Facilities Maintenance Plan (as of 15 January 2020)

This applies to the maintenance of our physical (supported building) assets. This plan does not apply to communications and other groups computing systems/hardware and Research / Special equipment.

This plan has been drafted within the framework of the Integrated Asset Management Strategy (IAMS) which establishes our collective mission, vision, principles, goals, and actions for future-proofing the University of Alberta’s infrastructure. IAMS will help guide decisions the University will take to support the infrastructure needs of its learners, faculty, staff, and community, while balancing the risks, opportunities, and fiscal environment in which the institution operates. This Maintenance plan, like IAMS, is a living document that will be reviewed as part of the annual planning process.

In order to provide safe, operational and functional facilities for our university community, we strategically prioritize our maintenance and operating requirements using a risk-based approach, while proactively preparing for the future requirements of the institution.

Facilities and Operations Mission

Facilities and Operations supports the University of Alberta’s mission through safe, well-maintained, sustainable and inviting spaces, and by providing crucial services for the university community.

Maintenance Mission

Proactively and cost-effectively maintaining and caring for our infrastructure to ensure that our assets provide their maximum service potential in a sustainable manner - ultimately creating an enriching and inviting environment that supports exceptional learning and research.

The F&O team also subscribes to the following aspects of service as being fundamental to our success:

- Safety
- Integrity and quality of service
- Sustainability
- Continuous improvement
- Teamwork
- Balancing responsiveness to client requests with long term asset stewardship

Objectives

The University’s objectives in maintaining and operating buildings, plant and equipment are to:

- Ensure the safe, efficient and continued operation of the University’s assets
- Ensure compliance with legislated requirements
- Create enriching, effective and inviting spaces
- Optimize the life cycle costs of assets
Maintenance Operations

The University’s physical assets are maintained in accordance with Maintenance Plans for vertical and horizontal infrastructure and landscaping. Numerous strategic plans related to capital expenditures, space optimization, asset rationalization, utility services, long range development, risk management, and sustainability provide a backdrop of considerations for this Facilities Maintenance Plan. Together, they support the University’s academic and research missions. Historical data, in house expertise, and design consultants inform maintenance expenditure forecasts used for maintenance planning, capital renewal (CR) planning, and property asset planning purposes. Multi-year rolling plans are developed for Infrastructure Maintenance Program (IMP) fund spending and other sources of funding. An overarching goal for operations and maintenance is to optimize our operations to strategically re-invest funding to maintenance programs and/or capital renewal efforts to better manage our growing deferred maintenance liability.

Maintenance is defined as all actions necessary for retaining an item or asset in, or restoring it to, a condition in which it achieves its originally specified service potential. It typically does not include cleaning or refurbishment. However, maintenance for certain types of assets can include cleaning (i.e. certain types of electrical assets can have a functional failure if allowed to become excessively dirty due to flashover or tracking). Cleaning is a common task performed for these specific types of assets to ensure they continue to operate as originally specified.

Maintenance of our assets is carried out to ensure that our facilities and landscape provide their maximum service potential to meet our Institution’s needs. This is achieved by providing the optimum level of maintenance and care in a sustainable manner. Budget constraints are weighed against priorities that are established based on an APPA Level 3 (Managed Care) level of maintenance. Good stewardship planning and practices continually compete with client satisfaction metrics.

The physical assets of the University will be maintained in order to deliver their maximum service potential in the following order of priority:

1. Maintenance required by legislation in accordance with regulations and as further set out in codes of practice and preventive maintenance schedules;
2. High priority emergency and reactive maintenance to protect health, life and safety as well as short term customer satisfaction, while not compromising the integrity of our assets;
3. Critical service areas by the use of predictive or preventive maintenance techniques; and
4. Any deferred maintenance which accrues in priority as determined to eliminate or mitigate risk.

Preventive, emergency and unplanned maintenance is provided through in house and outsourced vendor contracts. The University of Alberta currently maintains a number of contracts for services that include elevator maintenance, pest control, and air filters, emergency generator load testing, and fire safety. These vendors are selected through a competitive tendering process based upon qualifications, experience, and the ability to provide services, appropriate staffing levels, and overall value to the University. F&O staff oversee the performance of the outsourced vendors and are responsible to ensure the quality of services and regulatory compliance are met with the vendor contracts.

In the next year, Facilities and Operations will be more evidence-driven and seek opportunities to harness innovation in how it maintains, monitors, and operates infrastructure. This includes predicting trends that will improve capital-planning decisions based on expected performance of
existing infrastructure. It will also see remote sensors reporting on performance of equipment and productivity of these assets to enhance maintenance cycles and reduce overall operating costs.

Over the next three to five years, Facilities and Operations will use predictive analytics to better understand performance, utilization, ecological impacts, and operating costs of assets including the impact of external events such as changing weather patterns and advances in innovation. As increasing amounts of building data is gathered by sensors and sources across all networks, assets that are ‘over-maintained’ and too cost intensive will be identified leading to a consideration of where alternatives may be more appropriate.

**Accountabilities and Responsibilities**

The University of Alberta’s building portfolio is comprised of a wide variety of mixed use spaces which support teaching, administration, recreation, operations, and research. The accountabilities and responsibilities identified to distinguish between Faculty and Operations & Maintenance involvement is built around the definition of ‘base building systems.’ Systems that are directly related to the services described by mechanical, gas, utilities, sanitary, heating, air conditioning, ventilation, elevators, plumbing, sprinklers, cabling, security including FMNet, wiring, and life-safety belong to the realm of base building systems which are within the purview of Operations & Maintenance. Program equipment that is owned by Faculty and is directly related to their activities is within the purview of Faculty in all aspects of purchasing, installation, licensing, validation, maintenance, replacement, and operation.

Operations & Maintenance is often involved with assessment and guidance related to the installation of Faculty equipment at their request where it has significant impact to the base building structure and its base building systems. Operations & Maintenance also participates in maintenance agreements with Faculties where it is mutually beneficial to do so. In such cases, Faculty’s remain accountable and responsible for their equipment.

Examples of Faculty equipment that is not supported by Operations & Maintenance are as follows:

- A clean room complete with a packaged air-conditioning unit, special filtration, and uninterrupted power source in place to support a specific type of research.
- Freezers, walk-in coolers or refrigerators for storing laboratory research and/or materials.
- Air compressors or vacuum pumps, even when installed in an O&M mechanical room, that serve a specific lab or research area.
- Specialized water systems (e.g. temperature, filtration, or purifying).
- Air conditioning units for server rooms, environmental chambers, or laboratory equipment such as microscopes, incubators, ovens, chromatographs, scales, sterilizers, glass washers, and cage washers.
- Fume hoods, biosafety cabinets, and laminar flow hoods.
- Recreational equipment.
- Pressure vessels used for research.
- Office furniture and equipment owned by Faculties.
- UPS and power backup systems for Faculty equipment that are not central emergency generators.
Appliances owned by Faculties (e.g. coffee machines, dishwashers, refrigerators, microwaves).

Please refer to the joint memorandum and FAQs signed by the Vice Presidents of Facilities and Operations and Research on 18 October 2018 outlining the responsibilities associated with research equipment and research support systems.

