advertising for the Event**
  - a. While it is permissible in an advertisement to inform prospective patrons of the type of alcohol (i.e., wine, beer) which is to be served at the function, the use of alcohol in an advertisement as an inducement to attend and/or the promotion of over-consumption are prohibited.
  - b. Advertising for the event, including posters for the function, may not contain any reference to or contain the corporate or brand logo of a brewery, distillery, or winery.
- (3) **Alcohol Purchases:** For on-campus events held under the Institutional License, all alcohol must be purchased at an on-campus outlet (a list of these outlets is available in the office of the Director of Housing and Food Services). Alcohol not consumed at the function must be returned to the outlet at which it was purchased.
- (4) **Provision of Food and Non-Alcoholic Beverages:** A variety of food and non-alcoholic beverages must be available in quantities sufficient to meet the demands of the attendees throughout the full hours of alcohol services.
- (5) **Service to Minors**
  - a. Alcohol may be consumed by students only of legal drinking age.
  - b. Alcohol may be served to persons only of legal drinking age.
- (6) **Display of Manufacturers' Products at Liquor Functions:** Event organizers are required to follow all ALCB regulations governing the display of items containing corporate or brand logos of breweries, distilleries, or wineries at alcohol functions. (A current list of approved items is available in the Office of the Director of Housing and Food Services.)
- (7) **Hours of Consumption:** Alcohol may be served and consumed only during the hours indicated on the permit.
- (8) **Supervision:** Supervision must be provided in accordance with the requirements of each permit, as established by the Office of the Director of Housing and Food Services.

- (9) **Service to Intoxicated Patrons:** No alcohol is to be provided to visibly intoxicated persons.
- (10) **Safety of Patrons:** Groups receiving a permit must adhere to all the requirements of each permit, including any special provisions relating to that event.
- (11) **Violations of Regulations:** Complaints against student groups or individuals who violate any of the above regulations shall be dealt with according to the discipline process as described in §§26.1.8 and 26.2.7.

### 26.3.4 Additional Regulations and Requirements of the Code

- (1) **Requirements for Special Authorization:** All applications for approvals to engage in any of the following activities must be made in writing to the appropriate authority and shall be approved or rejected with written reasons attached.
- The outdoor use of public address systems, loud-speakers, bull-horns, sound trucks, or similar equipment on the campus of the University is not permitted without the prior written approval of the Director of Physical Plant. It should be noted that City of Edmonton bylaws apply on peripheral streets.
  - The use of the name of the University or the emblem or coat of arms of the University, or of a registered University group, on any publication, including correspondence, without the written approval of the Office of the Vice-President (Finance and Administration) is prohibited. An appeal shall lie with the Board of Governors. As a matter of policy, such approval will not be granted to anonymous publications.
  - The use of alcoholic beverages on the campus of the University is regulated for registered University groups under the terms and conditions of the University's Institutional License. Authorization for functions involving the service of alcohol is controlled by the Office of the Director of Housing and Food Services. Appeals shall lie with the Office of the Vice-President (Academic).
  - In order to obtain an excused absence from examinations, tests and other academic requirements, off-campus trips by individuals, groups and teams representing student organizations must be approved by the Dean of Physical Education and Recreation (or designate) in the case of athletic matters and by the Deans' Council or its designate in all other cases. An appeal shall lie from decisions in this regard with the Vice-President (Academic).
  - Arrangements for showing films on campus, except for those used as part of the academic or academically related programs, must be made through the Office of the Registrar and Student Awards (Examinations and Timetabling) in accordance with the procedures as set out in the Manual of Administrative Policies, Procedures and Services, 503-030. The Executive Assistant to the Vice-President (Finance and Administration) may make regulations from time to time for the aforementioned purpose in conformity with the Alberta Amusements Act and other applicable provincial legislation. An appeal shall lie with the Vice-President (Finance and Administration).
  - University individuals, groups, and organizations may advance a cause and distribute or sell related literature or other similar material:
    - in designated Activity Zones, provided that the use of the Zones has been reserved in advance with the Office of the Registrar and Student Awards (Examinations and Timetabling) and provided that permission has been obtained from the Executive Assistant to the Vice-President (Finance and Administration). An appeal shall lie with the Vice-President (Finance and Administration).
    - in areas other than Activity Zones with the prior approval of the Dean or other administrative officer or committee in charge of the area. An appeal shall lie with the Office of the Vice-President (Academic).
  - Canvassing and soliciting are forbidden on the campus of the University. Exceptions may be made only by the Executive Assistant to the Vice-President (Finance and Administration). An appeal shall lie with the Vice-President (Finance and Administration).
  - University groups or individuals wishing to invite the general public to on-campus events or to sponsor off-campus speakers are responsible for so informing the Director of Campus Security Services and for making related arrangements including space reservations, protection of persons and property, and payment of any related costs. Additional information may be obtained from the Office of the Vice-President (Finance and Administration).