Note - Departments may be responsible for premature replacement of building equipment as a result of abuse, vandalism or misuse that they could reasonably have predicted or controlled.

Life Cycle Costs

Operating and maintaining assets can account for up to 90 per cent of the total cost of building ownership (TCO) and is comprised of: support and maintenance such as administration costs (insurance, security, etc.); routine maintenance and minor repairs; custodial services; fire protection services; pest control; snow removal; grounds care; environmental operations; and utility charges (electric, gas, water). All members of the University of Alberta community can individually and collectively help meet and potentially extend the life cycle of infrastructure through understanding and adapting behaviours in how assets are used and cared for.

It is planned to regularly review the University’s maintenance activities in order to optimise expenditure. As a result of these reviews, preventive maintenance frequencies will be periodically examined to see if there needs to be a change in frequency or scope.

Over-servicing can be as damaging as under-servicing. Some "mean times between services" can be expanded as a result. In other cases, life cycle costs may warrant capital expenditure to reduce long-term operating costs, not only for maintenance, but also in cleaning and utilities consumption.

Maintenance Management Responsibilities

The University of Alberta Maintenance Policy is established by F&O and set by the AVP of Operations and Maintenance, who shall monitor the implementation and effectiveness of maintenance on each campus through the Facilities Condition Assessment process and other performance measures outlined in this Maintenance Plan.

Adequate funding of maintenance

Facilities maintenance is funded by an ongoing Lights on Funding as well as funds from VP F&O and Central Administration for the upkeep and preservation of buildings, base equipment, roads, and grounds, required to maintain University of Alberta property in a condition that supports the University's mission.

Maintenance in this normal program includes the maintenance activities required to provide a safe, healthy and secure environment. Given traditional maintenance and capital renewal funding levels, the University must defer a considerable amount of maintenance work and life cycle renewal. Consequently, F&O must prioritize critical maintenance and lifecycle items with available resources and as a result, lower priority maintenance items will most likely not be addressed according to the desired maintenance service level targets and our deferred maintenance liability will continue to escalate with only the most critical of our deferred maintenance issues addressed.
with available funding.

Our 6 maintenance goals are as follows:

**Maintenance Goal 1**: Perform and document 100% of regulatory preventive maintenance obligations.

**Metric**: 100% of regulatory PM work orders are executed and automated in the IWMS (AIM).

<table>
<thead>
<tr>
<th>Preventative Maintenance Activities</th>
<th>Organization Responsible</th>
<th>Frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chemical fume hood inspection and testing [ASHRAE, ANSI, CSA]</td>
<td>Operations</td>
<td>Annual</td>
</tr>
<tr>
<td>Cross contamination device inspection and testing [City Bylaw, National Plumbing Code, Alberta Safety Codes Act, CSA]</td>
<td>Trades</td>
<td>Annual</td>
</tr>
<tr>
<td>Elevator inspection and testing [AEDARSA]</td>
<td>Trades</td>
<td>Annual</td>
</tr>
<tr>
<td>Emergency eyewash station inspection and testing [ANSI]</td>
<td>Operations</td>
<td>Annual</td>
</tr>
<tr>
<td>Emergency generator inspection, testing and maintenance [CSA]</td>
<td>Trades</td>
<td>Monthly, Semi-Annual, Annual</td>
</tr>
<tr>
<td>Emergency lighting systems [Alberta Fire Code]</td>
<td>Trades</td>
<td>Annual</td>
</tr>
<tr>
<td>Emergency shower testing [ANSI]</td>
<td>Operations</td>
<td>Annual</td>
</tr>
<tr>
<td>Fire alarm inspection and testing [Alberta Fire Code, CAN/ULC]</td>
<td>Trades</td>
<td>Annual</td>
</tr>
<tr>
<td>Fire hydrant testing [NFPA]</td>
<td>Utilities</td>
<td>Per NFPA 25</td>
</tr>
<tr>
<td>Fire pump testing [NFPA]</td>
<td>Trades</td>
<td>Monthly run / annual flow test</td>
</tr>
<tr>
<td>Gas detection inspection and calibration</td>
<td>Trades</td>
<td>Semi-Annual</td>
</tr>
<tr>
<td>Handheld fire extinguisher inspections [Alberta Fire Code, NFPA]</td>
<td>Trades</td>
<td>Annual Inspection 6 yr Maintenance 12 yr Hydrostat</td>
</tr>
<tr>
<td>Personal protective equipment and protective tools [CSA]</td>
<td>Trades</td>
<td>Annual Visual 3 yr Inspection</td>
</tr>
<tr>
<td>Pressure relief devices on pressure vessel inspection, replacement or testing [Alberta Safety Codes Act, ABSA]</td>
<td>Trades</td>
<td>1-6 years based on ABSA AB-506</td>
</tr>
<tr>
<td>Pressure vessel inspection [Alberta Safety Codes Act, ABSA]</td>
<td>Trades</td>
<td>1-10 years based on ABSA AB-506</td>
</tr>
<tr>
<td>Propane storage vessels [Alberta Safety Codes Act,</td>
<td>Trades</td>
<td>5-10 years based on</td>
</tr>
<tr>
<td>ABSA]</td>
<td>ABSA AB-506</td>
<td></td>
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<td>-------------------------------</td>
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</tr>
<tr>
<td>Sprinkler system testing [NFPA]</td>
<td>Trades</td>
<td>Per NFPA 25</td>
</tr>
<tr>
<td>Vehicle inspections [Alberta Transportation]</td>
<td>Transportation Services</td>
<td>Annual and Semi-Annual Per Alberta Transportation</td>
</tr>
<tr>
<td>Fire Dampers/Fire Doors/Closures [NFC]</td>
<td>Trades</td>
<td>Annual</td>
</tr>
<tr>
<td>Emergency Exit Signs [NFC]</td>
<td>Trades</td>
<td>Annual</td>
</tr>
<tr>
<td>Chimneys/Flues/Flue Pipes [NFC]</td>
<td>Trades</td>
<td>Annual</td>
</tr>
<tr>
<td>Cathodic Protection and Fuel Delivery Stations [NFC]</td>
<td>Transportation Services</td>
<td>Weekly</td>
</tr>
<tr>
<td>Smoke Control Measures in Pressurized Vestibules/Stairwells</td>
<td>Trades</td>
<td>2 years</td>
</tr>
<tr>
<td>Roof Anchoring and Fall Arrest Systems</td>
<td>Trades</td>
<td>Annual</td>
</tr>
</tbody>
</table>

Note: PM Regulatory's are subject to change as regulatory bodies are regularly reviewing, adding and enhancing its requirement. Supervisors must be diligent in reviewing the standards as they are published.

**Maintenance Goal 2A**: Response times and completion standards are achieved for Emergency and Reactive maintenance requests based on the O&M Service Level Agreements.

**Metrics**: A dashboard showing all maintenance work orders and their respective compliance rates under the Service Level Agreement based on their work order priority.

**Maintenance Goal 2B**: Strive to better understand and measure the efficiency by which we perform maintenance work.

**Metrics**: Cost and Person-hour benchmarking and analysis of similar scopes of maintenance work to determine average costs and time for doing work, as well as identifying outliers to determine causes and mitigation strategies.

A dashboard showing all maintenance work orders and their respective compliance rates under the Service Level Agreement based on their work order priority.
Table 1. Maintenance Response standards (Response times are measured from when a client reports the maintenance fault to the Maintenance Desk until the time a maintenance worker attends site to inspect, makes initial repairs, isolate services and minimize hazard to personnel and property as required.)

<table>
<thead>
<tr>
<th>Action</th>
<th>Response Parameters</th>
<th>Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>Priority 1 – Burst water pipes, major energy outages (e.g. reset the circuit breaker, loss of power), essential air-conditioning (e.g. animal houses, main computer room), and essential ventilation, failure of low-temperature freezers/fridges, gas leaks, passengers trapped in lifts, fires, broken glass (constituting a safety issue), blocked sewerage, building heating systems (winter), toilets (where there is accessibility constraints or limited number of facilities in a building), soil lines, electrical faults (identified as potentially dangerous), cold room failures, life safety systems that are in trouble mode, accessibility points/entrances/exits. FMNet failure, scheduled event access failure, critical door left in unsecure state due to system failure.</td>
<td>Priority 1 – within 2 hours of notification</td>
<td>95%</td>
</tr>
<tr>
<td>Priority 2 – Blocked stormwater drains, broken doors (external), major roof leaks, broken glass (internal/external), broken locks (external), broken door handle, the door jammed, air-conditioning failures (in buildings with inoperable windows), air-conditioning failures (lecture theatres), fume hood failures, water leaks, reverse osmosis equipment/de-ionisers, flooring issues that cause tripping hazards, malfunctioning whiteboards/blackboards, running taps (hot water), no water, non operating fixtures in areas which present a safety concern (e.g.: stairwells, emergency lighting, exit lighting). Elevator intercom failure, card access issues, intrusion system issues, emergency notification issues, video surveillance issues.</td>
<td>Priority 2 – within that working day of notification</td>
<td>95%</td>
</tr>
<tr>
<td>Priority 3 – Flickering fluorescent lamps (open areas), minor roof leaks, external lighting (external), faulty toilet cisterns, toilets running constantly, signage requests through the repair shop, non-essential air conditioning, toilet seat broken. Card access and intrusion user processing.</td>
<td>Priority 3 – within three (3) working days of notification</td>
<td>90%</td>
</tr>
<tr>
<td>Priority 4 – Dripping taps, failed lamps, flooring issues that do not present a safety concern, pipework insulation, broken door closer, electrical faults (non-dangerous), redundant lighting outages (e.g.: areas where one fixture outage does not impact workable lighting), rusted box gutters, leaking (external downpipes), building security system estimates.</td>
<td>Priority 4 – within two (2) weeks of notification</td>
<td>90%</td>
</tr>
<tr>
<td>Priority 5 – Resurfacing benchtops, repairs to caulking, internal painting, external painting, non safety related road resurfacing, non safety related curb and channeling repairs, painting repairs. BSS battery replacements, internal painting (essential), external painting (essential), domestic hot water systems, building heating systems (summer).</td>
<td>Priority 5 – work to be programmed</td>
<td>85%</td>
</tr>
</tbody>
</table>
Completion Standards

<table>
<thead>
<tr>
<th>Action</th>
<th>Response Parameters</th>
<th>Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>Completion of Reactive/Emergency Maintenance Work Orders - Priorities 1 and 2</td>
<td>Priorities 1 and 2 – Upon responding to initial call, completion within five (5) working days given availability of parts, otherwise within five (5) working days of availability of parts.</td>
<td>85%</td>
</tr>
<tr>
<td>Completion of Reactive/Emergency Maintenance Work Orders - Priorities 3 and 4</td>
<td>Priorities 3 and 4 – Upon responding to initial call, completion within ten (10) working days given availability of parts, otherwise within ten (10) working days of availability of parts.</td>
<td>85%</td>
</tr>
<tr>
<td>Completion of Reactive/Emergency Maintenance Work Orders - Priority 5</td>
<td>Priority 5 – Completion in accordance with the program set for this work after appraisal and planning.</td>
<td>85%</td>
</tr>
</tbody>
</table>

**Note:** Once O&M has accurately captured data in the IWMS (AIM) over the course of FY2020, this will be reviewed and adjustments may be required to ensure achievable standards based on available maintenance resources (funding levels and staff availability).

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**Maintenance Goal 3:** A Corrective Maintenance plan will be produced and updated annually that establishes prioritized corrective maintenance projects.

**Metric 3:** An updated Corrective Maintenance Plan will be provided at the beginning of every fiscal year laying out the list of Corrective Maintenance projects that require completion in prioritized order from most severe risk to least.

**Corrective Maintenance:**

Corrective Maintenance projects are prioritized according to risk-based decision-making processes with the intention of reducing the potential issues that pose the highest risk to the University. The risk ratings shown in the table below are established upon base building equipment, component, and system condition assessments as well as risks to occupational health and safety issues, unforeseen failures which may cause significant disruptions or safety related issues or collateral damage to other elements.

**System & Component Criticality**

Priority and focus must be given to systems and components that pose the most immediate threat to building occupancy in order to minimize disruption to the academic mission of the Institution. Systems and components should be prioritized according to risk-based decision-making processes with the intention of reducing the potential issues that pose the highest risk to the University. The risk ratings shown in the table below are established upon base building equipment, component, and system
condition assessments as well as risks to occupational health and safety issues, unforeseen failures which may cause significant disruptions or safety related issues or collateral damage to other elements.

Figure 1: Priority Matrix based on the Impact and Probability statements below.

<table>
<thead>
<tr>
<th>Impact</th>
<th>5</th>
<th>4</th>
<th>3</th>
<th>2</th>
<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Probability</td>
<td>5</td>
<td>Low</td>
<td>Low</td>
<td>Low</td>
<td>Low</td>
</tr>
<tr>
<td>4</td>
<td>Low</td>
<td>Low</td>
<td>Low</td>
<td>Medium</td>
<td>Medium</td>
</tr>
<tr>
<td>3</td>
<td>Low</td>
<td>Low</td>
<td>Medium</td>
<td>Medium</td>
<td>High</td>
</tr>
<tr>
<td>2</td>
<td>Low</td>
<td>Medium</td>
<td>Medium</td>
<td>High</td>
<td>High</td>
</tr>
<tr>
<td>1</td>
<td>Low</td>
<td>Medium</td>
<td>High</td>
<td>High</td>
<td>High</td>
</tr>
</tbody>
</table>

The Priority Matrix is based on a risk assessment of impact and probability of failure. Utilizing the Probability and Impact statements below to classify deficiencies, their respective scores in each category place their location on the Priority Matrix.

- Red squares denote critical priority projects that must be actioned as soon as possible.
- Yellow squares denote important but not yet critical priority that may be planned for action in the short term.
- Green squares denote recommended priority that need to be planned in the medium term.
Probability: General characterization of the likelihood of failure

1. Asset has failed before and is now on temporary repair, or is at risk of failure within the next year. Replacement parts will take longer than 12 weeks, or are unavailable.
2. Asset has failed before and has now been repaired with a fairly permanent solution. Asset is showing signs of failing in the next 1-2 years. Replacement parts are available within 12 weeks.
3. Asset is at or beyond life cycle but has not yet failed. Asset is showing signs of failing in the next 3-5 years. Replacement parts are available within 1 week.
4. Asset is within 1-3 years of expected life cycle, but no signs of failing. Replacement parts are shelf-available at local suppliers.
5. Asset is beyond 3 years of life cycle. Replacement parts are readily on hand.