- Members of the University community may put up posters and notices on "open" notice boards as long as the posters and notices do not create a nuisance. Notices may not be affixed to trees or shrubs. The libraries, food service areas, the Administration Building, and University Hall are to be kept free of any but official notices.
- In accordance with the regulations and guidelines established by the Licensing Branch of the Office of the Attorney General of Alberta, a group or organization associated with the University of Alberta which wishes to raise funds from the operating of a gaming event must seek and receive written approval of the Board of Governors. The Board has delegated its authority to review applications and to issue letters of authorization for gaming events to the Office of the Vice-President (Academic), who has sub-delegated its authority to the Office of the Dean of Students. Appeals shall lie with the Office of the Vice-President (Academic).

(2) **Other Regulations and Requirements:**

- University groups or individuals sponsoring functions on or off campus are responsible for maintaining discipline and enforcing liquor and other regulations on the premises where the functions are being held.
- All students living in or attending a University residence are subject to the rules and regulations of that residence. Rules and regulations in force including any amendments are posted at the business office of the residence, or in the areas affected.
- All persons owning, operating, or parking vehicles on University property are subject to the current Traffic and Parking Regulations of the University of Alberta. Information about Traffic and Parking Regulations in force, including any amendments, may be secured from Parking Services.  
Fines and penalties specific to all parking violations are administered by Parking Services and may be appealed through the Parking Appeals Officer.
- Persons desiring to carry on commercial activity on property under the government and control of the Students' Union should obtain permission from the Students' Union.
- The University of Alberta comes under the purview of the Alberta Fire Prevention Act, RSA 1970 c. 115 and the regulations therein. The University of Alberta Board of Governors has approved and adopted a University Fire and Safety Code that is applicable to students, staff and visitors.
- By agreement with the American Federation of Musicians (AFM), orchestras organized and playing on campus need not consist wholly of Federation members, but all orchestras brought in to play for University functions must be made up of Federation members. For further information, students should contact the Secretary of the AFM (422-2449).
- The Campus Law Review Committee reviews the rules and regulations of the University relating to student disciplinary matters on a continuing basis. Members of the University community have the right to speak to and make representations concerning the above at any duly constituted CLRC meeting.

## 27 Code of Applicant Behavior

The following outlines procedures for dealing with academic and non-academic offences by applicants for admission and by applicants for readmission to the University of Alberta.

**Note:** The following procedures relate to applicants only. Students who, after completing registration, are found to have been dishonest during the admission or readmission process, may be charged under the Code of Student Behavior. See §26.

### 27.1 Definitions

In these procedures, the following words have the following meaning:

- Appellant:** Any person who appeals under these procedures.
- Applicant:** A person who:
  - has submitted a University of Alberta Application form,
  - or,
  - has submitted any documents intended to support an application to the University of Alberta,

- or,
  - c. sits a University of Alberta sponsored or administered exam, the successful completion of which is considered an application requirement,
  - or,
  - d. displays an intention to seek admission or readmission to the University of Alberta at any time following the commission of one or more of the offences listed in these regulations.
- (3) **Application:** An Application for Admission form or an Application for Readmission form.
  - (4) **Dean:** References to the Dean mean to the Dean or designate.
  - (5) **Discipline Officer:** The person charged by the President with the administration of these procedures.
  - (6) **Registrar:** References to the Registrar mean to the Registrar or designate.
  - (7) **University Appeal Board:** The appeal board as prescribed within the Code of Student Behavior (§26).