Impact: General characterization of the consequences of failure

1. Asset failure will impact the entirety of building occupants. Impacts also include a risk to life and safety of occupants, or can preclude the accessibility to the building. Asset failure impacts core systems critical to the occupancy and use of the building (i.e. electricity, heating) as well as severe impact to the academic mission (whether it be teaching, studying, or research). Cost of remediation extends beyond primary failure, causing secondary cascading failures throughout the facility (i.e. electrical failure causing heating failure that results in bursting pipes causing flooding damage throughout the building).
2. Asset failure will impact the entirety of building occupants. Asset failure impacts systems that are of high importance to the operation of the building (i.e. lab services in a research space, hot water in a pool facility) as well as significant impact to the academic mission. Cost of remediation may extend beyond primary failure, which may cause secondary cascading failures throughout the facility.
3. Asset failure will impact a large group of the occupants. Asset failure impacts systems that are of moderate importance to the operation of the building, as well as moderate impact to the academic mission. Cost of remediation does not extend beyond primary failure.
4. Asset failure will impact a small group of the occupants. Asset failure impacts system that are of low to moderate importance. Impact to the academic mission is low to moderate. Cost of remediation does not extend beyond primary failure.
5. Asset failure will impact little to no occupants. Asset failure impacts systems that are of low importance. Impact to the academic mission is low. Cost of remediation does not extend beyond primary failure.

**Maintenance Goal 4: Building Condition Assessments:** *Improve the quality and integrity of building assessment data in VFA that enables enhanced decision making.*

Internal facility condition assessments drive GoA 3rd audit results. Internal assessments are uploaded into VFA within 3 months of completion. Completed work is updated with actual costs incurred within 3 months.

**Metric 4A:** Internal facility evaluations will be completed for major buildings on North and South
Campus within 3 year cycles. Each evaluation will upload results into VFA within 3 months of completion.

**Metric 4B:** Internal facility evaluations will be robust and complete, and will not only pass 3rd party Government of Alberta audit and review, but will drive Government assessments to be confirmatory of our work.

**Metric 4C:** Continuous updates of the data will ensure that as deferred maintenance requirements are completed that they are reflected in the deferred maintenance database within 3 months.

To accurately do this, the Asset Management Team (AMT) will track all major infrastructure assets in the Government of Alberta’s VFA database that tracks lifecycle and deferred maintenance requirements and liabilities. While nearly all of the University’s supported infrastructure assets are already tracked in VFA, the Asset Management Team will set a goal to internally evaluate buildings and review and update all major supported asset data within a 3 year cycle for North and South Campus. Due to the small amount of deferred maintenance at Campus St. Jean and Augustana Campus, the Asset Management Team will not perform internal evaluations for these assets, but CSJ and AU will remain on the 5 year external audit cycle for Alberta Infrastructure.

The internal facility evaluations undertaken by the Asset Management Team will consist of a multi-dimensional approach to facility condition to ensure that the data collected and updated in VFA is robust, auditable, and collaborative with our subject matter experts. The following methodologies will be employed when undertaking the internal facility evaluations:

- Condition assessments will be made robust by the use of assessment checklists of observational evidence to assess based on industry best practices for each asset type. We will leverage data analytics to evaluate assets by looking at asset age vs. statistical expected life span as well as historical work order analysis of each asset.
- Condition assessments will be auditable by having all checklists filed, indexed, and searchable in AiM attached to each unique Asset ID for each infrastructure asset. An entire history of every assessment checklist will be available along with time-stamped photographs for each asset.
- Condition assessments will be collaborative by having our Maintenance Trades staff included in the assessment walkthroughs with checklists being filled out on a consensus-basis between the Asset Management Team and the Trades with any exceptions explained, logged and documented.

**Maintenance Goal 5:** Achieve a Preventive Maintenance (PM) ratio to Corrective Maintenance (CM) at the top end of APPA Maintenance Level 3 “Managed Care” (65% to 75%).

**Metric 5:** Report on the PM (both regulatory and non regulatory) to CM ratio vs APPA’s standard for continual annual evaluation.
The following list illustrates additional non legislated PM activities that can be undertaken in University buildings across the portfolio based on a cost effectiveness and risk assessment.

<table>
<thead>
<tr>
<th>Preventative Maintenance Activities</th>
<th>Organization Responsible</th>
<th>Frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Air compressor inspections and routine maintenance</td>
<td>Trades - Millwrights</td>
<td>Annual</td>
</tr>
<tr>
<td>Air conditioning unit inspections</td>
<td>Operations - HVAC</td>
<td>Monthly or Annually Depending on Type</td>
</tr>
<tr>
<td>Air handling unit filter media inspection and replacement</td>
<td>Operations - Mechanical</td>
<td>Combination of Predictive Measurement and monthly inspections</td>
</tr>
<tr>
<td>Chiller inspections and routine maintenance</td>
<td>Operations - Managed Contractor</td>
<td>3 times a year</td>
</tr>
<tr>
<td>Condenser inspections and routine maintenance</td>
<td>Operations - HVAC</td>
<td>Semi Annual - Depending on the type</td>
</tr>
<tr>
<td>Electrical disconnect inspection and adjustment</td>
<td>Trades - Electrical</td>
<td>Annual</td>
</tr>
<tr>
<td>Electrical main breaker inspection and testing</td>
<td>Trades - Electrical</td>
<td>Annual to three years depending on the service and operating conditions</td>
</tr>
<tr>
<td>Electrical panel inspection, thermography, and adjustment</td>
<td>Trades - Electrical</td>
<td>Annual to three years depending on the service and operating conditions</td>
</tr>
<tr>
<td>Gas-fired equipment (boilers and rooftop units) inspections and routine maintenance</td>
<td>Operations - HVAC</td>
<td>Annual</td>
</tr>
<tr>
<td>Motor control centre inspection, thermography, and adjustment</td>
<td>Trades - Electrical</td>
<td>Annual</td>
</tr>
<tr>
<td>Mural maintenance</td>
<td>Trades - Architectural</td>
<td>Every Three Years</td>
</tr>
<tr>
<td>Roof inspections</td>
<td>Trades - Architectural</td>
<td>Annual</td>
</tr>
<tr>
<td>Supply/Exhaust/Return fan inspections and routine maintenance</td>
<td>Trades - Millwrights</td>
<td>Monthly</td>
</tr>
<tr>
<td>Transformer inspection and testing</td>
<td>Trades - Electrical</td>
<td>Annual</td>
</tr>
<tr>
<td>Maintenance Item</td>
<td>Responsible Party</td>
<td>Frequency</td>
</tr>
<tr>
<td>-------------------------------------------------------</td>
<td>-----------------------------</td>
<td>--------------------</td>
</tr>
<tr>
<td>Uninterrupted power supply inspection and testing</td>
<td>Operations - BMS/BSS</td>
<td>3 year PM rotation</td>
</tr>
<tr>
<td>Vacuum pump inspection and routine maintenance</td>
<td>Trades - Millwrights</td>
<td>Monthly</td>
</tr>
<tr>
<td>Variable frequency drive inspection, testing, and adjustment</td>
<td>Trades - Electrical</td>
<td>Annual</td>
</tr>
<tr>
<td>Vesda inspections and testing</td>
<td>Trades - Life Safety Systems</td>
<td>Annual</td>
</tr>
<tr>
<td>Water filter media inspection and replacement</td>
<td>Trades - Mechanical</td>
<td>Annual</td>
</tr>
<tr>
<td>Electrical Motors</td>
<td>Trades - Millwrights</td>
<td>Monthly and Annually Depending on Type</td>
</tr>
<tr>
<td>Batteries in Critical Equipment</td>
<td>Operations - BMS</td>
<td>Annual</td>
</tr>
<tr>
<td>Expansion Tank Routine Inspections</td>
<td>Trades - Mechanical</td>
<td>Annual</td>
</tr>
<tr>
<td>Heat Exchanger Routine Inspections</td>
<td>Trades - Mechanical</td>
<td>Annual</td>
</tr>
<tr>
<td>Pumps Routine Inspections</td>
<td>Trades - Millwrights</td>
<td>Semi Annually - Annually</td>
</tr>
<tr>
<td>Sanitary and Storm Drainage Piping Routine Inspections</td>
<td>Trades - Mechanical</td>
<td>Annual Rotational Scheduled Site Wide</td>
</tr>
<tr>
<td>Steam Pressure Reducing Stations</td>
<td>Operations - HVAC</td>
<td>Annual</td>
</tr>
<tr>
<td>Central air handling units</td>
<td>Operations - HVAC</td>
<td>3 year rotation</td>
</tr>
</tbody>
</table>

**Maintenance Goal 6: Predictive Maintenance (PdM):** Implement a Predictive Maintenance program for high payoff building systems (ie. Air Filters) to achieve cost efficiencies that can be reinvested in PM, PdM and/or CR.

**Metric 6:** 1. $ saved from excessive PM and invested in PdM. 2. Reduced failure rate / higher efficiency of high cost / high risk equipment.

**Maintenance Goal 7: Re-Verification:** Perform central system re-verification to address deferred maintenance and system energy performance degradation.

**Metric 7A:** Ideal operational benchmark standards will be established for all central systems.
Metric 7B: Minimum energy performance standards (i.e. the acceptable tolerance from the ideal operational benchmark standards) are measured for all central systems on a periodic basis.

Metric 7C: Facility Condition Indexes (FCI) for buildings with central systems have been determined.

This long term maintenance goal establishes evidence-based decision making criteria (energy performance degradation plus a discretionary FCI weighting) which informs the maintenance rolling plan that fits within budgetary allowances. The benchmarks and minimum energy performance standards are designed to consider the break-even cost analysis of energy efficiency loss vs equipment life cycle. These metrics also establish when a central system should be replaced instead of re-verified.

Integrated Workplace Management System (IWMS)

An integrated workplace management system (IWMS) is a software platform that helps organizations optimize the use of workplace resources and the built environment. Industry defines IWMS as a toolset that enables management of the following areas: Real Estate and Property Management, Space Management, Operations and Maintenance Management, Energy Management and Capital Planning and Project Management. IWMS Systems use technology to integrate the primary management disciplines into a cohesive platform with shared, common data amongst all disciplines. Additionally, effective IWMS Systems have a robust financial foundation.

The IWMS stores diverse data regarding an organization’s resources, operations, costs, assets, transactions, time and spending. In comparison to ERP or institutional finance systems, the data collected is far more detailed and granular and therefore inherently more valuable to manage the buildings, assets and work. The tracking and reporting of costs, effort, issues, and history is intended to assist maintenance staff to be more effective in maintaining the infrastructure of the University. An IWMS is the repository enabling:

- Prioritization of repairs
- Informed business decisions
- Estimation of costs
- Crucial repair versus replacement decision making
- Compliance verification
- Personnel and work tracking
- Resource availability for planning and scheduling, and
- Automated preventive maintenance scheduling based on the maintenance plans strategy.

The IWMS of choice for the University of Alberta is AiM, provided by AssetWorks.

AiM has achieved significant share of the post secondary IWMS market by serving 50% of the 30 largest (by enrollment) universities, including the largest 3, University of Central Florida, Texas A&M and Ohio State. AiM is specifically designed to be used in the post secondary, institutional and government sectors, for example, it has capabilities for tracking research to a location (grants, etc.)

Planning - AiM employs sophisticated tools that can enhance strategic planning around facilities, whether looking at comparisons of operating costs by building, space utilization, capital
replacements, equipment failure analysis, energy efficiency, response/repair time, life cycle planning etc.

Analytics - AiM IQ is a business intelligence framework that can be used to extract, transform and load data from multiple sources into the F&O data warehouse, allowing unprecedented, easier access to mashed data from numerous sources enabling evidence based decision making. AiM IQ enables integrations with University software like PeopleSoft, driving efficiency and accuracy by sourcing the system of truth. Using advanced analytics tools, such as Tableau or Power BI, F&O can enable real time strategic decision making, whether making operating decisions saving energy, analyzing most effective maintenance spending, comparing long range plans against immediate infrastructure needs or allowing for shovel ready projects when expected (or unexpected) funding comes in. Additionally, comprehensive analytics makes a more compelling case for the right level of funding, not just more funding.

Risk Mitigation - AiM serves the university by being able to prioritize components or actions critical to the University's mission, reducing the risk of loss of use or capability. As well, AiM identifies and tracks issues and needs, long into the future to aid in planning and to reduce expensive surprises. This is relatively widely known and expected, additionally AiM tracks the record keeping, documentation, photos, hazard identification, abatement and financial details for the university to remain compliant with regulatory bodies and government in the fields of safety, construction, financial audits, etc., thereby reducing liability and providing assurances legislative requirements, safety for our workers and good practice is occurring.

A Look Ahead - The pace of change in technology capability and it's acquisition cost will continue to provide opportunities for more efficient methodologies and increased evidence based knowledge. Trends that will impact are:

- Availability and the cost of sensors should positively impact the deployment of predictive maintenance regimes and energy consumption,
- Safety, regulatory and compliance requirements will steadily increase over time, requiring robust procedures, management and tracking,
- Higher expectations of detailed modelling activities and analysis will manifest in both government and internal requirements,
- Analytical techniques and machine learning advancements will increase the accuracy of forecasted outcomes, providing for more predictable behaviours.