## 27.2 Offences

For purposes of these procedures, it will be considered an offence on the part of an applicant to the University of Alberta:

- (1) To misrepresent or aid another person or persons to misrepresent material fact for the purpose of obtaining academic or other advantage;
- (2) To commit, or aid another person or persons to commit, an act designed to misrepresent an applicant's status;
- (3) To assist a student of the University of Alberta in an act of cheating, plagiarism, fraud, deceit, or any other form of academic dishonesty;
- (4) To knowingly obstruct University activities. University activities include but are not limited to teaching, research, studying, administration, meetings, and public service. However, nothing in this Code shall be construed to prohibit peaceful assemblies and demonstrations, lawful picketing, or to inhibit free speech;
- (5) To convert or misappropriate University materials, documents, or other property;
- (6) To physically abuse another person, threaten any other person with physical abuse or damage to such person's property or knowingly, and without just cause, cause any other person to fear physical abuse or fear damage to such person's property.

## 27.3 Initiation of Complaints

- (1) Any person who believes that an applicant is guilty of one or more of the above offences may initiate proceedings against the applicant.
- (2) Where a person believes that an applicant has committed one of the above offences, that person may communicate the particulars of the alleged offence to the Dean of the Faculty to which the applicant is seeking admission or readmission, or to the Registrar. The Registrar will have jurisdiction over Open Studies applicants and applicants who are not identified with a particular Faculty at the time of the offence. The Dean will have jurisdiction over all applicants to a particular Faculty.

## 27.4 Procedures to be Followed by the Dean or Registrar

- (1) The Dean or the Registrar must provide the applicant with a reasonable opportunity to review the alleged offence.
- (2) Where the Dean or the Registrar believes that one of the above offences has been committed, that individual may impose one of the following penalties:
  - a. verbal and/or written reprimand;
  - b. refusal to consider applications to any program or in any registration status at the University of Alberta for up to five years;
  - c. permanent refusal to consider applications to any program or in any registration status at the University of Alberta.

- (3) Where a penalty of permanent refusal to consider applications has been imposed, and where the applicant has unsuccessfully appealed the imposition of such a sanction, the applicant has the right to appeal the sanction **each five years** from the initial date of its imposition or from the date of last appeal.
- (4) Where the Registrar imposes a sanction under §27.4(2), that individual shall create and maintain a file setting out:
  - a. the particulars of the offence, and
  - b. a brief statement giving details of the sanction imposed.
- (5) Where a Dean imposes a sanction under §27.4(2), that individual shall file with the Registrar a statement in writing setting out:
  - a. the particulars of the offence, and
  - b. a brief statement giving details of the sanction imposed.

## 27.5 Procedures to be Followed by the Registrar

The Registrar shall, by registered letter, notify the applicant of the Registrar's decision, or the decision of the Dean, and provide the applicant with the procedures by which that decision may be appealed as stated below.

## 27.6 Appeals Against Decisions of Deans or Registrar

- (1) An applicant may appeal the decision of the Dean or the Registrar to the University Appeal Board. The appeal may be based upon a denial of the commission of the offence or may relate to the severity of the penalty imposed, or both.
 

Applicants wishing to appeal a decision of the Dean or the Registrar, must submit a notice of appeal in writing to the Appeals Coordinator. Such notice must be delivered to the Appeals Coordinator no later than thirty (30) days after receipt of the decision of the Dean or the Registrar. The Board may, at its discretion, accept an appeal after the expiry of the thirty (30) days.

Appellants will be granted temporary admission or readmission, provided their applications meet Faculty requirements. Such temporary admissions are subject to cancellation if the appeal is denied.
- (2) The University Appeal Board shall adhere to the same procedures as contained in the Code of Student Behavior, excepting those instances where these procedures limit or alter procedures as defined in the Code of Student Behavior.
- (3) Where the applicant denies the commission of the offence or appeals the severity of the penalty, the University Appeal Board shall consider the appeal *de novo*, and arrive at a decision.
- (4) Where the University Appeal Board decides that the applicant is not guilty of the offence, the appellant's application will be considered on the same basis as all other applicants.