F&O will continue to examine opportunities for exploiting technology and providing useful, timely and appropriate information to University stakeholders. Deployment of more efficient tools and modules, such as additional mobile apps, the keys and motor pool modules will be addressed in the near term, in order to further enhance the effectiveness of the unit.
Appendix A

Regulatory considerations that factor into the Facilities Maintenance Plan

Provincially and nationally, a number of Codes, Standards, and Regulations contribute to the planned maintenance strategy. A summarized list is shown below:

ABS A AB-506: Inspection and servicing requirements for in-service pressure equipment
Alberta Asbestos Abatement Manual
Alberta Building Code
Alberta Electrical Utility Code
Alberta Environmental Protection and Enhancement Act RSA2000
Alberta Fire Code
Alberta Occupational Health and Safety Act
Alberta Safety Codes Act
Alberta Transportation
ANSI Z9.5: Laboratory ventilation
ANSI Z358.1: American national standard for emergency eyewash and shower equipment
ASHRAE 110: Methods of testing performance of laboratory fume hoods
ASME A17.1/CSA B44: Safety Code for Elevators and Escalators
CAN/ULC-S536: Standard for inspection and testing of fire alarm systems
CAN/ULC-S537: Standard for verification of fire alarm systems
CSA B51: Boiler, pressure vessel, and pressure piping code
CSA B64.10: Selection and installation of backflow preventers
CSA B64.10.1: Maintenance and field testing of backflow preventers
CSA B149.1: Natural gas and propane installation code
CSA B31.1: Safety Code for Manlifts
CSA B355: Lifts for persons with physical disabilities
CSA Standard C22.1 Canadian Electrical Code, Part 1, Safety Standard for Electrical Installations
CSA C282: Emergency electrical power supply for buildings
CSA Z185-M87: Safety Codes for Personal Hoists
CSA Z316.5: Fume hoods and associated exhaust systems
CSA Z462: Workplace electrical safety
CSA Z463: Maintenance of Electrical Systems
National Energy Code of Canada for Buildings
National Plumbing Code of Canada
NFPA 10: Standard for portable fire extinguishers
NFPA 25: Standard for the inspection, testing, and maintenance of water-based fire protection systems
NFPA 80: Standard for Fire Doors and Other Opening Protective
Nuisance and General Sanitation Regulation Alta Regulation 243/2003
Pressure Equipment Safety Regulation Alta Reg 49/2006
Safety Codes Act RSA 2000
STANDATA - documents produced by Alberta Municipal Affairs which describe provide interpretations, information bulletins, related to construction codes for building, electrical, fire, gas, plumbing and private sewage, elevators, and amusement rides and passenger ropeways.

In addition, while not necessarily classed as statutory maintenance, insurance underwriters require effective maintenance in order to minimise risk of loss either through theft, damage by elements (wind, rain, etc.) or failure.
Appendix B - Glossary

Most of the terms used within this Facilities Maintenance Plan are defined by APPA and have been adopted by the University of Alberta’s Facilities & Operations to standardize terminology commonly used by our audience of peer institutions.

**ABSA** - Alberta Boiler Safety Association is the pressure equipment safety authority which administers Alberta’s pressure equipment safety programs under Alberta’s Safety Codes Act. The association’s key activities include: reviewing, accepting, and registering pressure equipment designs and construction procedures; inspecting newly installed and in-service pressure equipment; examining, certifying, and registering skilled trades people who have specific roles within the pressure equipment industry; investigating accidents or unsafe conditions involving pressure equipment; authorizing and monitoring quality management systems; and conducting safety education and training. ABSA is a regulatory authority, but is not a direct part of the Government of Alberta.


**Base Building** - A common term used to describe a building’s primary structure, the building envelope, common spaces, elevators, stairwells, primary mechanical systems (like heating, ventilation, and air conditioning), telecommunications infrastructure, electrical supply, and water supply.

**Capital Asset Management** - The identification and prioritization of facility and infrastructure physical, functional, and budgetary needs, spanning a multiyear timeframe. Includes the process of reinvesting funds into physical assets in support of the organizational mission, above and beyond normal routine operations and maintenance.

**Capital Construction** - New or alterations work, paid from the capital funds budget, that is performed to create new capital assets.

**Capital Investment Plan (CIP)** - A plan which describes investment strategies for new construction or refurbishment of building assets, equipment, components, and systems which are commensurate with the organization’s business objectives.

**Capital (Major) Maintenance/Repairs** - Previous or future repairs or replacement, paid from the capital funds budget and not funded by normal maintenance resources received in the annual operating budget cycle.

  * **Repairs** - work to restore damaged or worn-out assets/systems/components (e.g. large-scale roof replacement after a windstorm) to normal operating condition.

  * **Replacement** - an exchange of one fixed asset for another (e.g. replacing a transformer that blows up and shuts down numerous buildings) that has the same capacity to perform the same function.

**Capital Project/Construction** - A new facility, rehabilitation/renovation, or major maintenance that increases the value of the location, site, or campus (e.g. a new building) or extends the useful life of a
facility. Includes construction and purchase of fixed equipment. (e.g. a replacement chiller).

**Capital Renewal (CR)/Replacement** - The systematic management process of planning and budgeting for known future cyclical repair and replacement requirements that extend the life and retain the usable condition of facilities and systems, not normally contained in the annual operating budget. Includes major activities that have a maintenance cycle in excess of one year (e.g. replace roofs, paint buildings, resurface roads). The cyclical replacement may be for all or a significant portion (e.g. the replacement of 50% or more of a building system component such as lighting system or roof system) as it reaches the end of its useful life, or of major components or infrastructure systems at or near the end of their useful life. These activities may extend the useful life and retain the usable condition of an associated capital asset (e.g. replacement of an HVAC system, extending the usable life of a facility).

**Construction** - Any combination of engineering, procurement, erection, installation, assembly, or fabrication activities involved to create a new facility/structure or to alter, add to, or rehabilitate an existing facility/structure and its support areas, such as parking, grounds, roadways, service buildings for power generation, and waste disposal. The construction costs of interior spaces include the costs of ceilings, lighting, life safety such as sprinklers, heating, ventilation, air conditioning, floor systems, carpeting, walls, doors, hardware, and special finishes.

**Compliance** - The act of adhering to, and demonstrating adherence to, a standard or regulation.

**Corrective Maintenance (CM)** - Planned maintenance, usually moderate to major in nature, to repair or replace building components or systems that have failed or been damaged. Corrective maintenance is often undertaken after a problem is identified by repeated calls for reactive maintenance.

**CMMS** - Computerized maintenance management system (synonymous with IWMS)

**Current Replacement Value (CRV)** - The total expenditure in current dollars required to replace any facility at the institution, inclusive of construction costs, design costs, project management costs, and project administrative costs. Construction costs are calculated as replacement in function vs. in-kind. The value of design (6%), project management (10-12%), and administrative costs (4%) can be estimated at 20% of the construction cost. The value of property/land, however, is excluded, and insurance replacement values or book values should not be used to define the current replacement value. Costs for replacement value are typically generated using a cost model based upon the use of reference cost databases using the building construction type, user and use categories, quality level, building systems and/or subsystems/components/units, and local experience. The property owner/manager may decide, for internal purposes, to base the CRV on “replacement in kind” (e.g. duplicate construction techniques), vs. “replacement in function” (e.g. six-story office space). The CRVs for associated infrastructure, such as utility systems, and generating plants, roadways, and nonbuilding structures (e.g. dams, bridges) are developed in a similar manner. Insurance replacement values or book values should not be used to define current replacement value.

**Deferred Maintenance (DM), Deferred Maintenance Backlog, Accumulated Deferred Maintenance Backlog, Deferred Capital Renewal** - The total dollar amount of existing maintenance repairs and required replacements (capital renewal) that were not accomplished when they should have been, not
funded in the current fiscal year, or otherwise deferred. Typically identified by a comprehensive facilities condition assessment or audit of buildings, grounds, fixed equipment, and infrastructure. These needs have not been scheduled to be accomplished in the current budget cycle and thereby are postponed until future funding budget cycles. The projects have received a lower priority status than those to be completed in the current budget cycle. For calculation of facility condition index values, deferred maintenance does not include grandfathered items.

**Deficiency/Requirement (Facility/Structure/Asset)** - The quantitative difference, typically in terms of dollar amount and associated physical requirements, between an asset’s current physical or functional condition and an established minimum level of condition/performance. Any problem or defect with materials or equipment.

**80/20 Rule** - A rule of thumb that says that 80% of the maintenance needs will regularly come from 20% of the components of the systems, and 20% of the maintenance time will be spent on the remaining 80% of the components.

**Emergency Maintenance (EM)** - Unscheduled corrective activities that require immediate attention to restore a critical piece of equipment whose failure could threaten the safety of personnel or cause damage to other equipment or building systems.

**Emergency Repairs** - Unscheduled and unanticipated requests for system or equipment repairs. Service calls generally are received when a system or component has failed or is perceived to be working improperly. If the problem has created a hazard or involves essential service, an emergency response may be necessary. Conversely, if the problem is not critical, a routine response is adequate.

**Facility Condition Assessment (FCA) or Audit** - The structured development of a profile of existing facilities conditions, typically in an electronic database format, and populated with detailed facility condition inspection information. A detailed FCA typically involves an assessment team and depends upon robust, scalable methodologies to ensure accurate and consistent information. The FCA identifies deficient conditions (requirements) in logical grouping and priorities, along with associated recommended corrections and corrective costs. Costs are generally based on industry standard cost databases.

**Facility Condition Assessment Program (Facility Capital Planning and Management Program)** - A continuous systematic approach to identifying, assessing, prioritizing, and maintaining the specific maintenance, repair, renewal, and replacement requirements for all facility assets to provide valid documentation, reporting mechanisms, and budgetary information in a detailed database of facility issues.

**Facility Cost Index (FCI)** - A comparative industry indicator/benchmark used to indicate the relative physical condition of a facility, group of buildings, or entire portfolio “independent” of building type, construction type, location, or cost. The FCI is expressed as a ratio of the cost of remedying deficiencies/requirements and capital renewal requirements to the current replacement value. The FCI provides a corresponding rule of thumb for the annual reinvestment rate (funding percentage) to prevent further accumulation of deferred maintenance deficiencies. The FCI value is a snapshot in time.
calculated on an annual basis. $\text{FCI} = \frac{\text{DM} + \text{CR}}{\text{CRV}}$. Forecasted FCI values for a building in the future would include the current DM items, plus the projected values of CR requirements. The FCI is represented on a scale of zero to one, or 0% to 100%, with higher FCI values representing poorer facility condition.

**Facilities Performance Indicators (FPI)** - Annual data collection and report produced by APPA.

**Full-Time Equivalent Employee (FTE)** - Used in facilities and human resource accounting to provide a standard measure of numbers of employees.

**HVAC** - Heating, ventilation, and air conditioning

**Improvement** - A change or addition to an asset that improves its performance or appearance and/or extends its useful life.

**Infrastructure Maintenance Program (IMP)** - A program funded by the Government of Alberta whose primary objective is to improve the physical condition of Alberta Infrastructure’s facility assets and to reduce the total deferred maintenance burden. The program outlines specific planned repair, replacement, and maintenance of base building equipment, components, and systems.

**Key Performance Indicators (KPI)** - A performance measurement which is used to evaluate the success of a particular objective or operational goal. These indicators highlight performance criteria that are important to the organization’s mission, goals, and values. Analysis of KPIs allows the organization to respond in a calculated fashion with necessary operational adjustments to remain on target with established goals and objectives.

**Life-Cycle Costing** - An estimating procedure used to determine the cost of facility system or component renewal based on the average useful life of an individual component. Typically based on visual observations, via a facilities condition assessments/audits, to determine the remaining useful life of a system and the development of cost models for the facility. This process enables multiyear modeling of future replacement costs and timing.

**Maintenance** - Work required to preserve or restore buildings and equipment to their original conditions or to such a condition that they can be effectively used for their intended purpose, ensuring ongoing operation of the campus.

**Maintenance Measurement** - The measurement of aspects of maintenance in order to provide the feedback necessary to adjust the overall maintenance plan.

*Examples of maintenance measurements are Facility Condition Indexes (FCI), ratios of planned to unplanned maintenance, and maintenance reinvestment rates that assess funding levels and probable long-term financial impact.*

**Maintenance Quality/Service Levels** - The levels are Showpiece Facility, Comprehensive Stewardship, Managed Care, Reactive Management, and Crisis Response.
**Major Maintenance** - Unplanned repairs and replacement, paid from the capital funds budget, that must be accomplished but that is not funded by normal maintenance resources received in the annual operating budget cycle.

**New Capital Construction** - A project performed to create or add to a building. Includes construction and purchase of fixed equipment.

**Normal/Routine Maintenance and Minor Repairs** - Cyclical, planned work activities funded through the annual budget cycle, done to continue or achieve either the originally anticipated life of a fixed asset (i.e. buildings and fixed equipment) or an established level of performance. Normal/routine maintenance is performed on capital assets such as buildings and fixed equipment to help them reach their originally anticipated life. Deficiency items are low in cost to correct and are normally accomplished as part of the annual operations and maintenance (O&M) funds. Normal/routine maintenance excludes activities that expand the capacity of an asset, or otherwise upgrade the asset to serve the asset needs greater than or different from those originally intended.

**O&M** - Operations and maintenance.

**Operations** All activities associated with the routine, day to day use, support and maintenance of a building or physical asset; inclusive of administration, management fees, normal/routine maintenance, custodial services and cleaning, fire protection services, pest control, snow removal, grounds care, landscaping, environmental operations and record keeping, trash-recycle removal, security services, service contracts, utility charges (electric, gas/oil, water), insurance (fire, liability, operating equipment) and taxes. It does not include capital improvements. This category may include expenditures for service contracts and other third-party costs. Operational activities may involve some routine maintenance and minor repair work that are incidental to operations but they do not include any significant amount of maintenance or repair work that would be included as a separate budget item.

**Peak Shaving** - Keeping enough inhouse staff to handle around 80 percent of peak demand and purchasing external resources or staff to make up the difference during peak times. Peak shaving may involve staffing a certain trade or department at a level that is less than required in the most demanding months of the year.

**Planned or Programmed Maintenance** - Maintenance tasks whose cycle exceeds one year, such as painting, flood coating of roofs, overlays and seal coating of roads and parking lots, and digging of constricted utility lines.

**Predictive Maintenance/Testing/Inspection** - Routine maintenance, testing, or inspection performed to anticipate failure using specific methods and equipment, such as vibration analysis, thermographs, X-ray, or acoustic systems, to aid in determining future maintenance needs. Examples include tests to locate thinning piping, fractures, or excessive vibrations that are indicative of maintenance requirements.

**Preventive Maintenance (PM)** - A planned and controlled program of periodic inspection, adjustment, lubrication, and replacement of components, as well as performance testing and analysis. It seeks to extend the useful life of building systems and keep them operating near design intent. This means that
PM program objectives include the following:

- Reducing the occurrence of breakdowns, particularly of critical systems. Documenting the performance of legally required work, such as fire alarm system testing. It is helpful to identify legally required work orders with a unique work indicator. This improves their visibility during execution and tracking.
- Reducing energy consumption.
- Reducing overall maintenance costs.

**Programmed Major Maintenance** - see Planned or Programmed Maintenance.

**Programming** - The process of planning and organizing the quantitative physical requirements of resources needed to accomplish established goals. A program is an organized set of activities directed toward a common purpose or goal undertaken or proposed in support of an assigned area. It is characterized by a strategy for accomplishing a definite objective(s), which identifies the means of accomplishment, particularly in quantitative terms, with respect to staffing, materials, and facilities requirements. It normally includes an element of ongoing activity, and typically comprises technology-based activities and projects and supports an established level of reliability.

**Reactive Maintenance (RM)** - Unplanned maintenance, usually minor in nature, for repair or adjustment of building sub components or subsystems that have failed or been damaged.

**Recapitalization/Reinvestment Rate** - The level of annual funding for a facility renewal and deferred maintenance expressed as a percentage of facility replacement values. A facility, system, or components with existing deficiencies will deteriorate faster than a component that is in good condition. Altering the recapitalization/reinvestment rate has direct impact on the facility condition index and associated deferred maintenance levels over time.

**Re-verification** - Sometimes more commonly referred to as recommissioning, re-verification is applying the building commissioning process to an existing building, or building subsystem, that has already gone through original commissioning, after substantial time has passed and owners’ uses or needs have changed since construction. Re-verification should not require capital improvements.

**Regulations** - “Controlling human or societal behavior by rules or restrictions.” Regulations can take many forms: legal restrictions promulgated by a government authority, self-regulation by an industry such as through a trade association. One can consider regulation as actions of conduct imposing sanctions (such as a fine).

**Renewal** - The periodic replacement of major components or infrastructure systems at or near the end of their useful life. Renewal work, such as tuck-pointing brickwork, ensures that facilities will function at levels commensurate with the institution’s academic priorities and missions.

**Renovation year** - The date of the building’s most recent major renovation, if applicable. A major renovation is defined as addressed at least 50% of a building’s components while reinvesting at least half of the building’s current replacement value into the renovation.
**Repair(s)** - Work that is performed to return equipment to service after a failure or to make its operation more efficient. The work restores a facility or component thereof to such condition that it may be effectively utilized for its designated purposes by overhauling, reprocessing, or replacing constituent parts or materials that have deteriorated by action of elements or usage and have not been corrected through maintenance.

**Replacement** - An exchange of one fixed asset (i.e. a major building component or subsystem) for another that has the same capacity to perform the same function - for example, replacing a chiller with a like-sized unit.

**Replacement Cycle** - A regular cycle or schedule on which maintenance occurs - for example, repainting every seven years.

**Replacement of Obsolete Items** - Work undertaken to bring a component or system into compliance with new codes or safety regulations or to replace an item that is unacceptable, inefficient, or for which spare parts can no longer be obtained.

**Retrocommissioning** - Applying the building commissioning process to an existing building, or subsystem of the building, that has not previously been commissioned. Retrocommissioning will often require capital improvements. Retrocommissioning can also be applied to an existing building or subsystem that has previously been commissioned if the construction project entails extensive and substantive alterations or wholesale replacement of previously commissioned items.

**Routine Repairs** - Actions taken to restore a system or piece of equipment to its original capacity, efficiency, or capability. Routine repairs are not intended to increase significantly the capacity of the item involved. For example, replacing a failed boiler with a new unit of similar capacity would be a routine repair project. However, if the capacity of the new unit were double the capacity of the original unit, the cost of the extra capacity would have to be capitalized and would not be considered routine repair work.

**Service** - All the support provided to the campus customers as needed upon request.

**Space Planning** - The process of analyzing current and future requirements relative to physical assets (i.e. type, condition, size, capacity, with respect to their ability to support and advance programs and activities at a level deemed appropriate by appropriate parties in concert with associated regulations, codes, mandates, and acceptable levels of performance). Typically involves identifying each distinct type of activity covered by the program and defining the appropriate values relative to size, capacity, utilization, and so on.

**Stewardship** - The role of guardian of the campus’ physical facilities assets and built environment.

**Succession Planning** - The process of identifying critical or key positions in the organization and developing a plan to provide coverage for those positions in the event the employee is unable to work for an extended period or leaves the organization altogether.

**Support Maintenance** - Discretionary work not required for the presentation or functioning of a
building. May be operational (standby at a function such as graduation), minor trades work (hanging pictures), special event setups, or even minor alteration or construction. Support maintenance is often done to enhance an academic program, recruiting effort, or public relations event. It is also the “service” that the facilities department delivers for light customer service activities that every office-style building demands.

**Sustainability** - Policies and strategies that meet society’s present needs without compromising the ability of future generations to meet their own needs.

**Total Cost of Ownership (TCO) / Life-Cycle Cost Management** - A dollar per square foot value associated with a facility. It is a calculation of all facility-specific costs (not including furnishings or non-facility-specific equipment) divided by estimated life span of the building (30 or 50 years) and the total gross area. Facility-specific costs include all construction, preservation, maintenance, and operations costs. A strategic asset management practice considers all costs of operations and maintenance in addition to acquisition costs. TCO, therefore, includes the sum total of the present value of all direct, indirect, recurring, and nonrecurring costs incurred or estimated to be incurred in the design, development, production, operation, maintenance, and renewal of a facility, structure, or asset over its anticipated life span. (This total is inclusive of site/utilities, new construction, deferred maintenance, preventive/routine maintenance, renovation, compliance, capital renewal, and occupancy costs. Land values are specifically excluded.)

**Trade-specific Position Description (Job fact Sheet)** - A detailed list of the tasks required of an employee at a prescribed skill level within a specific trade. This version of a Position Description is sometimes referred to as a Classification Spec and is often used as a complement to the more detailed Non-Trade-Specific Position Descriptions and includes specific skills and abilities that a tradesperson would develop through education or job assignment within their specific field.

**Unscheduled/Unplanned Maintenance** - Requests for system or equipment repairs that, unlike preventive maintenance work, are unscheduled and unanticipated. Service calls generally are received when a system or component has failed or perceived to be working improperly. If the problem has created a hazard or involves essential service, an emergency response may be necessary. If the problem is not critical, a routine response is adequate. Reactive or emergency corrective work activities occur in the current budget cycle or annual program. Activities may range from unplanned maintenance of a nuisance nature requiring low levels of skill for correction, to nonemergency tasks involving a moderate to major repair or correction requiring skilled labor, to emergency unscheduled work that requires immediate action to restore services, remove problems that could interrupt activities, or protect life and property.

**Utilization Rate** - An indicator used to determine how efficiently available space is being used. Usually time-based in terms of month, quarter, or year.

\[
\text{Utilization Rate} = \frac{\text{Occupied Space}}{\text{Facility Usable Area}}
\]

**Zone Maintenance** - A team of multi-skilled maintenance craftspeople assigned to a campus zone. The team is responsible for all maintenance in its zone.